

Macroscopic Field Emission

Effect of Macroscopic Geometry on Microscopic Field Emission

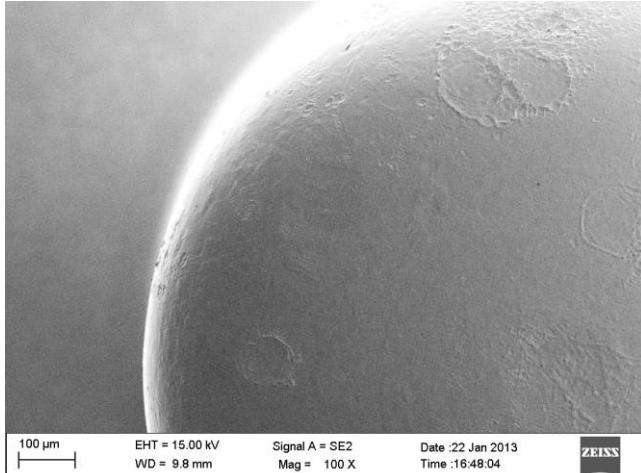
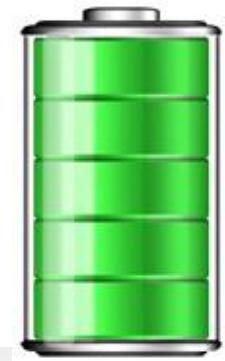
Faya Wang

8/27 2015

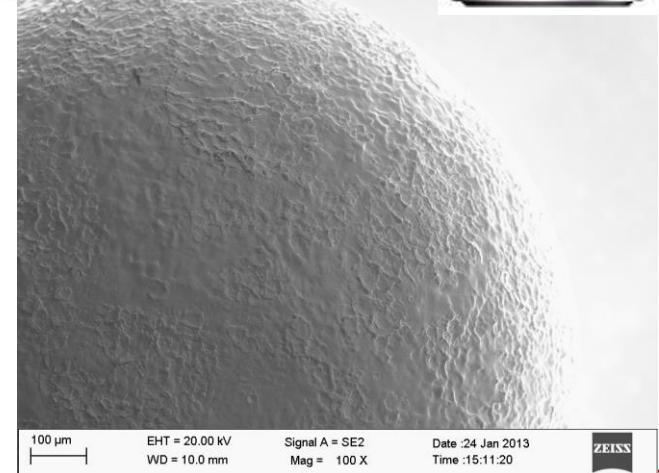
Funded by the U.S. DOE Early Career Program

$\omega \uparrow, Q \uparrow (\beta_g \downarrow), \dots \rightarrow E \uparrow$ All because of damage?

How about the first breakdown? infinite samples.



High power
↓
more damage
↓
less gradient



Re-used for ECHO ~ 30 MV/m

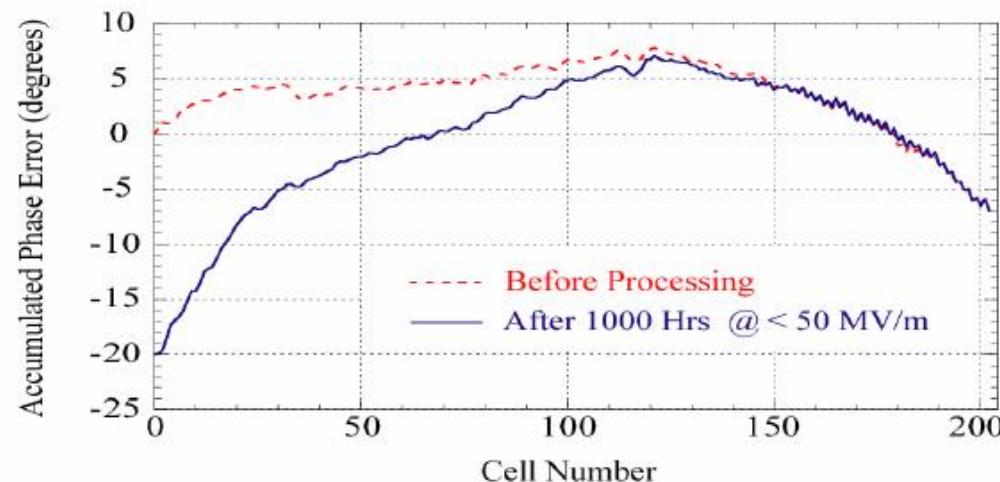
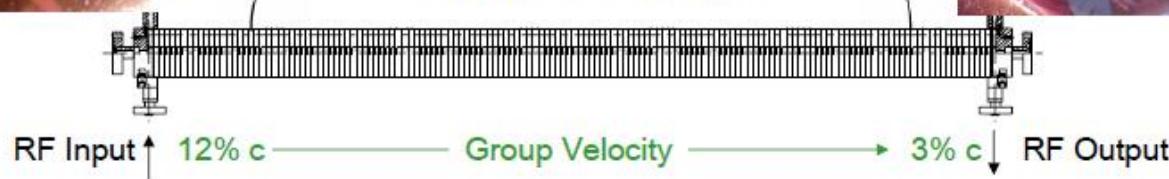
C. Adolphen

1999: Damage in a 1.8 m Structure

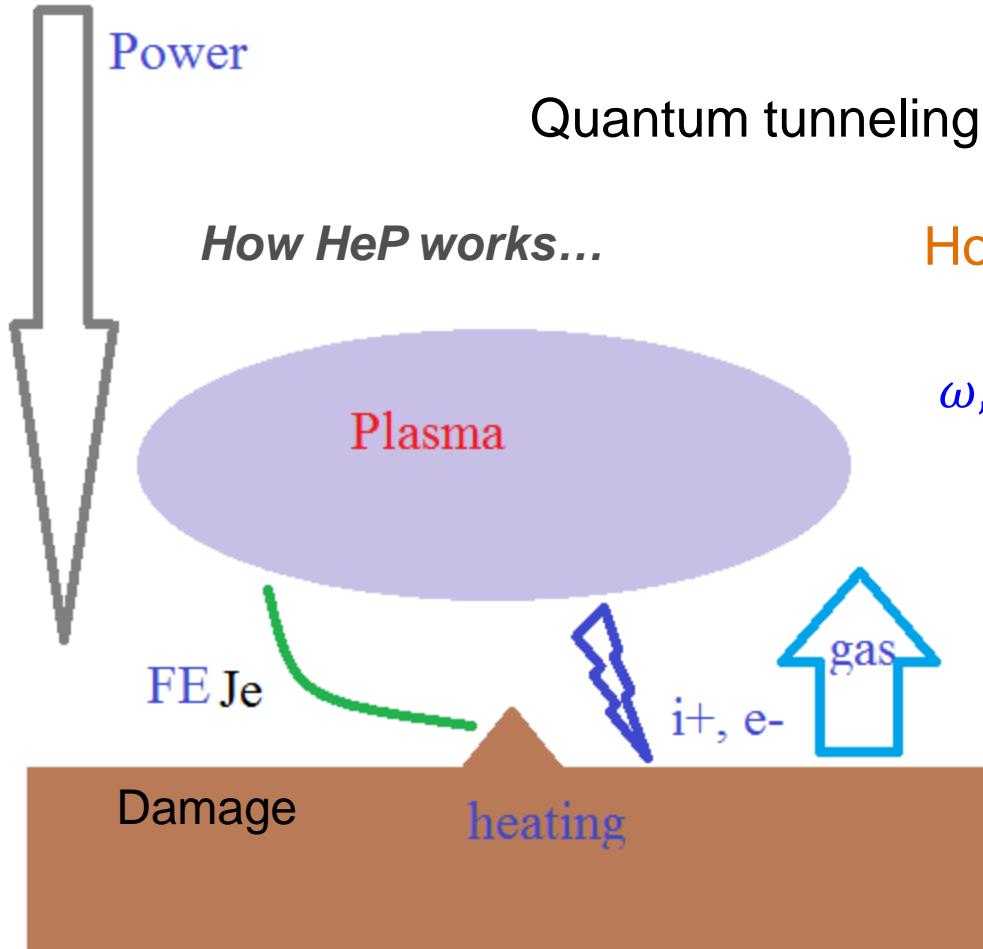
After Operation at
Gradients up to 50 MV/m



