

## **A Vlasov-Maxwell Solver to Study Microbunching Instability in the FERMI@ELETTRA First Bunch Compressor System**

Gabriele Bassi\*

University of Liverpool and the Cockcroft Institute, UK

Collaborators

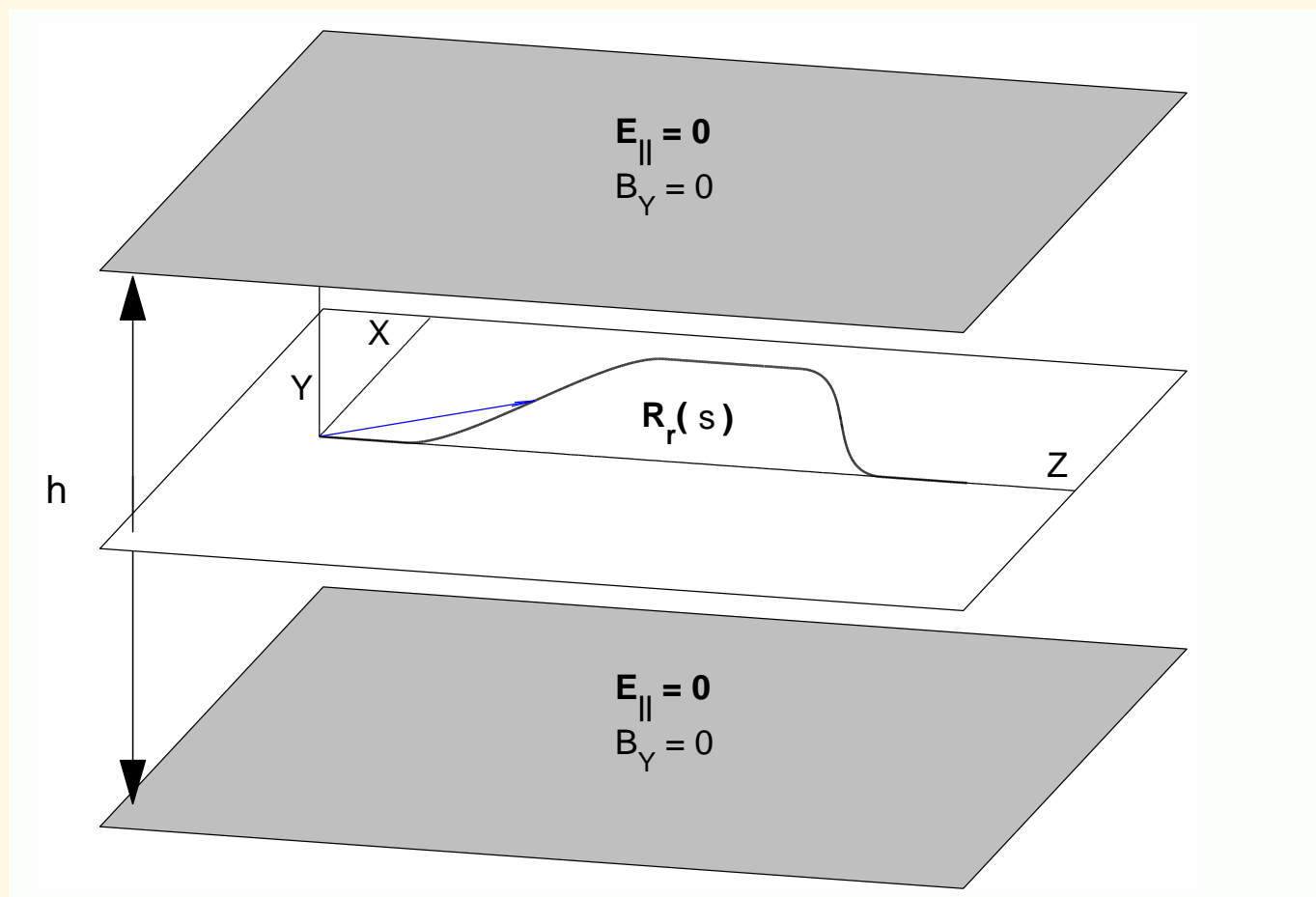
James A. Ellison, Klaus Heinemann, Math and Stat, UNM, Albuquerque, USA

Robert Warnock, SLAC, Stanford University, Stanford, USA

1. Self Consistent Vlasov-Maxwell Treatment
2. Field Calculation
3. Self Consistent Monte Carlo Method
4. Microbunching Instability Studies

\* Thanks to Office of Naval Research Global for support

## Self Consistent Vlasov-Maxwell Treatment I



## Self Consistent Vlasov-Maxwell Treatment II

Wave equation in **lab** frame with “2D” planar source:

$$(\partial_Z^2 + \partial_X^2 + \partial_Y^2 - \partial_u^2)\mathcal{E} = H(Y)\mathcal{S}(\mathbf{R}, u), \quad \mathcal{E}(\mathbf{R}, Y = \pm g, u) = 0.$$

where  $u = ct$ ,  $\mathcal{E}(\mathbf{R}, Y, u) = (E_Z, E_X, B)$ ,  $\mathbf{R} = (Z, X)$ .

**Vlasov** equation in **beam** frame:

$$f_s - \kappa(s)x f_z + F_z f_{p_z} + p_x f_x + [\kappa(s)p_z + F_x] f_{p_x} = 0$$

where

$$F_z = \frac{e}{\bar{v}\bar{E}} \mathbf{V} \cdot \mathbf{E},$$

$$F_x = \frac{e}{\bar{E}\bar{\beta}^2} [-\bar{X}'(s)E_Z + \bar{Z}'(s)E_X + \bar{v}B],$$

and  $\mathbf{V} = \bar{v}(\mathbf{t}(s) + p_x \mathbf{n}(s))$ ,  $\mathbf{E} = (E_Z, E_X)$  and  $B$  are evaluated at  $\mathbf{R} = \bar{\mathbf{R}}(s) + x\mathbf{n}(s)$  and  $u = (s - z)/\bar{\beta}$ .

## Field Calculation (Lab Frame)

$$\mathcal{E}(\mathbf{R}, u) := \langle \mathcal{E}(\mathbf{R}, \cdot, u) \rangle = \int_{-g}^g H(Y) \mathcal{E}(\mathbf{R}, Y, u) dY.$$

averaged field computed much more quickly

$$\mathcal{E}(\mathbf{R}, u) = -\frac{1}{2\pi} \sum_{k=0}^{\infty} (-1)^k \left(1 - \frac{\delta_{k0}}{2}\right) \int_{-\infty}^{u-kh} dv \int_{-\pi}^{\pi} d\theta \mathcal{S}(\hat{\mathbf{R}}, v, k)$$

where  $\hat{\mathbf{R}} = \mathbf{R} + \sqrt{(u-v)^2 - (kh)^2} (\cos \theta, \sin \theta)$ .

### Issues

- localization in  $\theta$  (angular size of the beam) for  $v \ll u - kh$  and in  $v$
- delicate calculation (must be done **cum grano salis**)

$\theta$  integration: **superconvergent** trapezoidal rule

$v$  integration: **adaptive** Gauss-Kronrod rule

## Beam to Lab Charge/Current Density Transformation

- To solve Maxwell equations in lab frame must express lab frame charge/current density in terms of beam frame phase space density
- To a good approximation lab frame charge/current densities are

$$\rho_L(\mathbf{R}, Y, u) = H(Y)\rho(\mathbf{r}, \beta u) ,$$

$$\mathbf{J}_L(\mathbf{R}, Y, u) = \beta c H(Y) [\rho(\mathbf{r}, \beta u) \mathbf{t}(\beta u + z) + \tau(\mathbf{r}, \beta u) \mathbf{n}(\beta u + z)],$$

$$\rho(\mathbf{r}, s) = Q \int dp_z dp_x f(\zeta, s), \quad \tau(\mathbf{r}, s) = Q \int dp_z dp_x p_x f(\zeta, s),$$

where  $\zeta = (z, p_z, x, p_x)$

**Remark:** subtlety in the change of independent variable  $u=ct \rightarrow s$

Derivation to be published in a forthcoming paper

## Self Consistent Monte Carlo Method

Outline and comparison with PIC for Vlasov-Poisson (VP) system from  $s$  to  $s + \Delta s$

- From scattered beam frame points at  $s \rightarrow$  **smooth/global** Lab frame charge/current density via a **2D** Fourier method (Charge deposition (+ filtering) in VP PIC).

**1D Example:**

1D orthogonal series estimator of  $f(x)$ ,  $x \in [0, 1]$

$$f_J(x) := \sum_{j=0}^J \theta_j \phi_j(x), \quad \theta_j = \int_0^1 \phi_j(x) f(x) dx, \quad \phi_0(x) = 1, \phi_j(x) = \sqrt{2} \cos(\pi j x), j = 1, 2, \dots$$

According to the fact that  $f(x)$  is a probability density

$$\theta_j = E\{I_{\{X \in [0,1]\}} \phi_j(X)\}, \quad \text{therefore a natural estimate is } \hat{\theta}_j := \frac{1}{N} \sum_{n=1}^N I_{\{X_n \in [0,1]\}} \phi_j(X_n)$$

- Calculate fields at  $s$  from **history** of Lab Frame charge/current density using our field formula (Solve Poisson Equation in VP PIC)
- Use fields at  $s$  to move the phase space points to  $s + \Delta s$  (Same in VP PIC)

## Microbunching in FERMI@ELETTRA First Bunch Compressor

**Microbunching** can cause an instability which **degrades** beam quality

This is a major concern for free electron lasers where very bright electron beams are required

FERMI@ELETTRA first bunch compressor system proposed as a **benchmark** for testing codes at the Workshop on the Microbunching Instability I in Trieste.