1.8 m X-Band Structure



Field emission → Breakdown



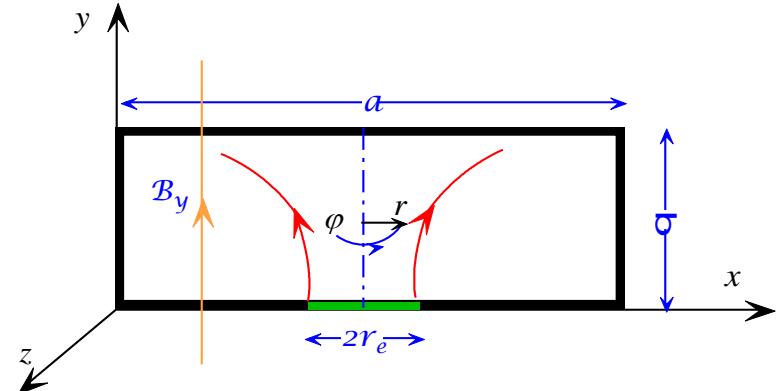
Micro FE → macro FE: Setup

TW, Rect. WG, low φ , a flat disk-like emitter

❖ $\beta_g(a)$

❖ dimension $\propto 1/\omega$,

❖ W/Wo static magnetic field (along y)



Surface field (E_s) = applied field (E_a) + FE induced field (δE_y)

$$J_e = FN(E_s, \varphi)$$

$$\delta E_y = f(E_a, J_e, \omega, B_y, \beta_g, r_e, t)$$

Micro FE → macro FE: How

1. a 3D beam trajectory, the Lorentz equation

$$m_0 \gamma \frac{d\vec{v}}{dt} = e\vec{E} + e(\vec{v} \times \vec{B}) - \frac{e\vec{v}}{c^2} (\vec{v} \cdot \vec{E})$$

2. $\mathbf{j}(r, t) \rightarrow \mathcal{J}(r, \omega)$

3. Solving Maxwell equation with the dyadic Green function

$$\delta\mathcal{E}_f(\omega) = -\frac{j\omega}{k^2} [k^2 \mathbf{A} + \nabla(\nabla \cdot \mathbf{A})]$$

$$\mathbf{A} = \int \bar{\mathbf{G}} \cdot \mathcal{J}(r, \omega) dV'$$

4. IFT: $\delta\mathbf{E}_f(t) = \frac{1}{2\pi} \int_{-\infty}^{\infty} \delta\mathcal{E}_f e^{j\omega t} d\omega$

Micro FE → macro FE: Green Function

$$G_{yy} = G_{yy1} + G_{yy2},$$

$$G_{yy1} = \frac{\mu_0}{4ab} \sum_{m,n=0}^{\infty} \frac{\varepsilon_m \varepsilon_n}{\gamma_{mn}} f(|z - z'|, \gamma_{mn}, \epsilon) \sin \frac{m\pi x}{a} \sin \frac{m\pi x'}{a} \cos \frac{n\pi y}{b} \cos \frac{n\pi y'}{b},$$

$$G_{yy2} = \frac{\mu_0}{8\pi} \sum_{m,n=-\infty}^{\infty} A_i^{yy} \frac{1}{d_{i,mn}} \cdot \mathbf{Re} \{ e^{-jk d_{i,mn}} \operatorname{erfc}(d_{i,mn} \epsilon - j k / 2\epsilon) \},$$

$$\varepsilon_i = \begin{cases} 1, & i = 0 \\ 2, & i \neq 0 \end{cases}$$

$$\gamma_{mn}^2 = (m\pi/a)^2 + (n\pi/b)^2 - k^2$$

the modal and image expansion of the
rectangular waveguide

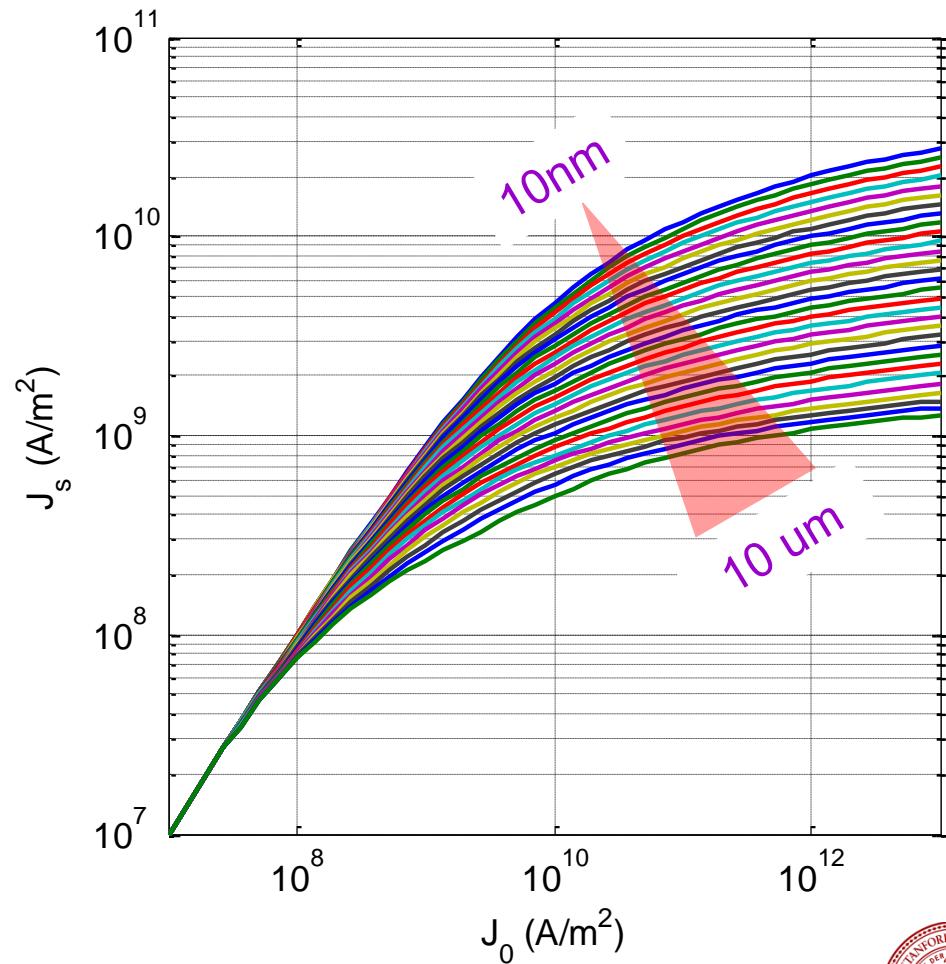
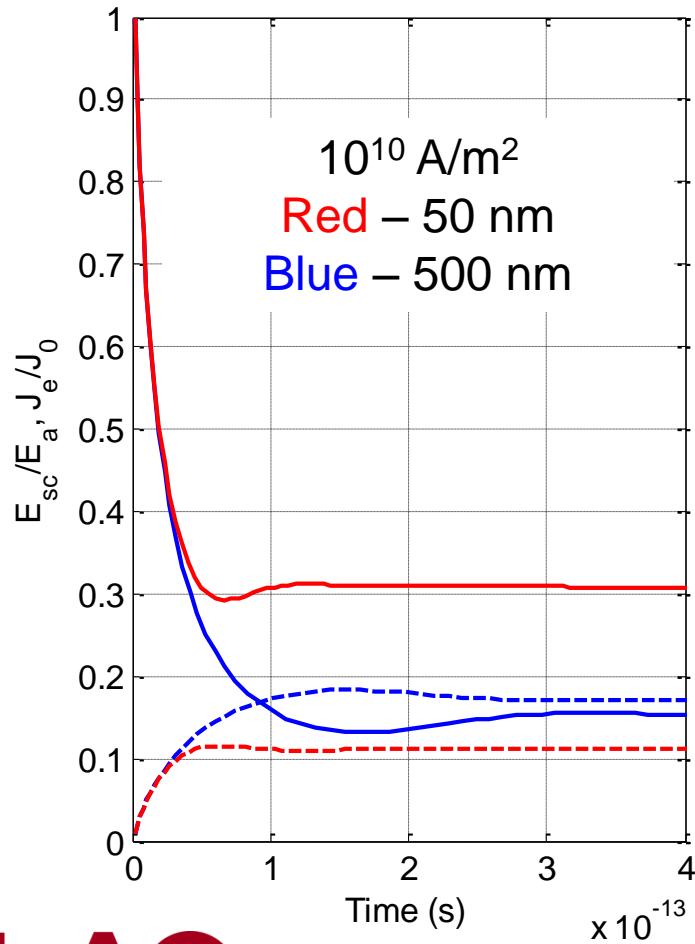
Micro FE → macro FE: simplification