## FERMI@ELETTRA First Bunch Compressor Parameters

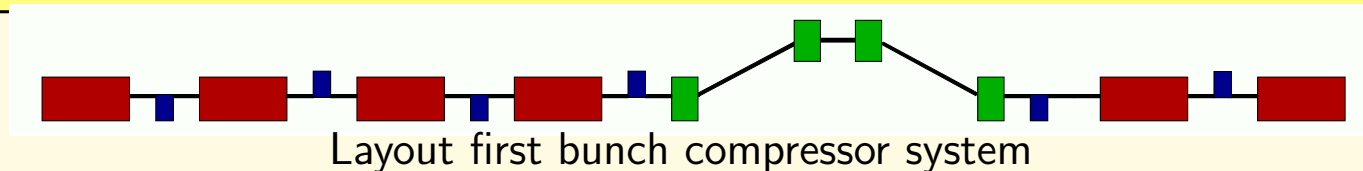
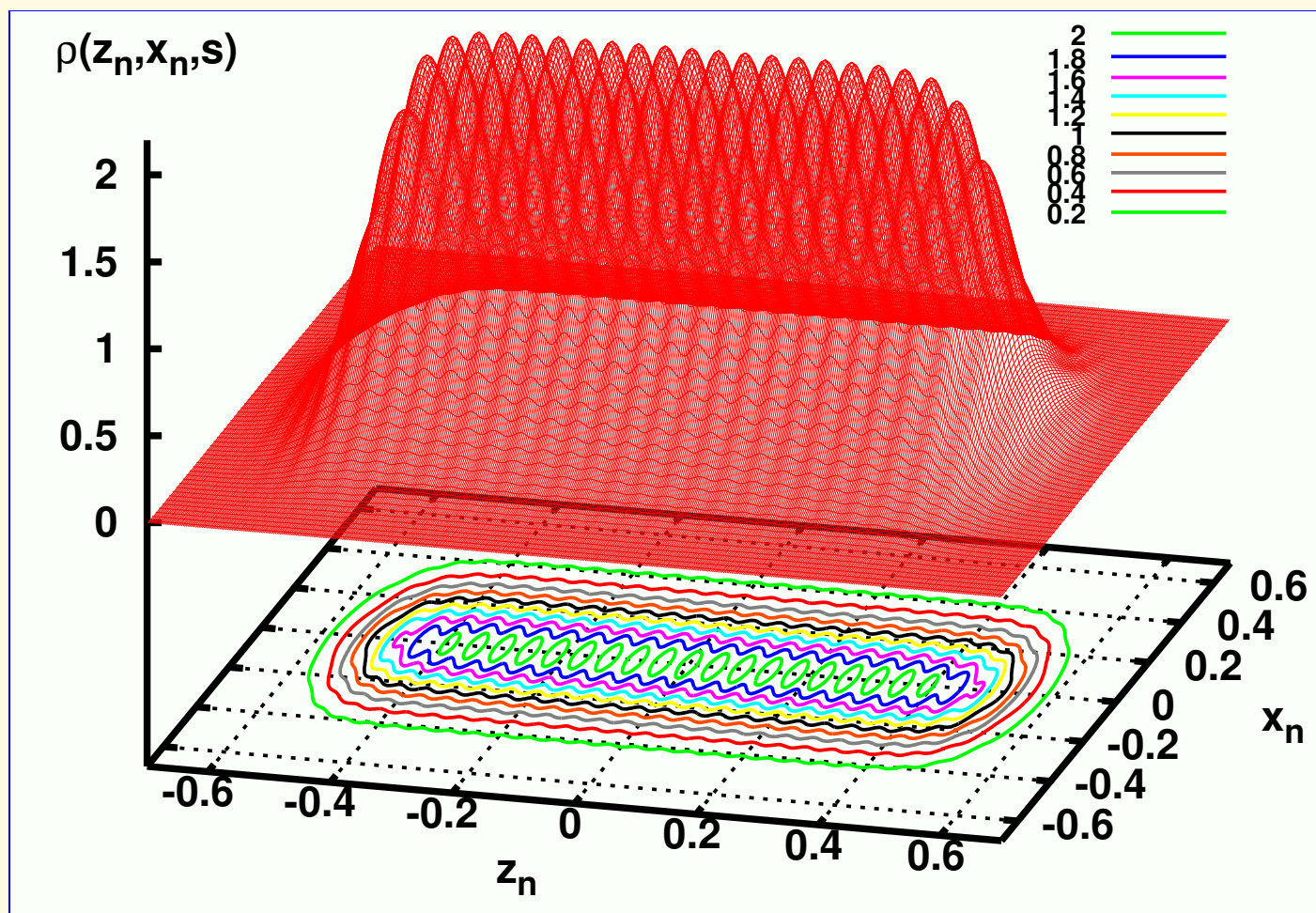


Table 1: Chicane parameters and beam parameters at first dipole

Parameter	Symbol	Value	Unit
Energy reference particle	$E_r$	233	MeV
Peak current	$I$	120	A
Bunch charge	$Q$	1	nC
Norm. transverse emittance	$\gamma\epsilon_0$	1	$\mu\text{m}$
Alpha function	$\alpha_0$	0	
Beta function	$\beta_0$	10	m
Linear energy chirp	$u$	-27.5	1/m
Uncorrelated energy spread	$\sigma_E$	2	KeV
Momentum compaction	$R_{56}$	0.0025	m
Radius of curvature	$\rho_0$	5	m
Magnetic length	$L_b$	0.5	m
Distance 1st-2nd, 3rd-4th bend	$L_1$	2.5	m
Distance 2nd-3rd bend	$L_2$	1	m

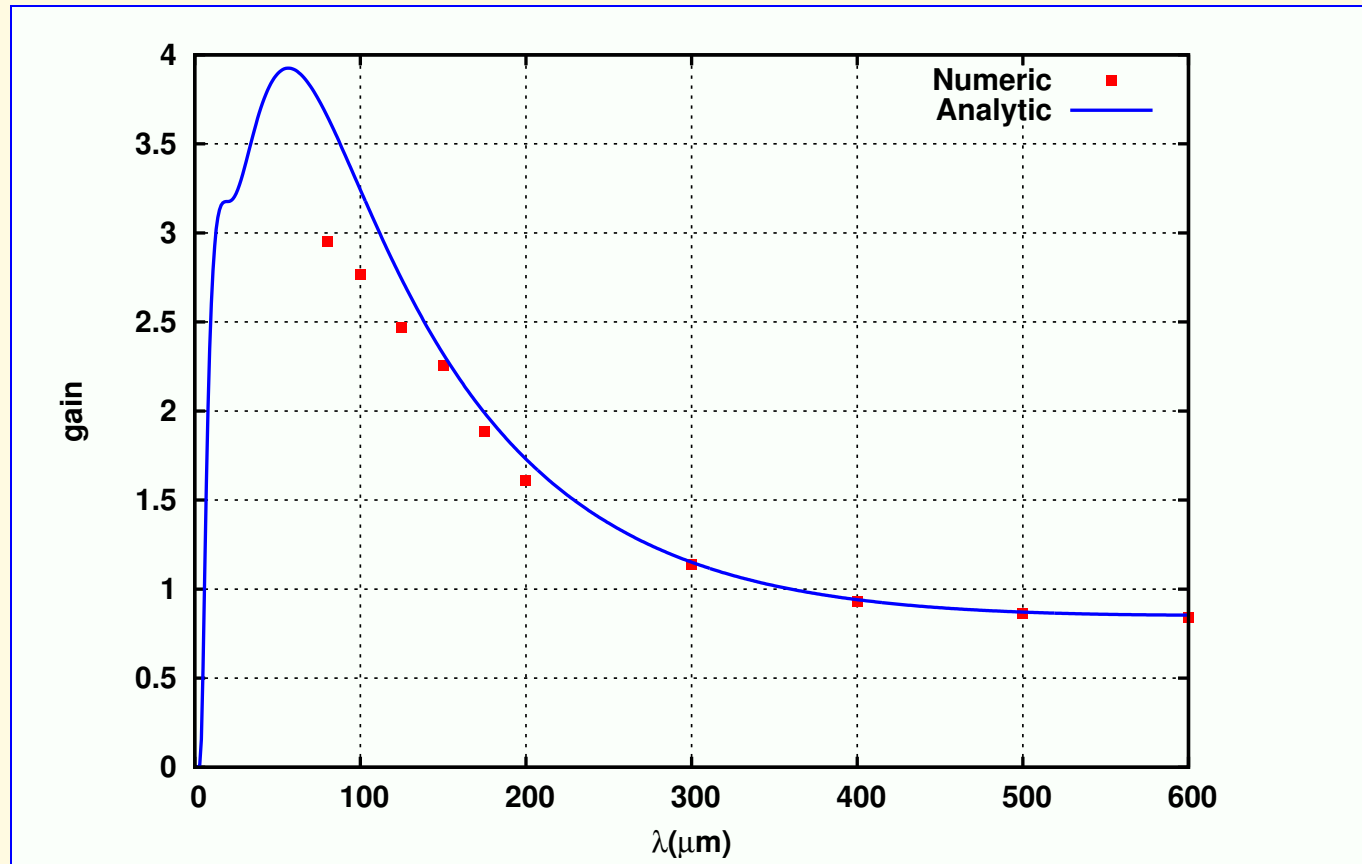


## FERMI@ELETTRA First Bunch Compressor I



Initial charge density in norm. coordinates for  $A=0.05$ ,  $\lambda = 100\mu\text{m}$ .  
 Init. phase space density =  $(1 + A \cos(2\pi z/\lambda))\mu(z)\rho_c(z, p_z)g(x, p_x)$ .