1. A square pulse FE current,

$$J(t) = \begin{cases} J_e, |t| \leq \frac{\pi\tau}{\omega_0} \\ 0, |t| > \frac{\pi\tau}{\omega_0} \end{cases}, \tau = 0.2 (+/- 36^0)$$

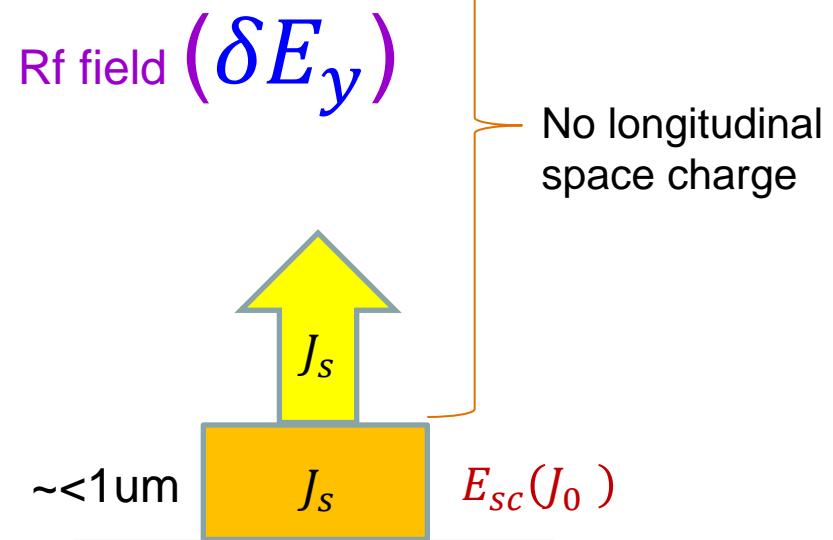
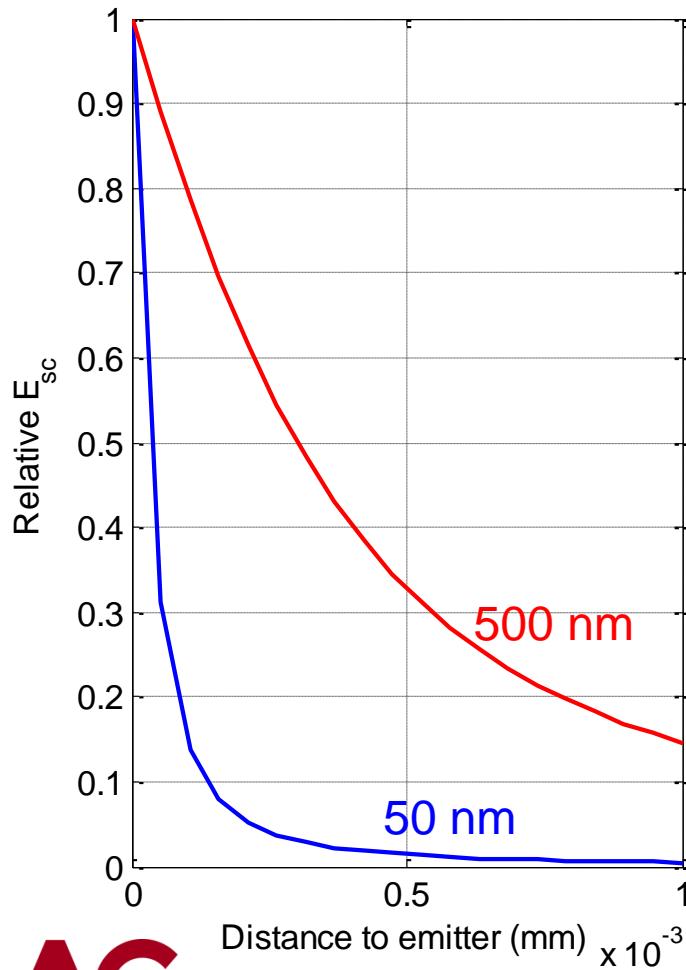
2. The initial energy 7 eV (Cu Fermi energy) with 0 trans. momentum.
3. Uniform FE over the emitter surface
4. Beam trajectories not affected by fields generated by FE beam
5. Rf magnetic force is ignored, $F_H/F_E \propto \beta_e \beta_g \ll 1$.
6. Static magnetic field along y axis as a special case
7. Emitter radius nm $\sim < 10 \mu\text{m}$

Longitudinal Space Charge (100 MV/m)



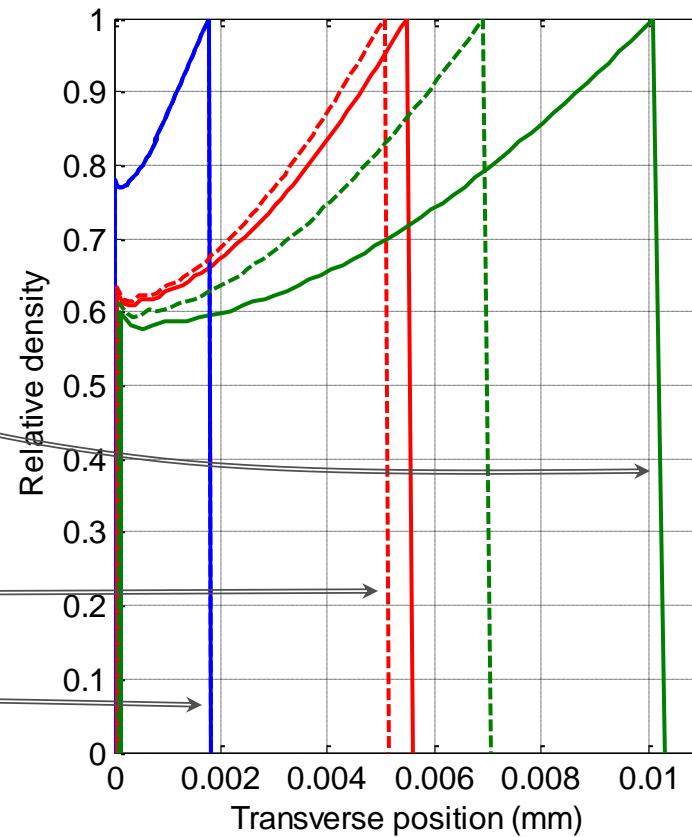
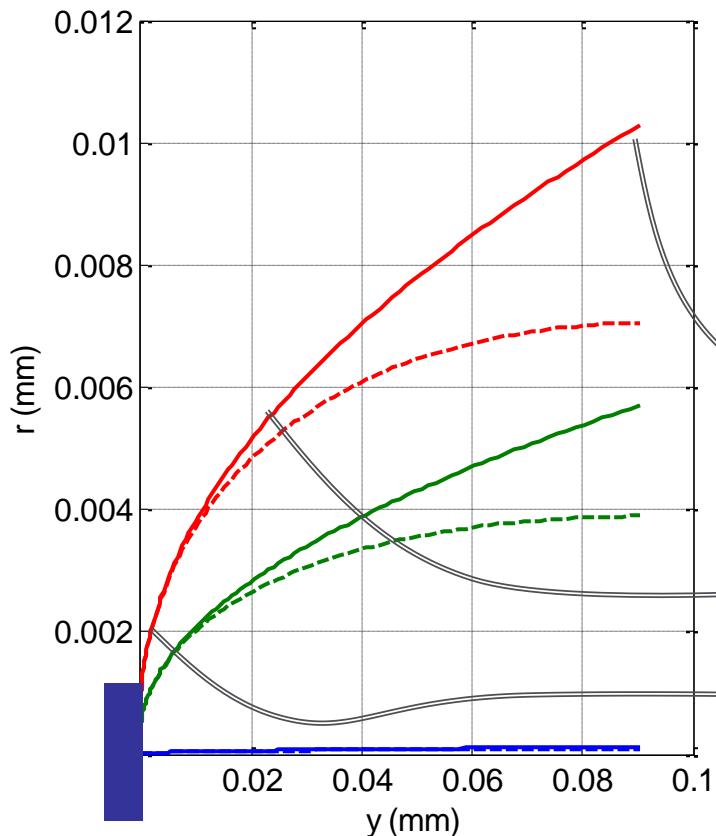
Longitudinal Space Charge (100 MV/m)