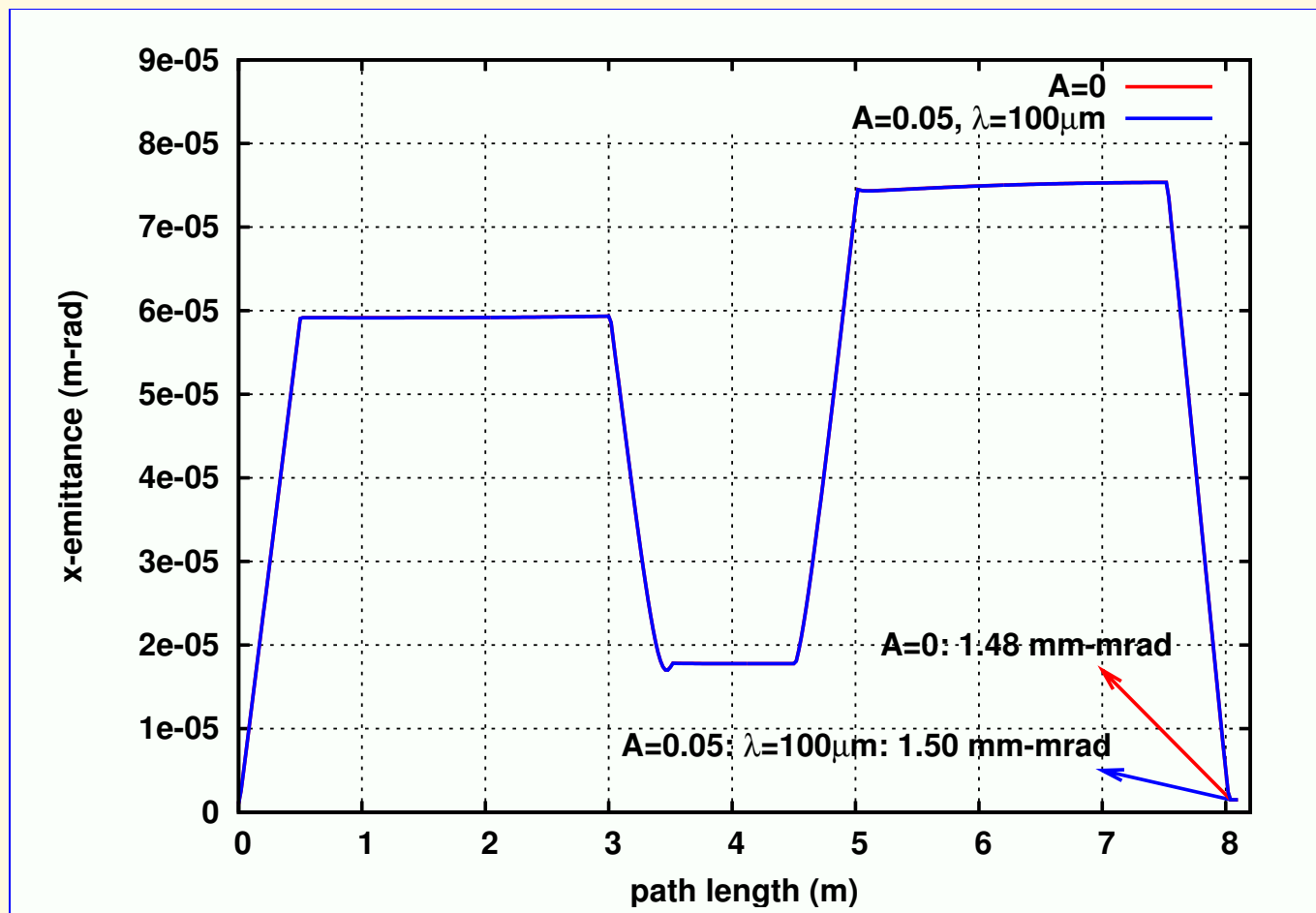
### Gain factor



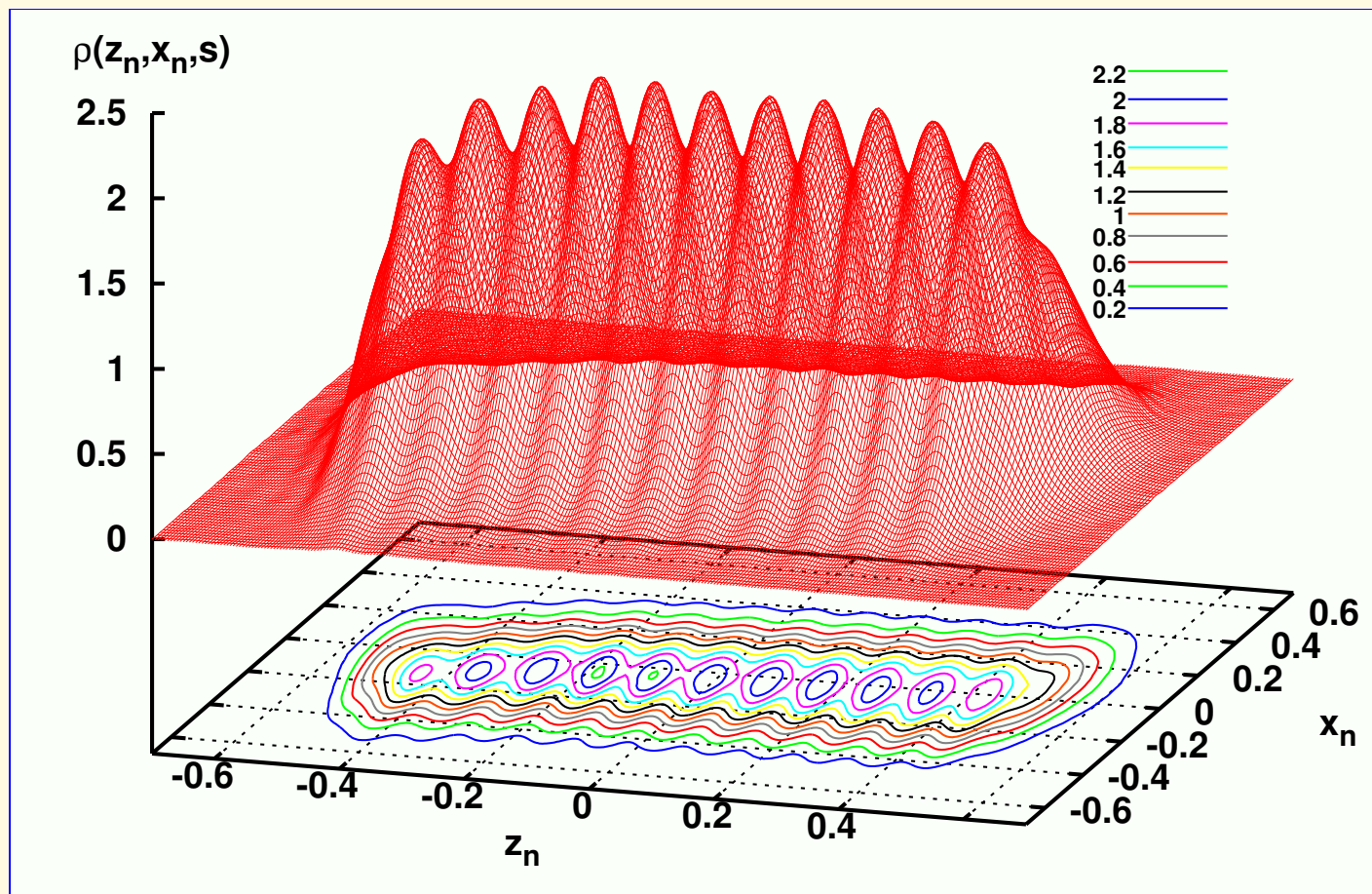
Gain factor  $:= |b(k_f, s_f)/b(k_0, 0)|$ , where  $b(k, s) = \int dz \exp(-ikz)F(z, s)$  and  $k_f = k_0/(1 + uR_{56}(s_f))$  for a given initial wavelength  $\lambda = 2\pi/k_0$ . Here the compressor factor  $C = 1/(1 + uR_{56}(s_f)) = 3.54$ ,  $s_f = 8.029\text{m}$ .