Very short range DC bias ($\sim < 1\mu\text{m}$)



Micro FE → macro FE: beam trajectory

11.4 GHz, 50 MV/m, 1 um, 10^{10} A/m², $B_y = 0, 2$ Tesla



~radially expands uniformly

Micro FE → macro FE: time domain field

Frequency domain 3D current:

$$\mathbf{J}(r, y, \omega) = \frac{I_e}{\pi R'^2} \frac{2}{\omega} \sin\left(\frac{\pi \tau \omega}{\omega_0}\right) e^{-j\omega t'} \left(\hat{y} + \frac{v_r}{v_y} \hat{r} + \frac{v_\phi}{v_y} \hat{\phi} \right)$$

$$\delta E_{yf} = \frac{c\eta I_e}{2\pi^2 \omega_0} \int_{g_{min}}^{g_{max}} dg \int_{\sim 1um}^b dy' \int_0^{R'} \frac{\sin(\pi \tau g)}{g^2} \sum_{n=1}^2 \sin(g\omega_0 T_n) q_n(d_n, y, y') \frac{r'}{R'^2} dr'$$

$$q_n(d_n, y, y') = \left\{ \frac{3[y+s(n)y']^2}{d_n^5} - \frac{1}{d_n^3} \right\}, s(n) = \begin{cases} -1, & n = 1 \\ 1, & n = 2 \end{cases}, T_{n=1,2} = t - t' - \frac{d_n}{c}, g = \frac{\omega}{\omega_0}, \omega_c = \frac{\omega_0}{\sqrt{1-\beta_g^2}}$$

Total field: $g \in [0, \infty]$

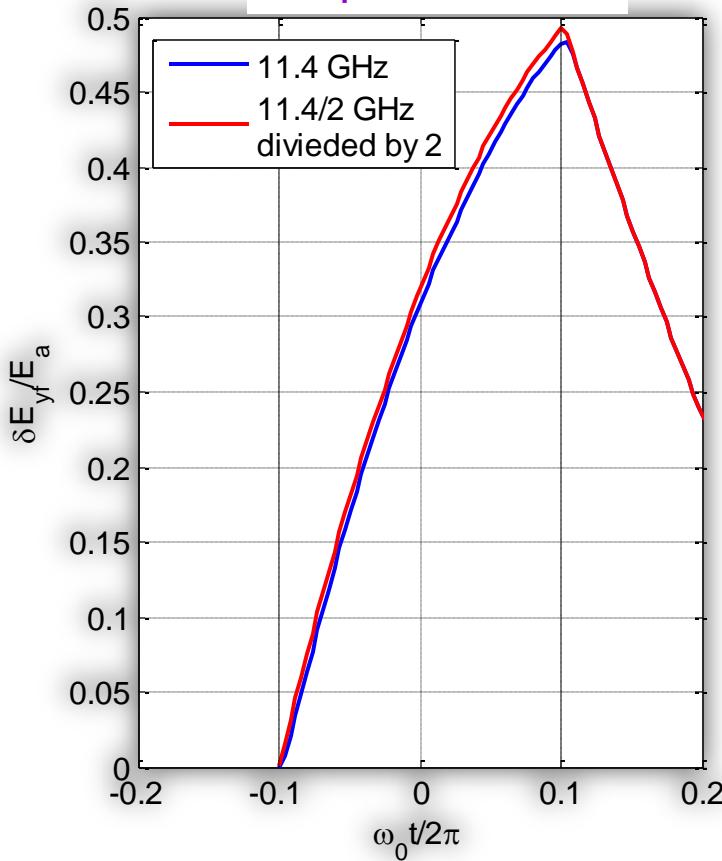
Leftover field (evanescent modes): $g \in [0, \omega_c/\omega_0]$

Example: 60 MV/m, 0.5 um, 10^9 A/m², Single burst FE

$$E_{sc}/E_a = -0.08$$

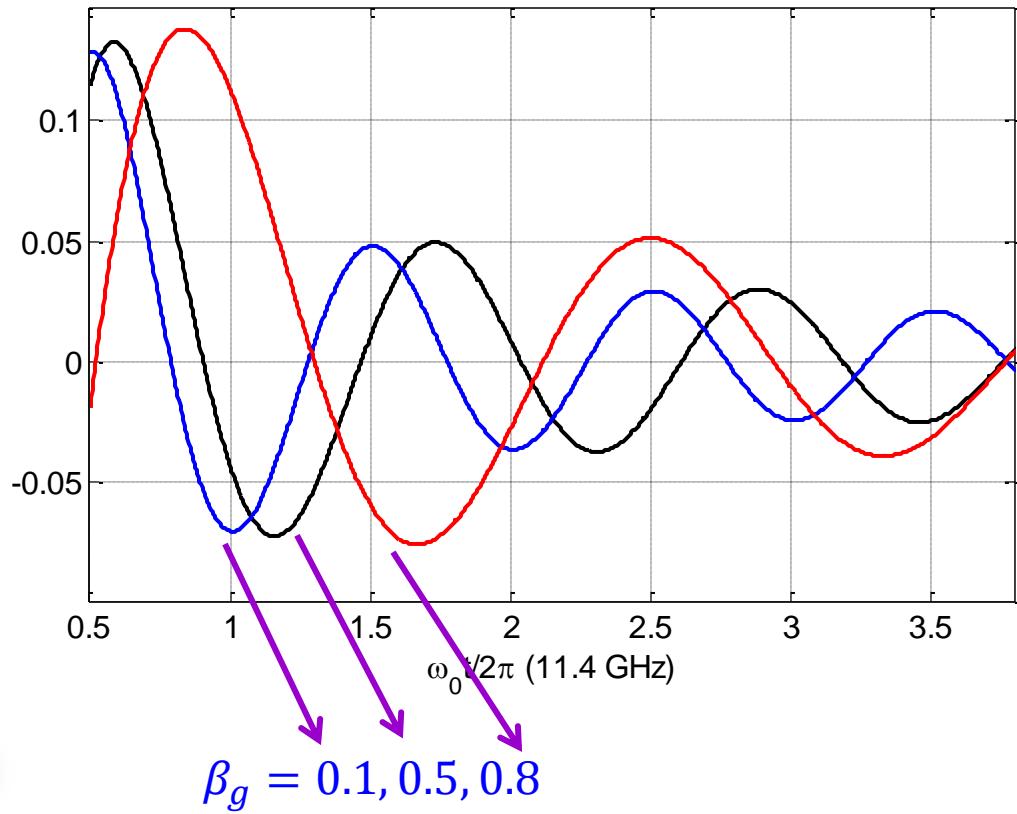
FE self-enhanced!!