**FERMI@ELETTRA First Bunch Compressor II**

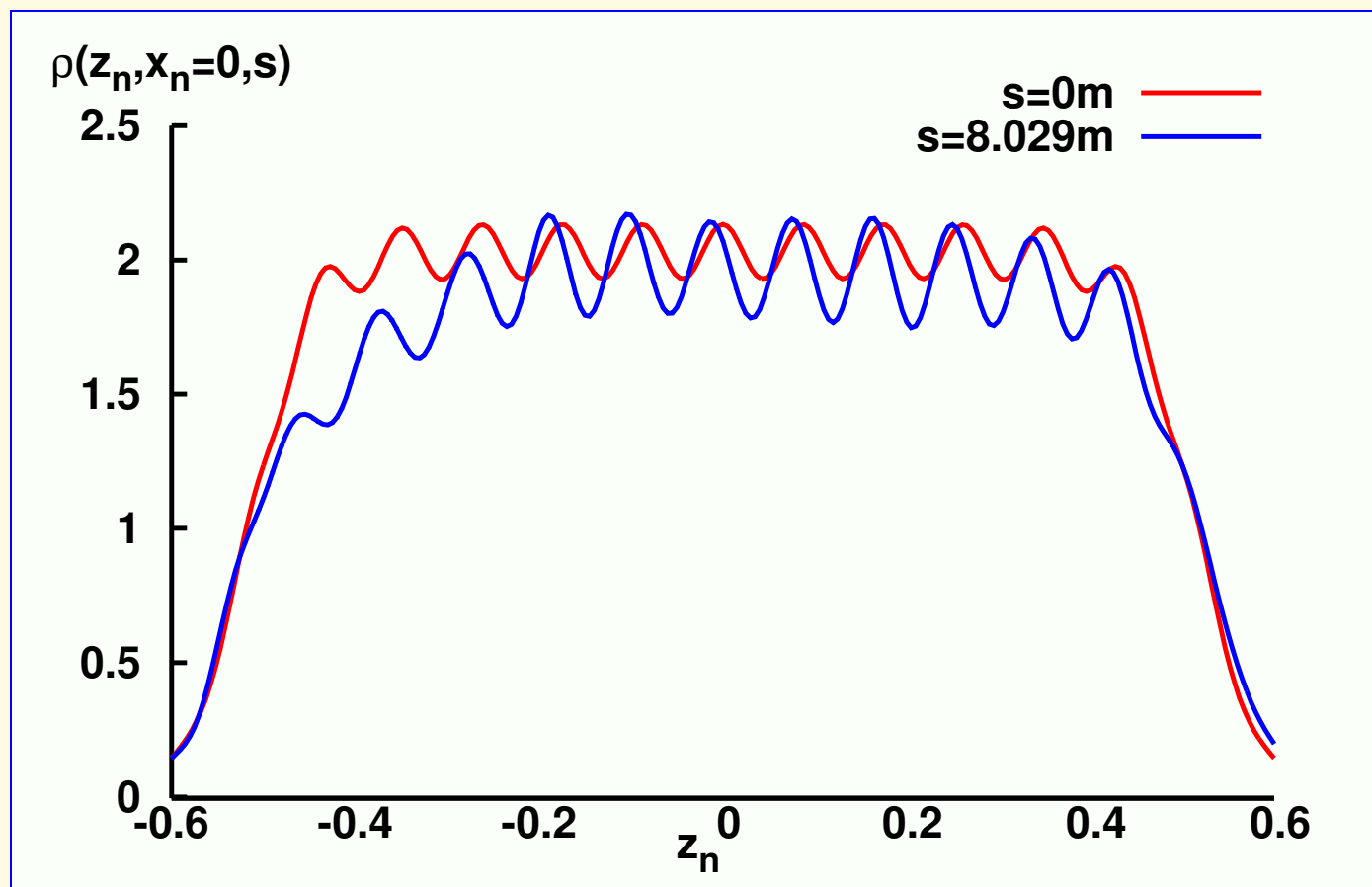
Mean power

**FERMI@ELETTRA First Bunch Compressor III**

x-emittance

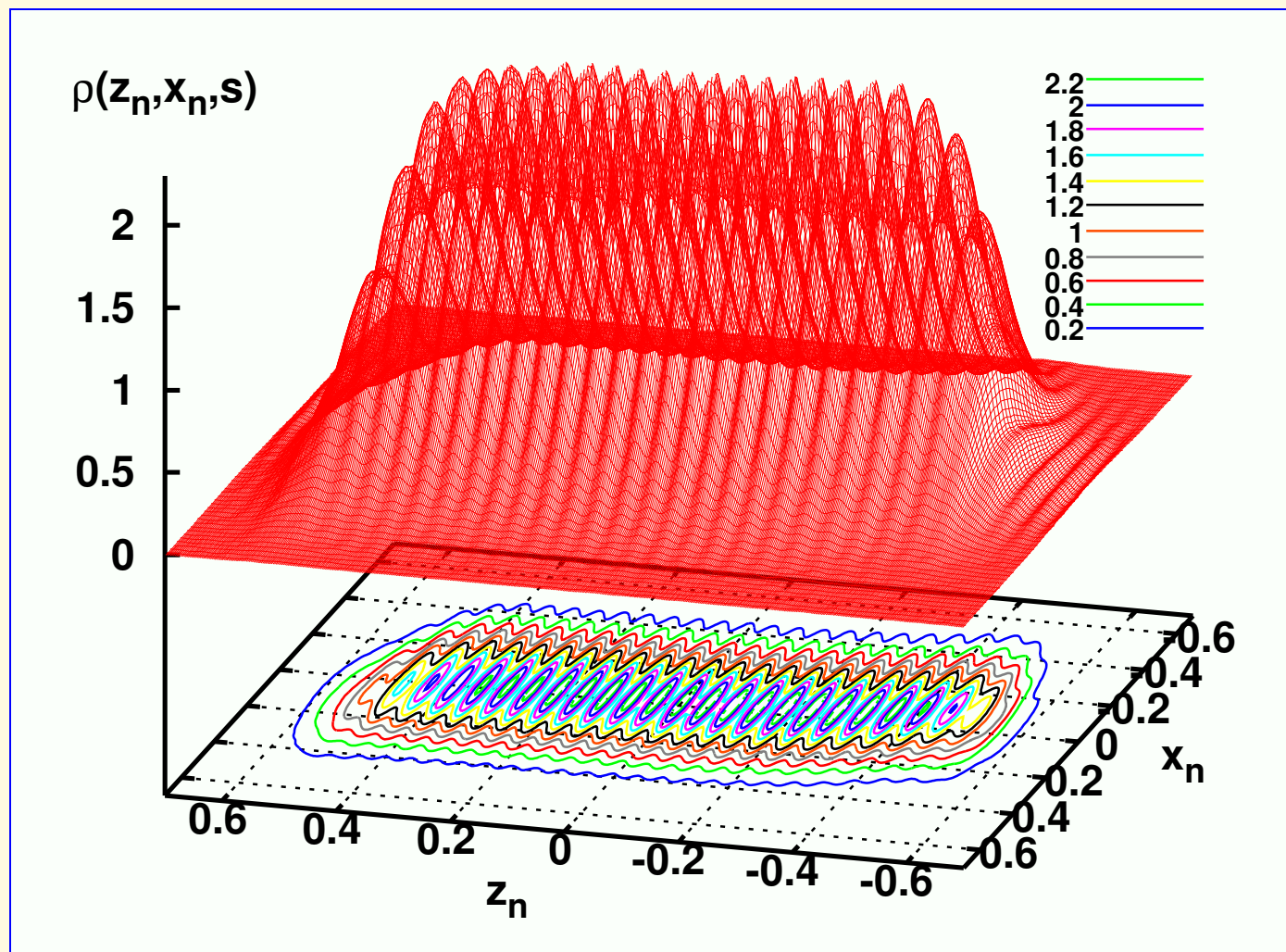
**FERMI@ELETTRA First Bunch Compressor IV**

Charge density in normalized coordinates at  $s = 8.029\text{m}$  for  $\lambda = 200\mu\text{m}$ .

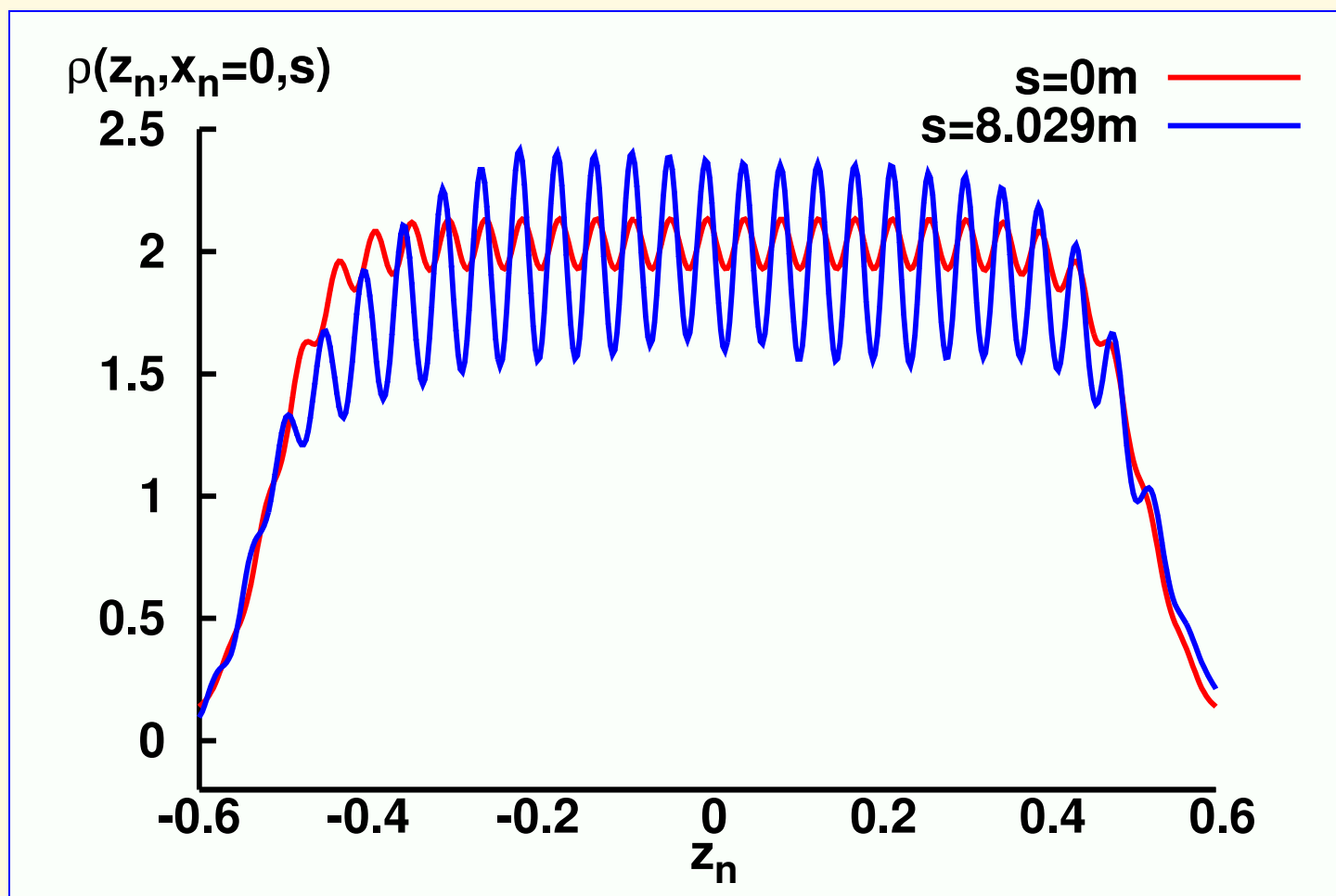
**FERMI@ELETTRA First Bunch Compressor V**

Section of charge density in norm. coord. at  $s = 8.029m$  for  $\lambda = 200\mu m$



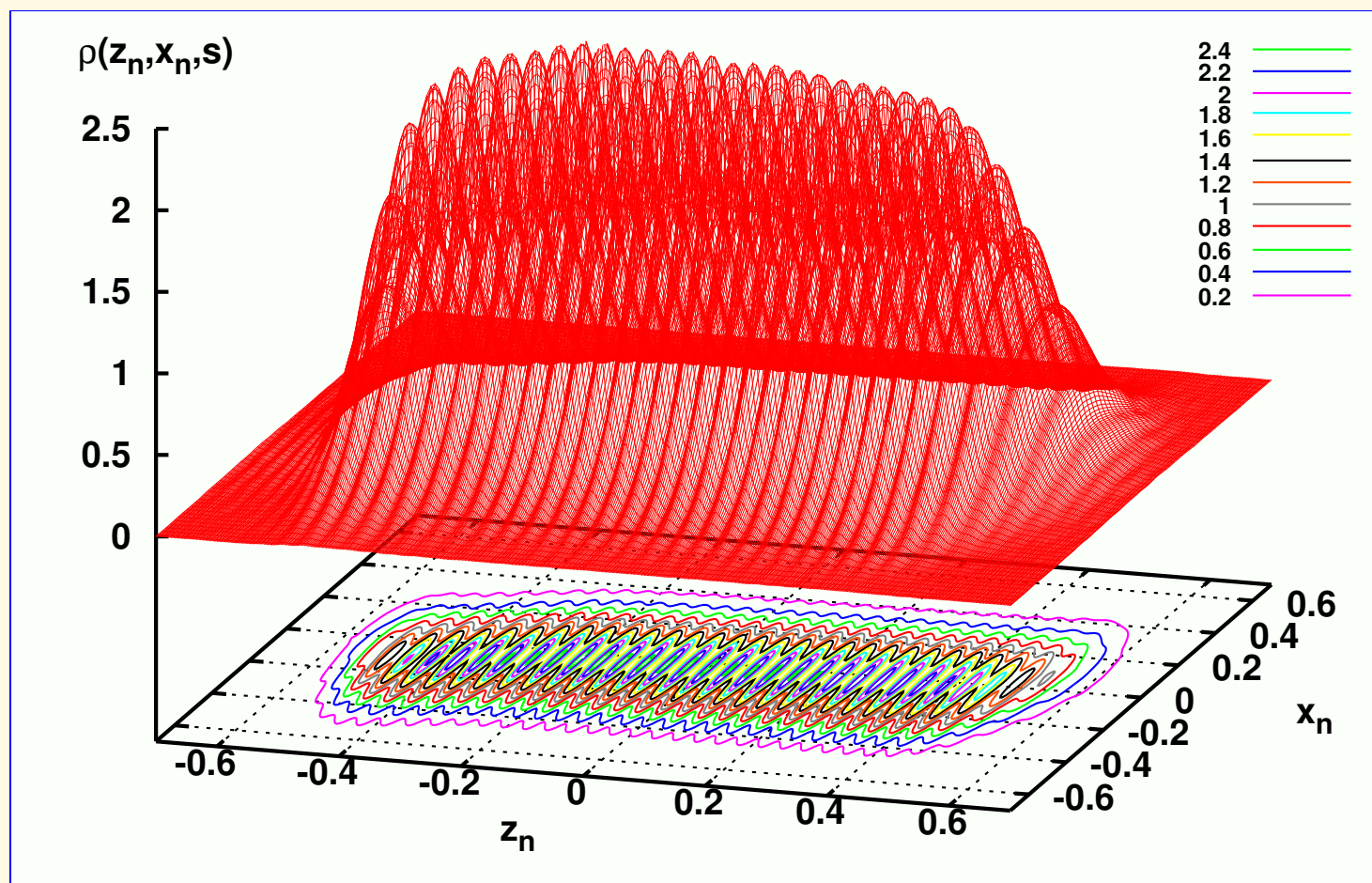
**FERMI@ELETTRA First Bunch Compressor VI**

Charge density in norm. coordinates at  $s = 8.029\text{m}$  for  $\lambda = 100\mu\text{m}$

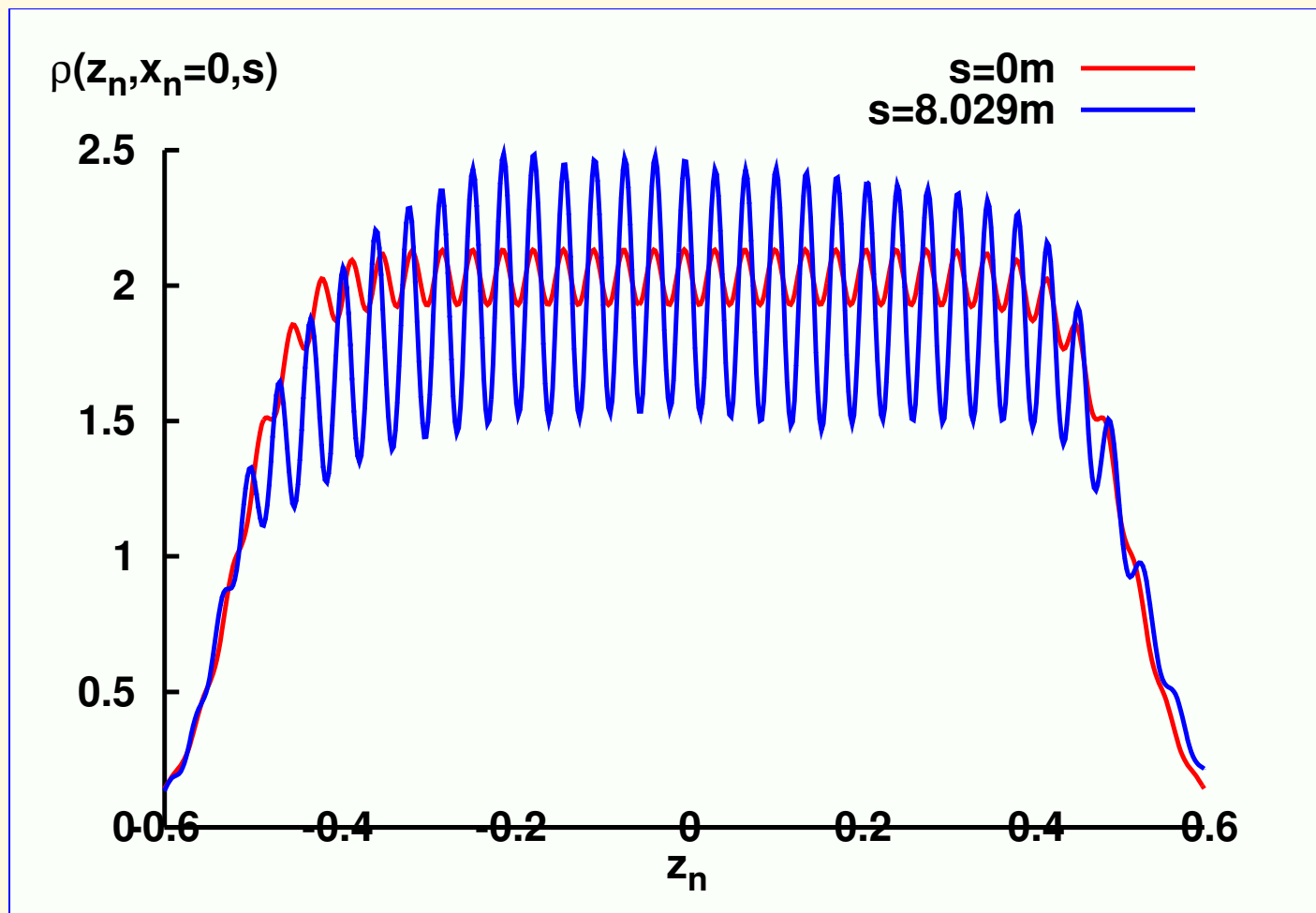
**FERMI@ELETTRA First Bunch Compressor VII**