Amplitude $\sim \omega^{-1}$



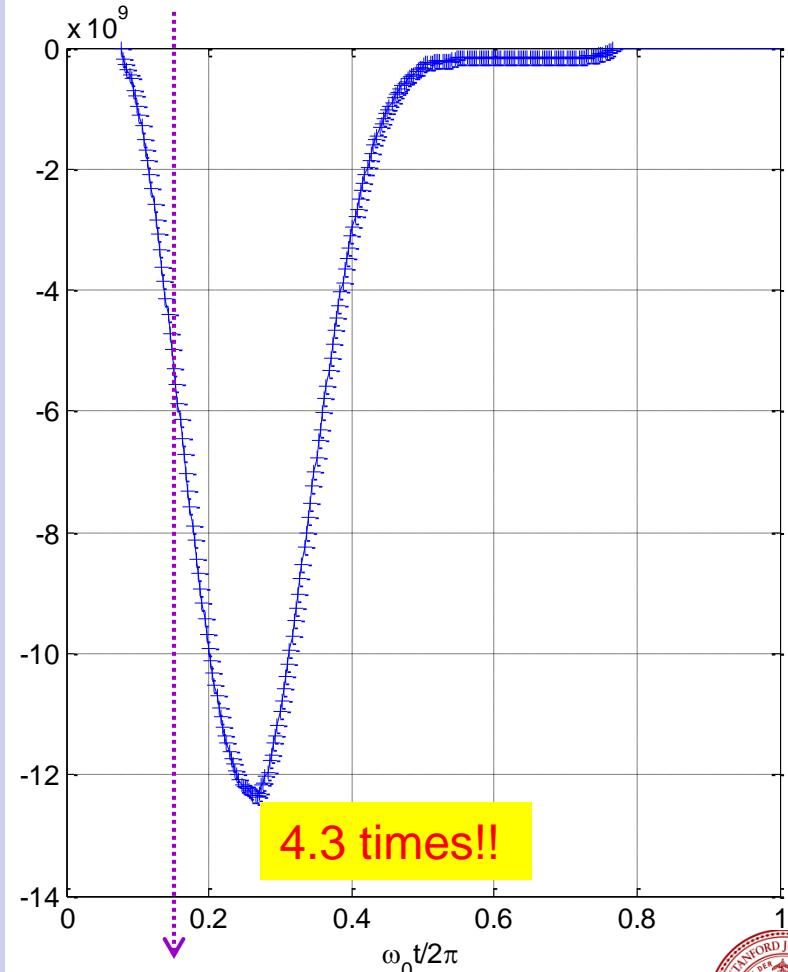
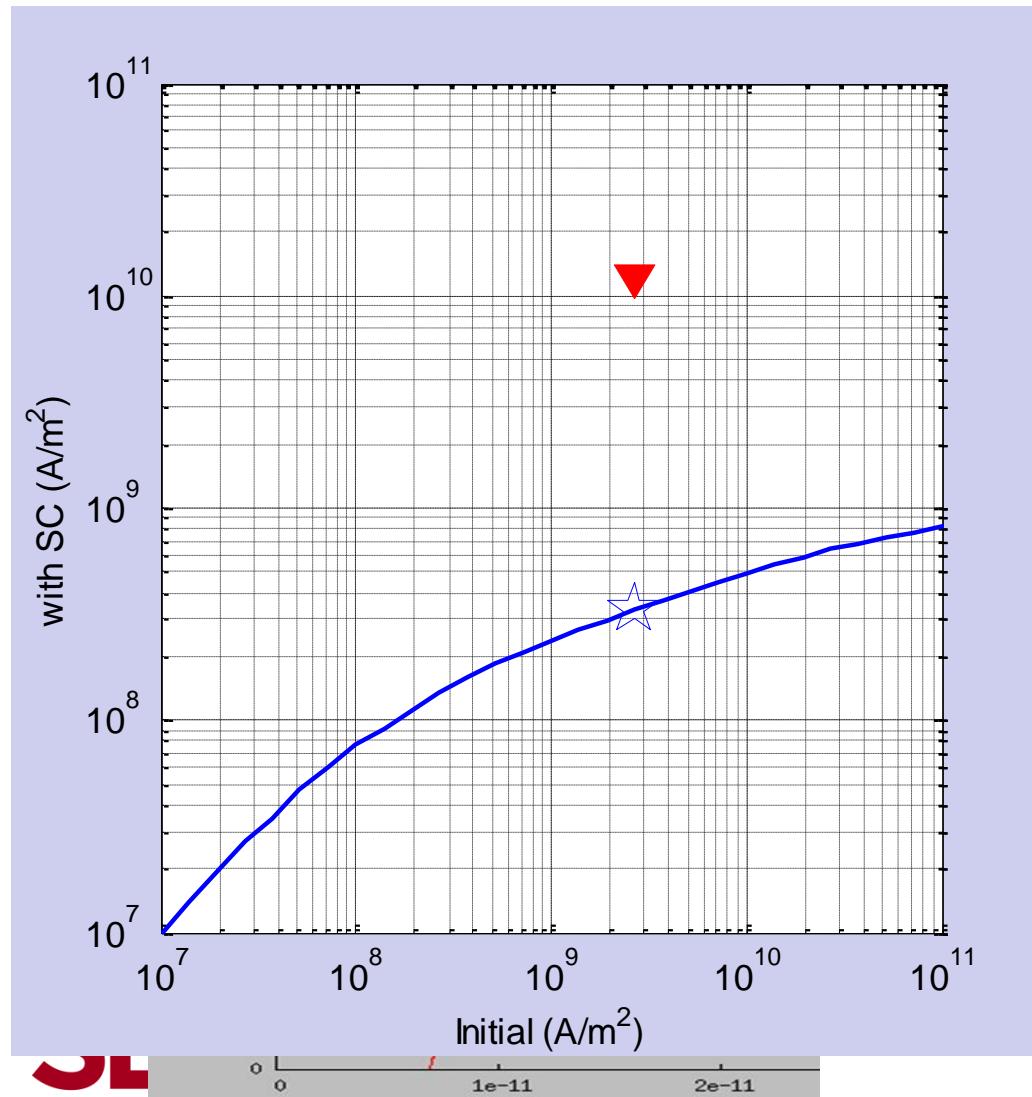
Leftover: damped oscillation

Amplitude $\sim 1/N$



Micro FE → macro FE: Pic3P L. Xiao

WR90, 11.4 GHz, 100 MV/m, -60 Deg, 10 um, $\varphi = 0.43$ eV



Rf crest



Micro FE \rightarrow macro FE: Stead state

During emission: surface field get enhanced ($\delta E_{yf0} \propto 1/\omega_0$)

Leftover field : dumped oscillation ($\sim 1/N, \omega_c$)

with phase slippage, $\delta\theta = 2n\pi \left(\frac{\omega_0}{\omega_c} - 1 \right) \approx n\pi\beta_g^2$