Comparison of  $\rho(z_n, 0, s)$  at  $s = 8.029\text{m}$  and  $s = 0\text{m}$  for  $\lambda = 100\mu\text{m}$

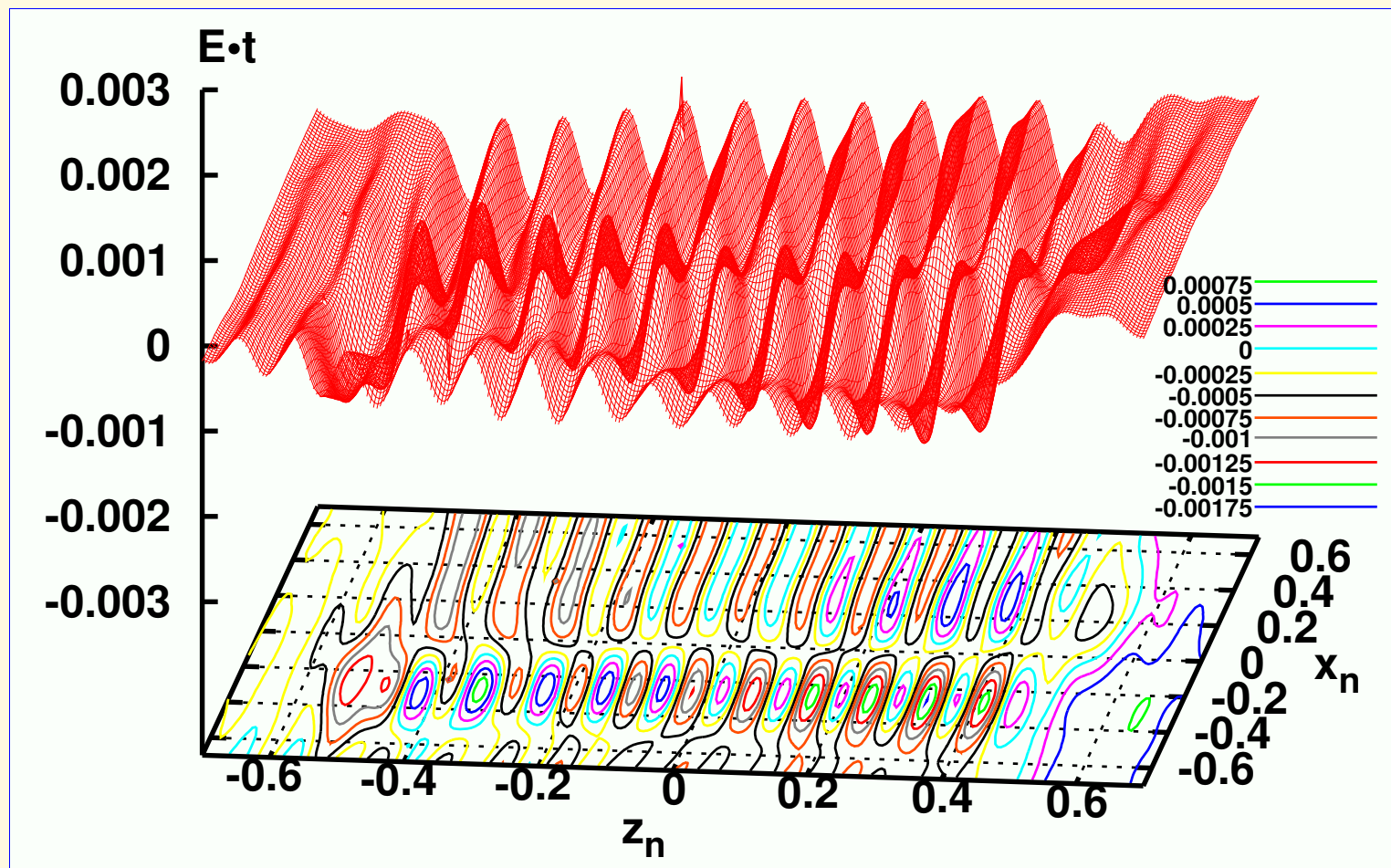


**FERMI@ELETTRA First Bunch Compressor VIII**

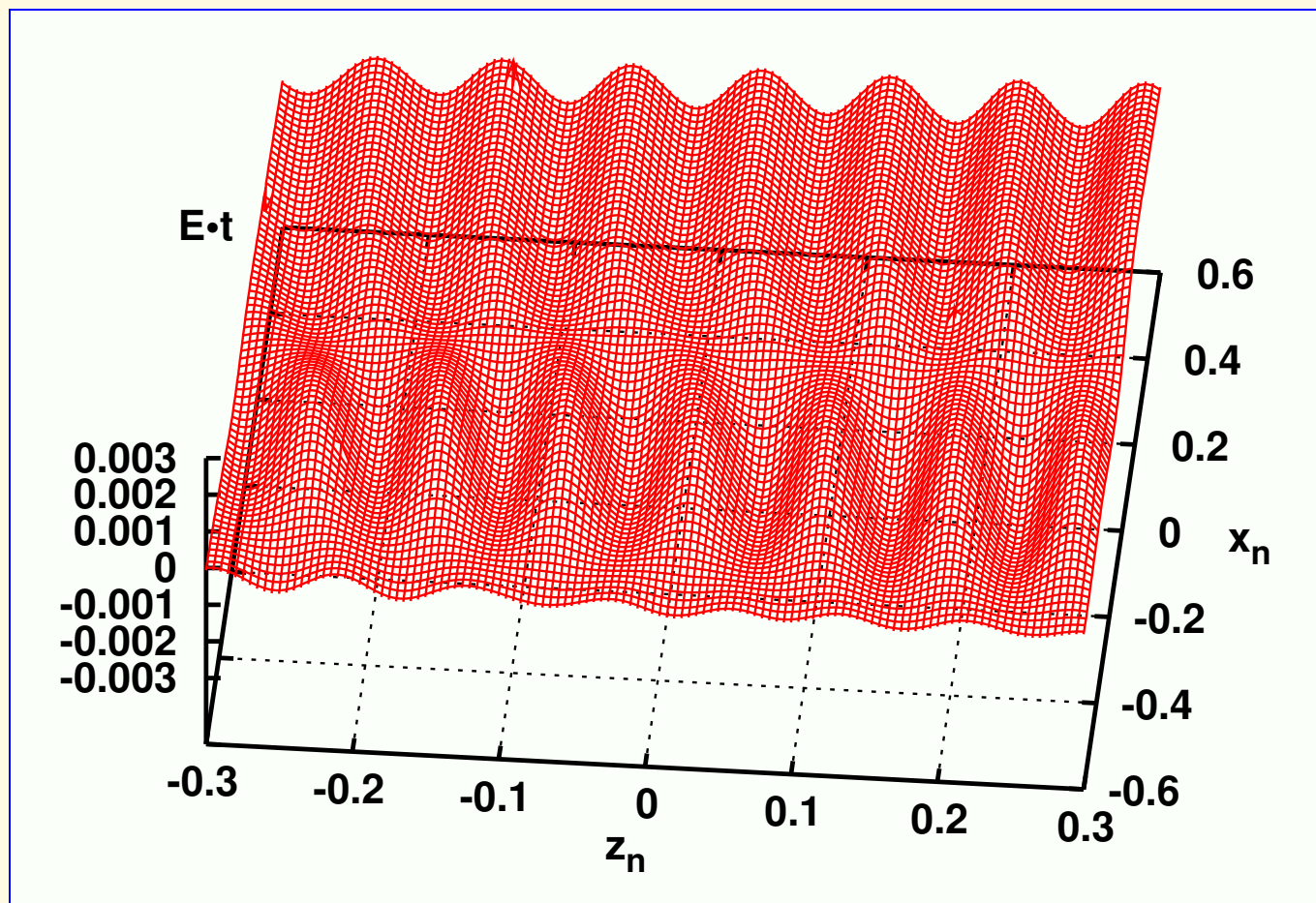
Charge density in norm. coordinates at  $s = 8.029\text{m}$  for  $\lambda = 80\mu\text{m}$