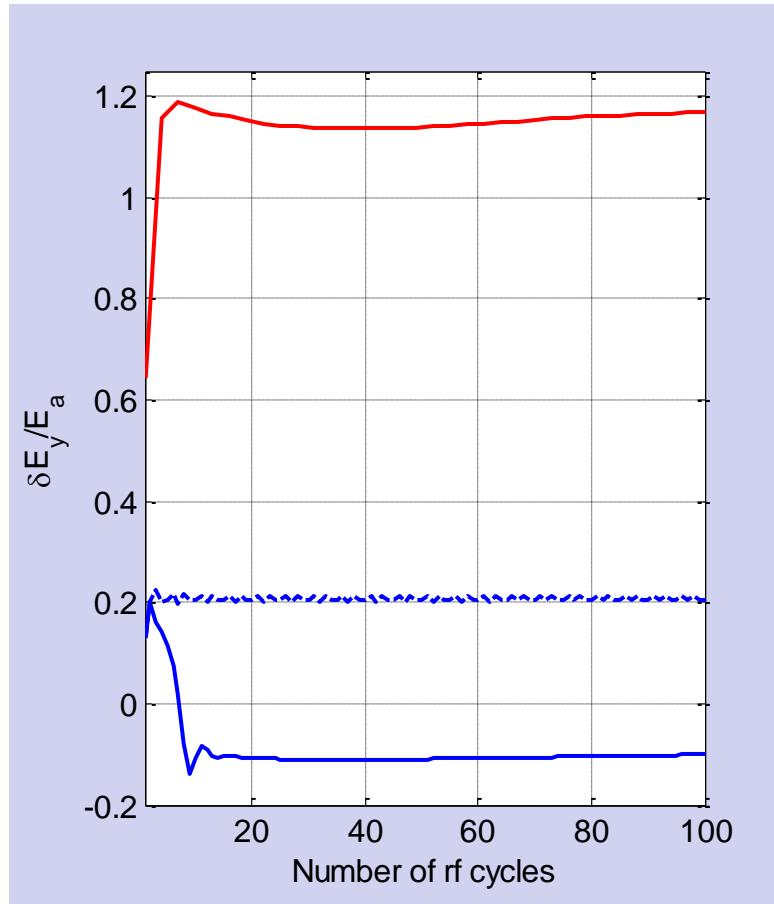
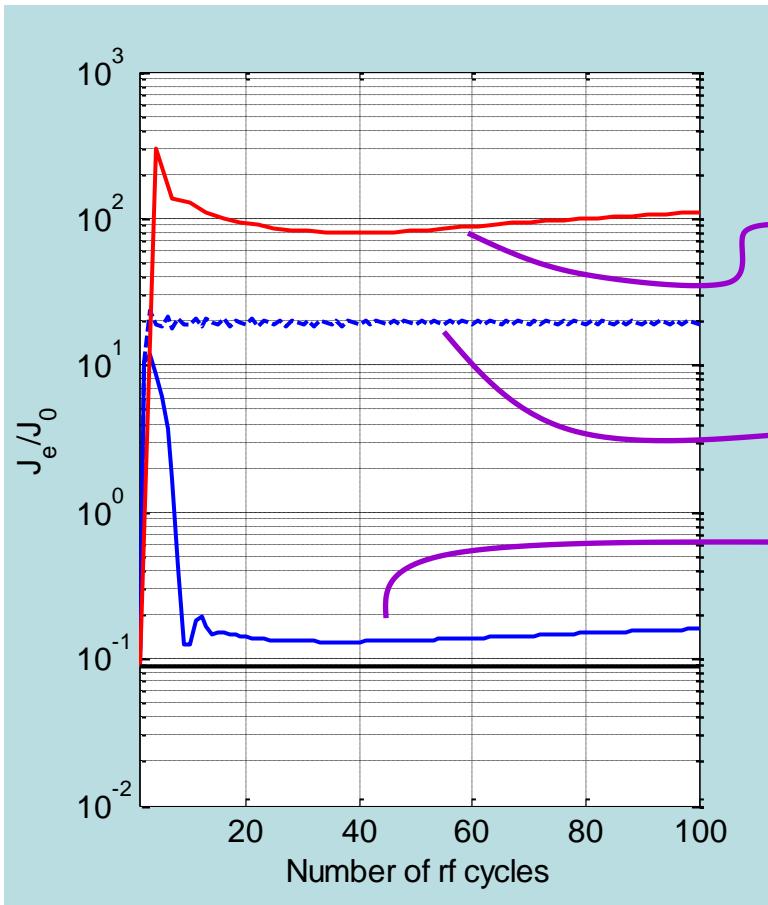
- ❖ Neglecting the accumulated residual field effects on FE current, at steady state it has

$$\delta E_{ys} = \delta E_{y0} \left[1 - \sum_{n=1}^{\infty} \epsilon \frac{\cos(n\pi\beta_g^2)}{n} \right] = \delta E_{y0} \left[1 + \epsilon \ln \sin \left(\frac{\pi\beta_g^2}{2} \right) \right]$$

$$\epsilon \approx 0.16$$

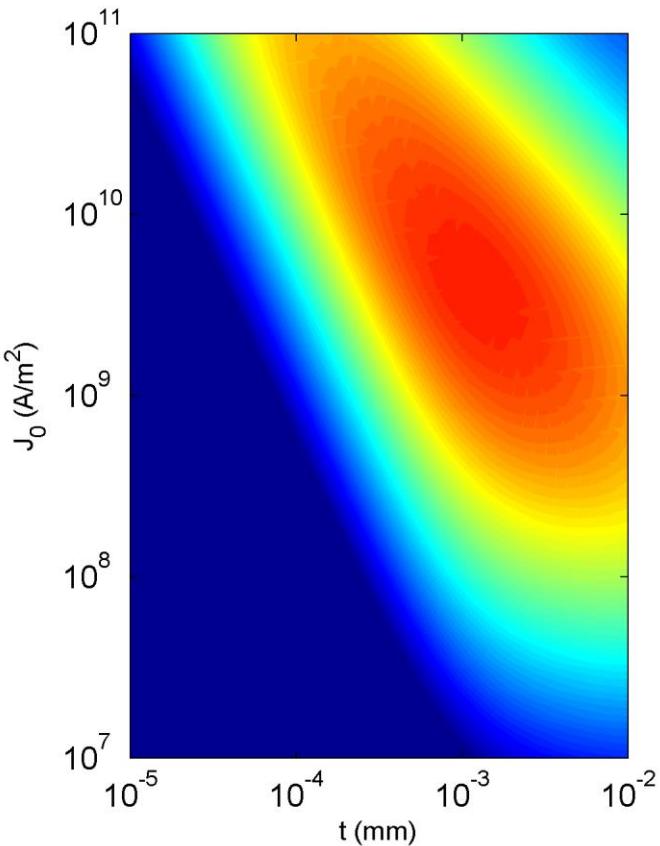
Micro FE → macro FE: ω, β_g

60 MV/m, 0.5 um, 10^{10} A/m² (10^9 with E_{sc})

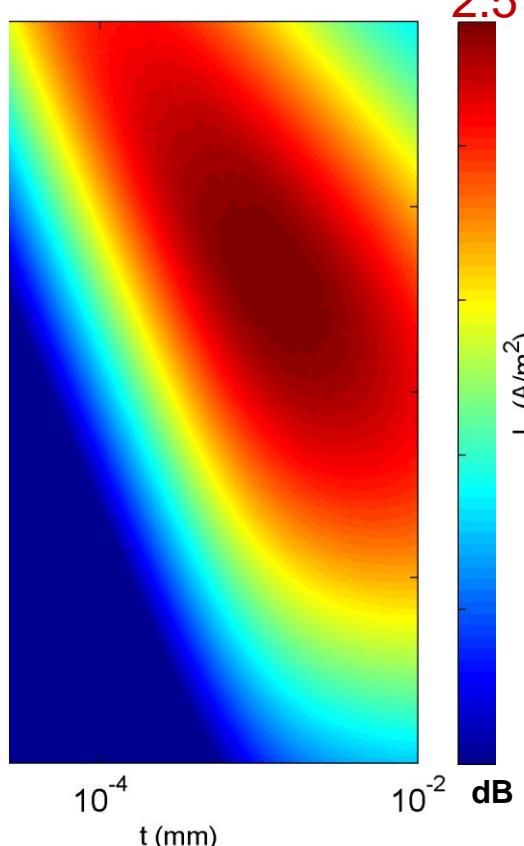


Field enhancement (dB) at 60 MV/m, emitter size and J_e

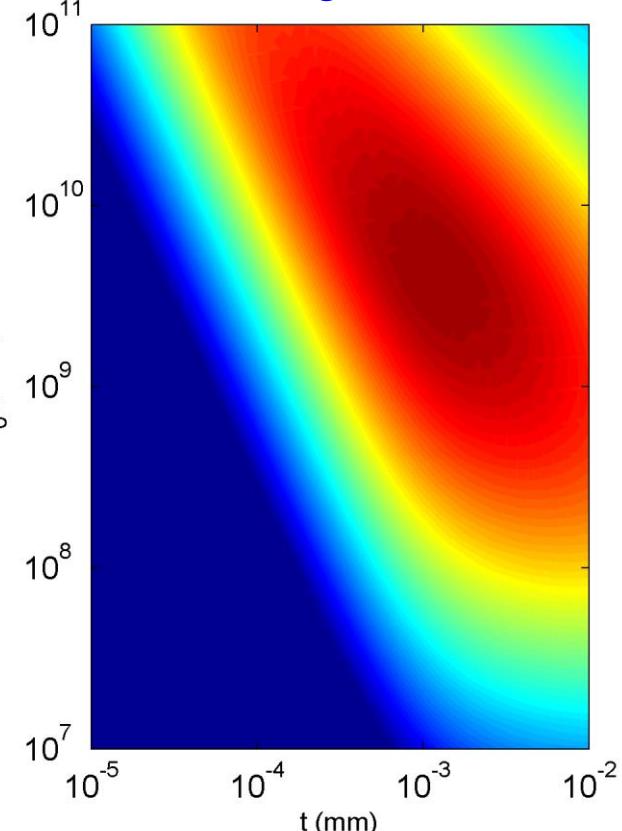
$X, \beta_g = 0.1$



$X, \beta_g = 0.82$



$C, \beta_g = 0.1$



$$\delta E_{ys} = \delta E_{y0} \left[1 - \sum_{n=1}^{\infty} \epsilon \frac{\cos(n\pi\beta_g^2)}{n} \right]$$