**FERMI@ELETTRA First Bunch Compressor IX**

Comparison of  $\rho(z_n, 0, s)$  at  $s = 8.029\text{m}$  and  $s = 0\text{m}$  for  $\lambda = 80\mu\text{m}$

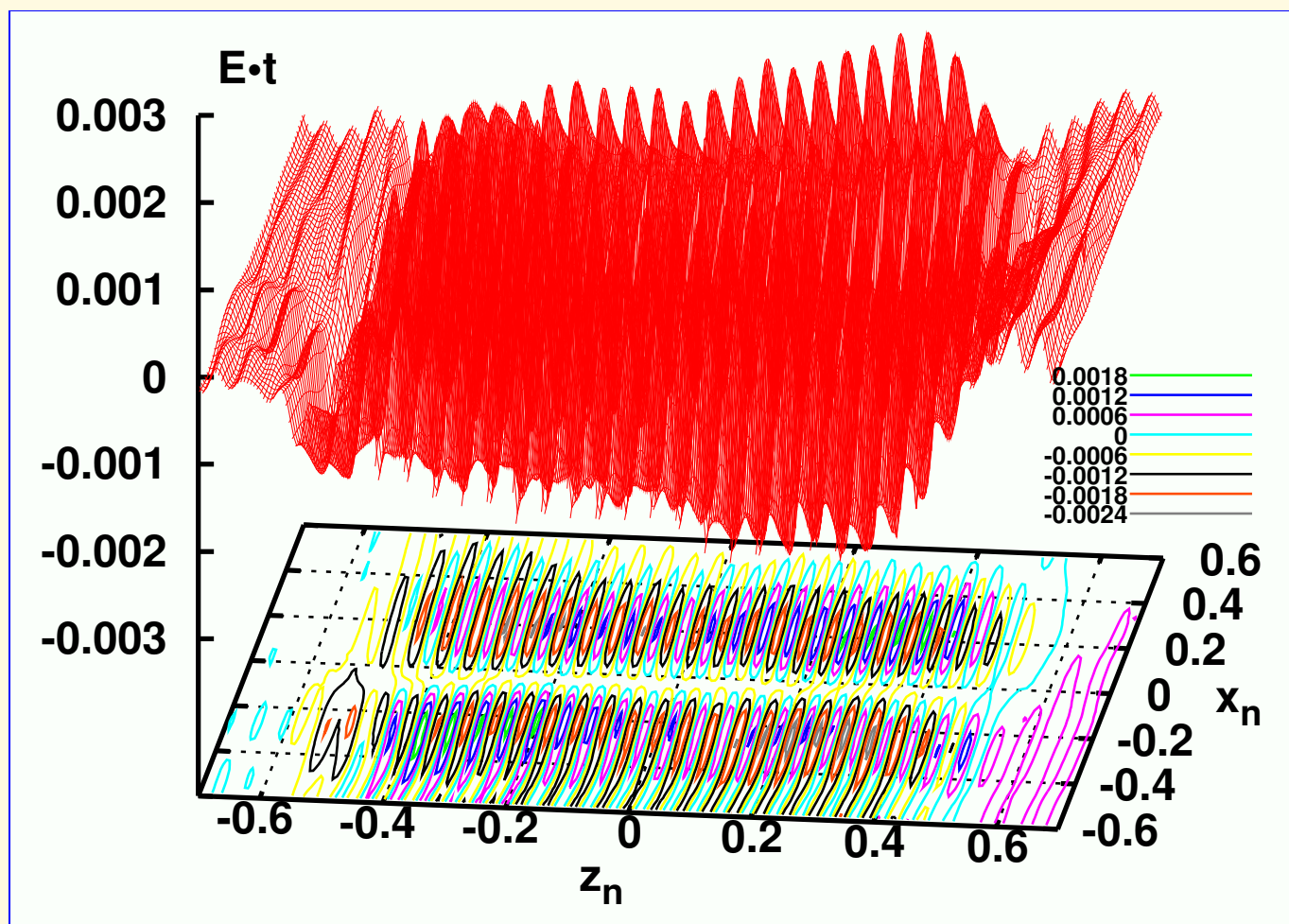
**FERMI@ELETTRA First Bunch Compressor X**

$E \cdot t$  in normalized coordinates at  $s=8.029\text{m}$  for  $\lambda = 200\mu\text{m}$ .

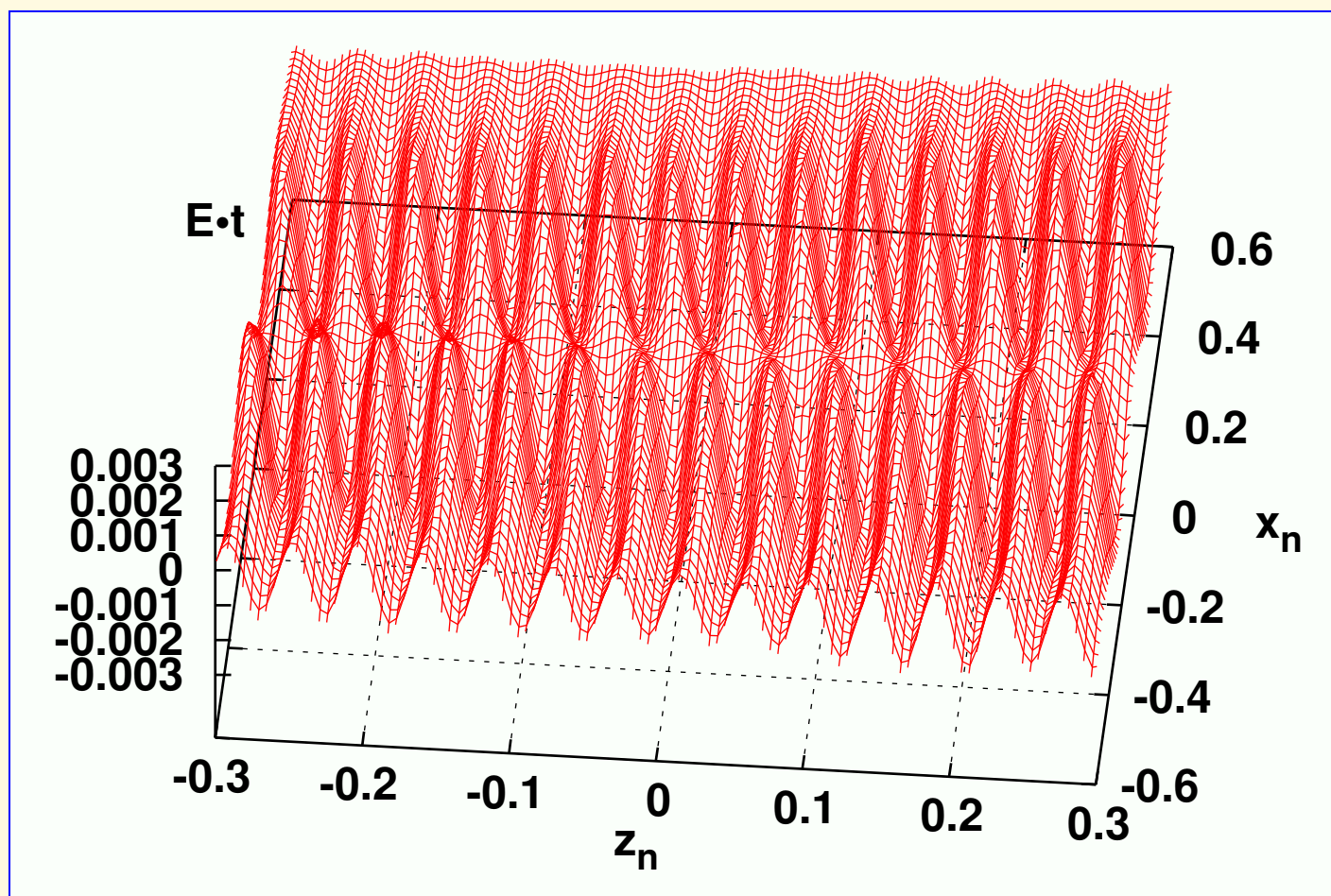
**FERMI@ELETTRA First Bunch Compressor XI**

Enlargement of  $E \cdot t$  in norm. coord. at  $s=8.029\text{m}$  for  $\lambda = 200\mu\text{m}$ .

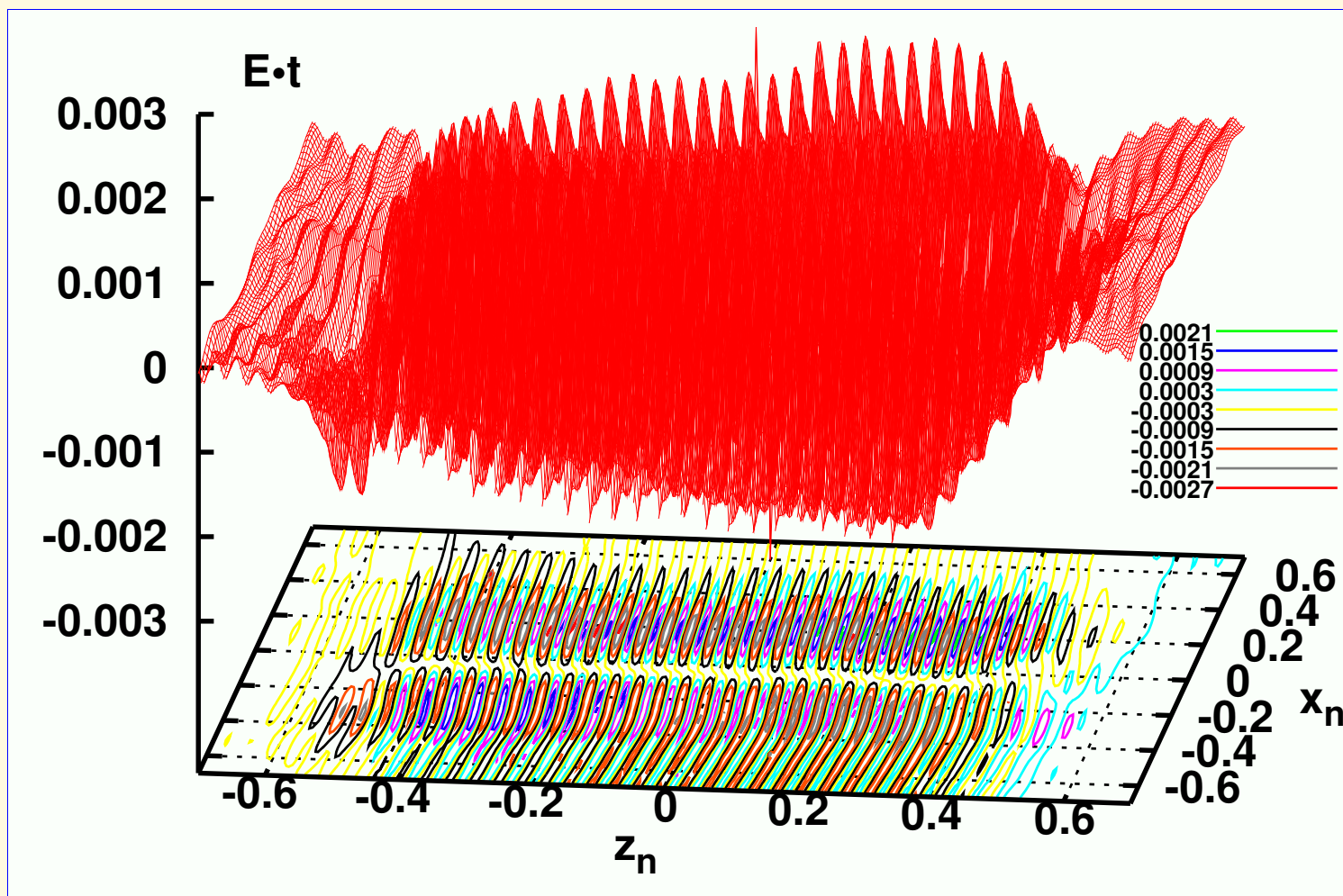


**FERMI@ELETTRA First Bunch Compressor XII**

$E \cdot t$  in normalized coordinates at  $s=8.029\text{m}$  for  $\lambda = 100\mu\text{m}$ .

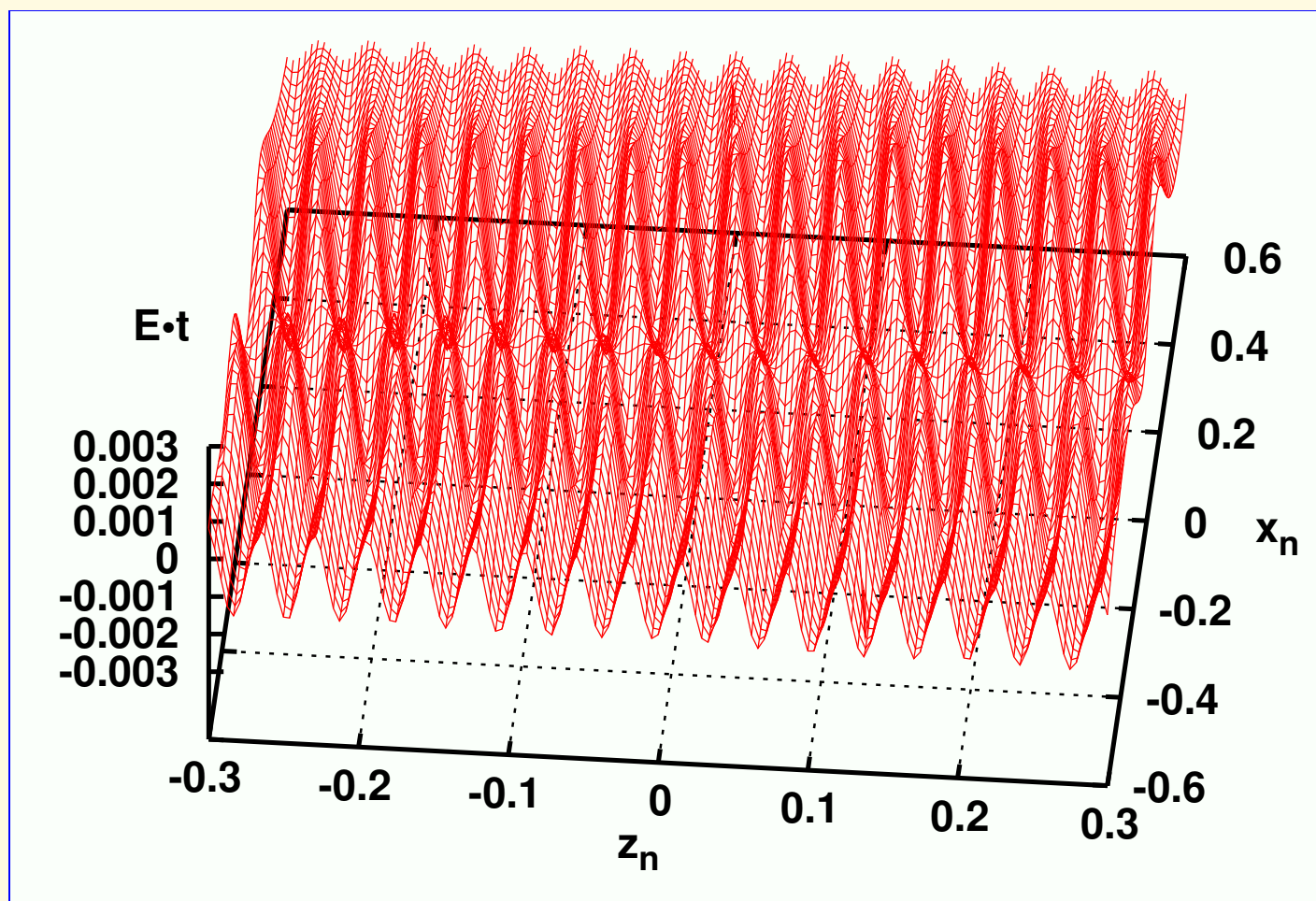
**FERMI@ELETTRA First Bunch Compressor XIII**

Enlargement of  $E \cdot t$  in norm. coord. at  $s=8.029\text{m}$  for  $\lambda = 100\mu\text{m}$ .

**FERMI@ELETTRA First Bunch Compressor XIV**

$E \cdot t$  in norm. coord. at  $s=8.029\text{m}$  for  $\lambda = 80\mu\text{m}$ .



**FERMI@ELETTRA First Bunch Compressor XV**

Enlargement of  $E \cdot t$  in norm. coord. at  $s=8.029\text{m}$  for  $\lambda = 80\mu\text{m}$ .



## Main Issues and Accomplishments

- FERMI@ELETTRA microbunching studies at  $\lambda \geq 80\mu\text{m}$ :
  - Very small effect of  $\mu\text{BI}$  on mean power and transverse emittance
  - Gain factor at short wavelengths indicates weaker  $\mu\text{BI}$  than predicted by analytical formula
  - Simulations done at the HPC at UNM and on NERSC at LBNL, typical runs on NERSC: N procs = 200-700, N particles =  $2 \times 10^7$ - $2 \times 10^8$ , 10-20 hours of CPU time
- Storage/computational cost very important
  - Analytical work + state of the art numerical techniques: integration, interpolation, density estimation, quasirandom generator
  - Parallel computing
- Delicacy of field calculation, support of charge/phase space density

### Future Work

- Study wavelengths shorter than  $\lambda = 80\mu\text{m}$  and different amplitudes of the initial modulation
- Complete studies for benchmark microbunching instability including RF cavities
- A paper will be submitted shortly to PRSTAB EPAC08 Special Issue