Micro FE → macro FE: scaling law

Stability of a system: $\beta_m = \max(\delta E_{ys}/E_a)$

$$E_a \left[\frac{MV}{m} \right] \in [30 \ 300], J_e \left[\frac{A}{m^2} \right] \in [10^8 \ 10^{11}], r_e [\mu m] \in [0.01 \ 10]$$

$$\beta_m \propto E_a^{\theta-1} J_e^\alpha \omega_0^{-1} \beta_g^\nu$$

$$J_e \propto E_a^n$$

$$n \in [8 \ 15], \theta = 1.24, \alpha = 0.2, \nu = 0.47$$

Micro FE → macro FE: scaling law

At a given β_m :

$$E_a \propto \omega^\chi \beta_g^{-\varsigma}$$

$$\chi = \frac{1}{\alpha n + \theta - 1} \in [0.31, 0.54]$$

$$\varsigma = \frac{\nu}{\alpha n + \theta - 1} \in [0.15, 0.26]$$

$\sim \omega^{1/3}$ -- G.A. Loew and J.W. Wang, Report No. SLAC-PUB-7684, 1997

P/C -- W. Wuensch, CLIC-Note-649, 2006

$$\left. \begin{aligned} P &\propto E_a^2 / \omega^2 \\ C &\propto \left(\frac{a}{\lambda}\right) \lambda \propto \beta_g^{\frac{1}{2}} / \omega \end{aligned} \right\} \rightarrow E_a \propto \omega^{1/2} \beta_g^{-1/4}$$



2Pin-waveguide $E_a \propto \beta_g^{-0.17}$



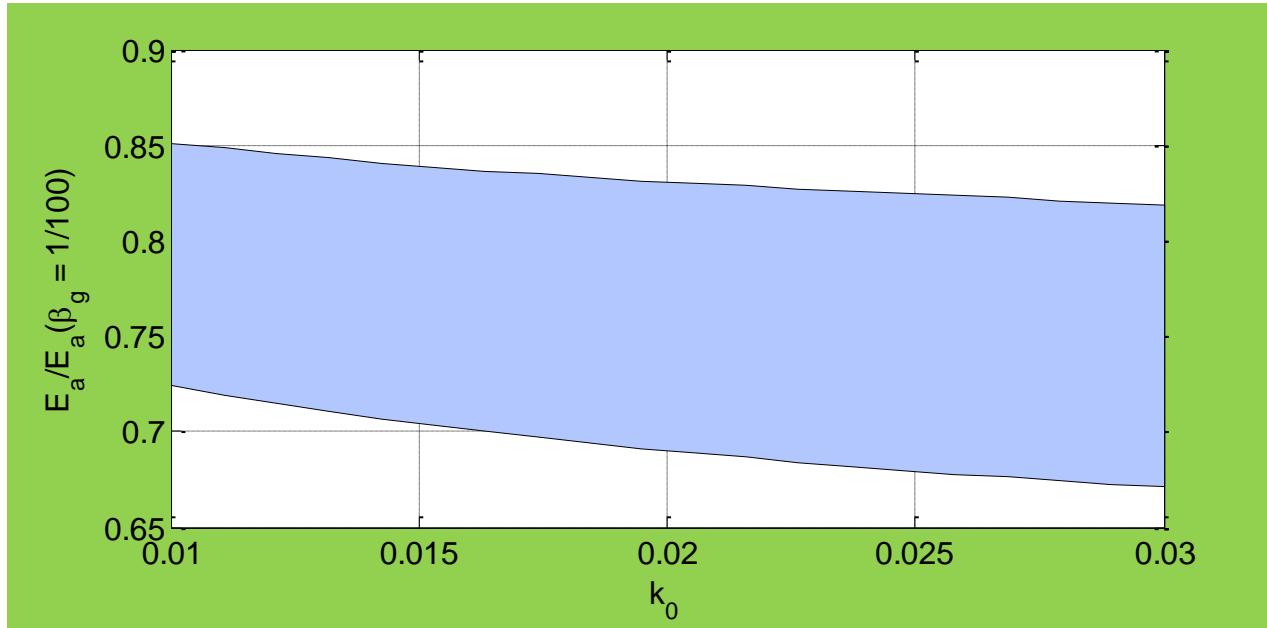
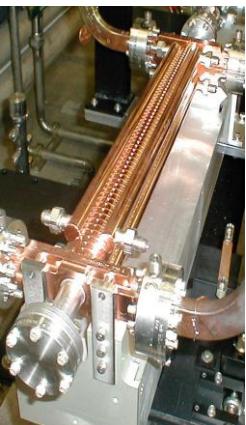
Single cell VS Multi-cell

$$\omega = \omega_0 \left(1 - \frac{k_0}{2} \cos \varphi_N \right)$$

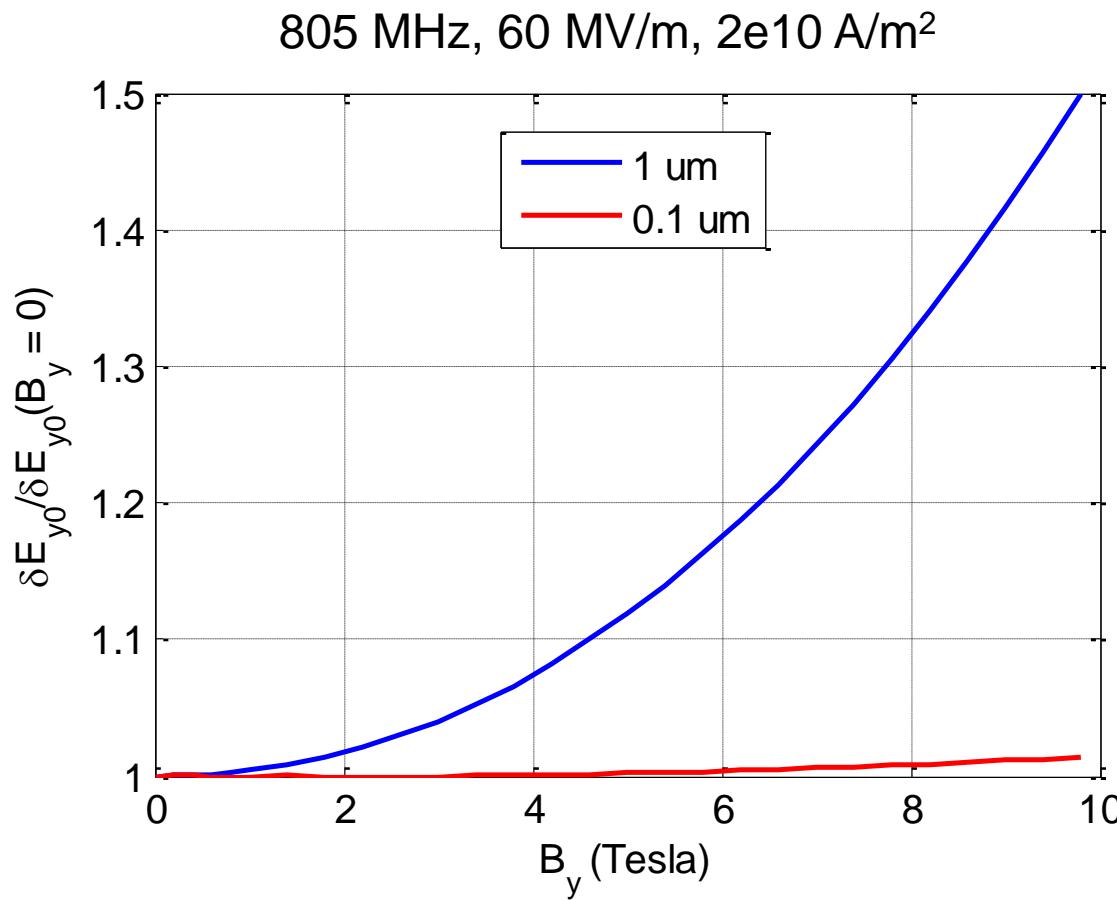
source cell

According to the phase slippage, the equivalent group velocity of a multi-cell cavity:

$$\beta_{gs} = \sqrt{k_0}$$



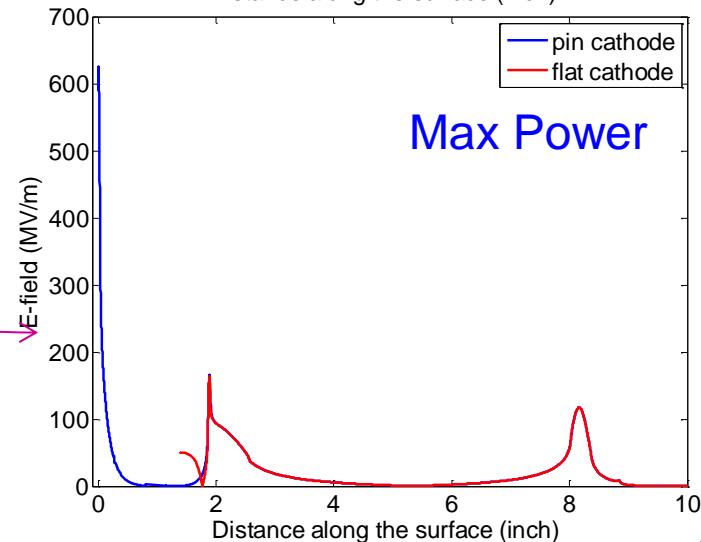
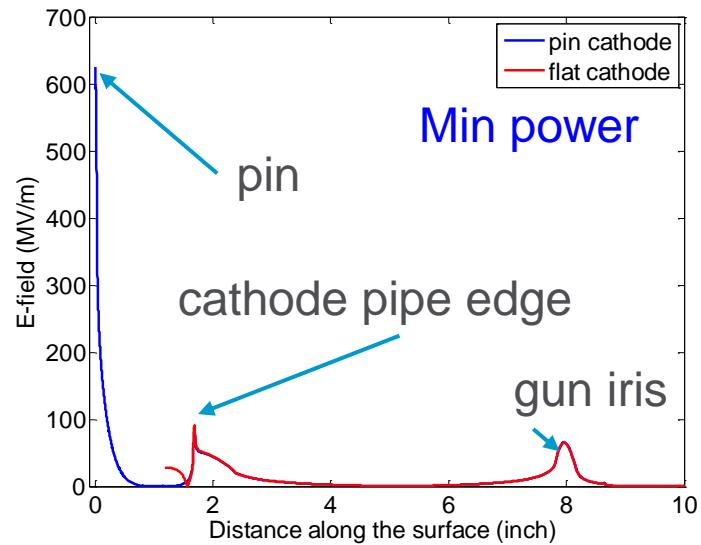
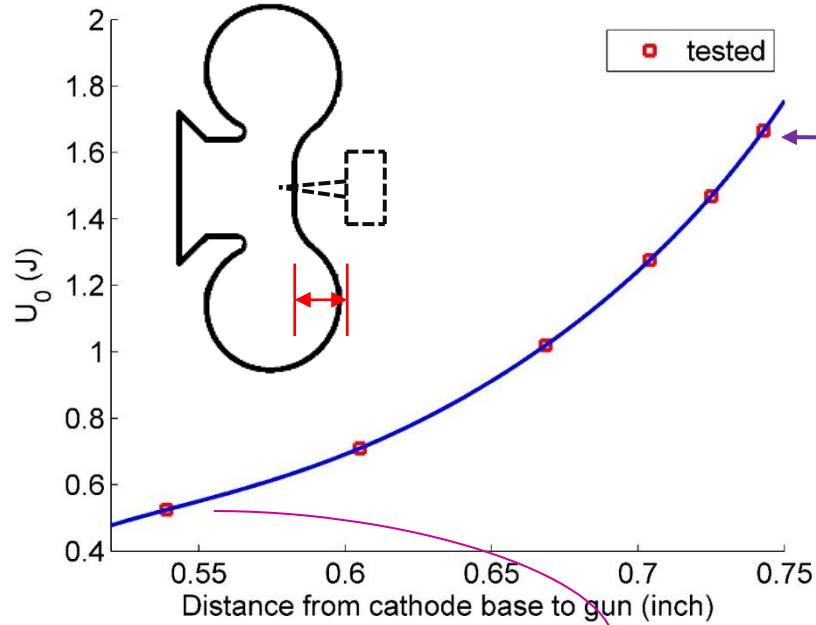
Micro FE → macro FE: Magnetic field



Magnetic field further enhances the field!

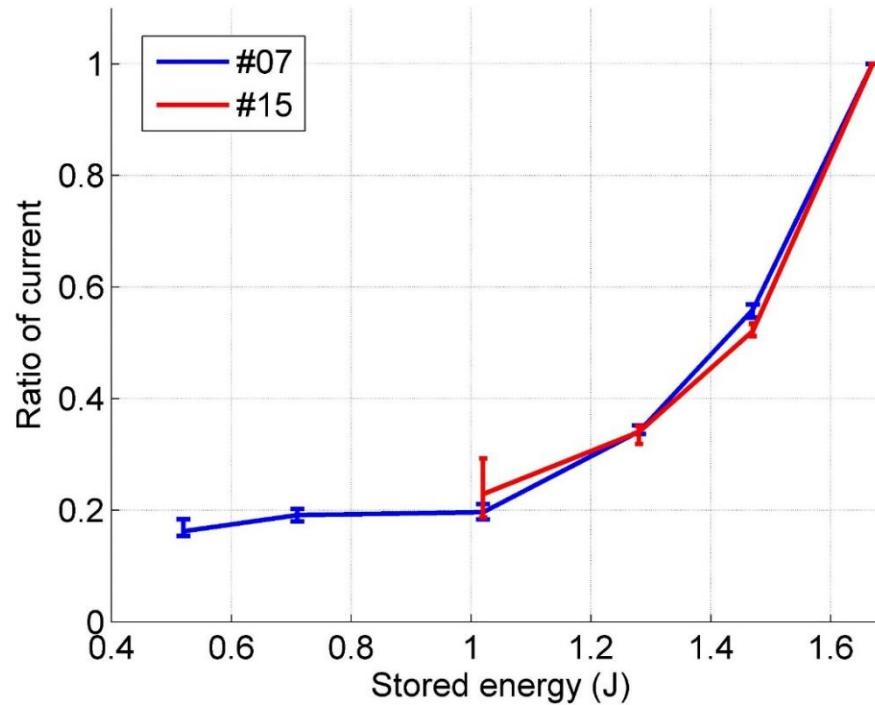
Experiment with an L-band Gun

J. Shao



Experiment results

J. Shao



With the same field on the pin cathode, the dark current depends strongly on the stored energy / net rf power flow

Effect of Macroscopic Geometry on Microscopic Field Emission

Field emission

- ❖ A microscopic phenomenon, E_s , φ ,
- ❖ the trigger of vacuum breakdown
- ❖ A macroscopic phenomenon, $E_s = E_a + \delta E(E_a, J_e, \omega, \beta_g, B \dots)$
- ❖ **The operational field of a system is original.**
- ❖ Damage – the consequence of breakdown.
- ❖ More power – more damage.
- ❖ the damaged surface might not limit the field.