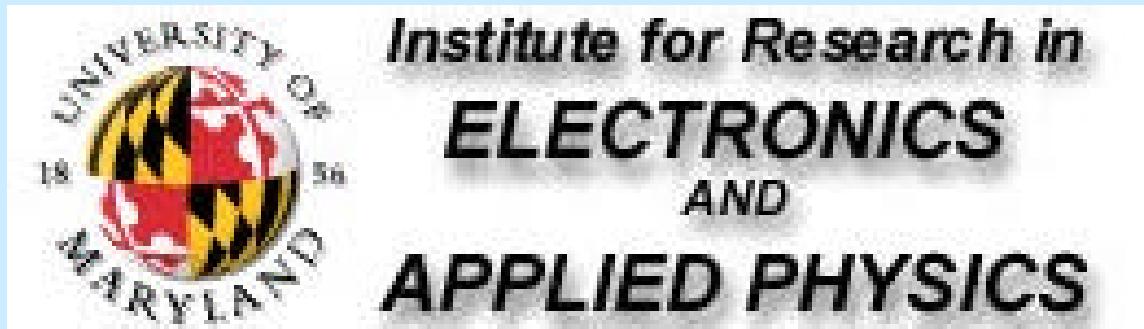


Selected Topics of Theory and Experiment on the Space- Charge-Dominated Beam Physics

Y. Zou



www.ireap.umd.edu



Outline

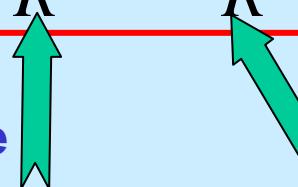
- Part I: general concepts of space-charge-dominated beams.
- Part II: University of Maryland Electron Ring(UMER) and its components.
 - Diagnostics: BPM, energy analyzer ...
- Part III: selected experimental and theoretical results
 - Experimental study of beam energy spread evolution in intense beam
 - Theoretical study of beam emittance of a gridded electron gun
 - Experimental study of Resistive wall instability

Beam Transport in a Uniform Focusing Channel

Beam envelope equation:

$$R'' + k_0^2 R - \frac{K}{R} - \frac{e^2}{R^3} = 0$$

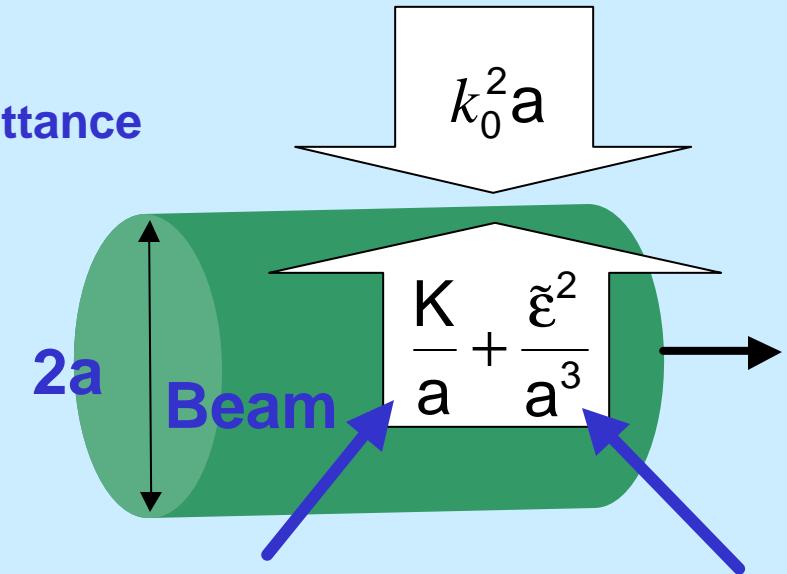
External focusing force



$$K = \frac{I}{I_0} \frac{2}{b^3 g^3} (1 - g^2 f_e)$$

generalized permeance

external focusing



Matched Beam:

$$k_0^2 a = \frac{K}{a} + \frac{e^2}{a^3}$$

Space charge force Emittance

Define intensity parameter (c)

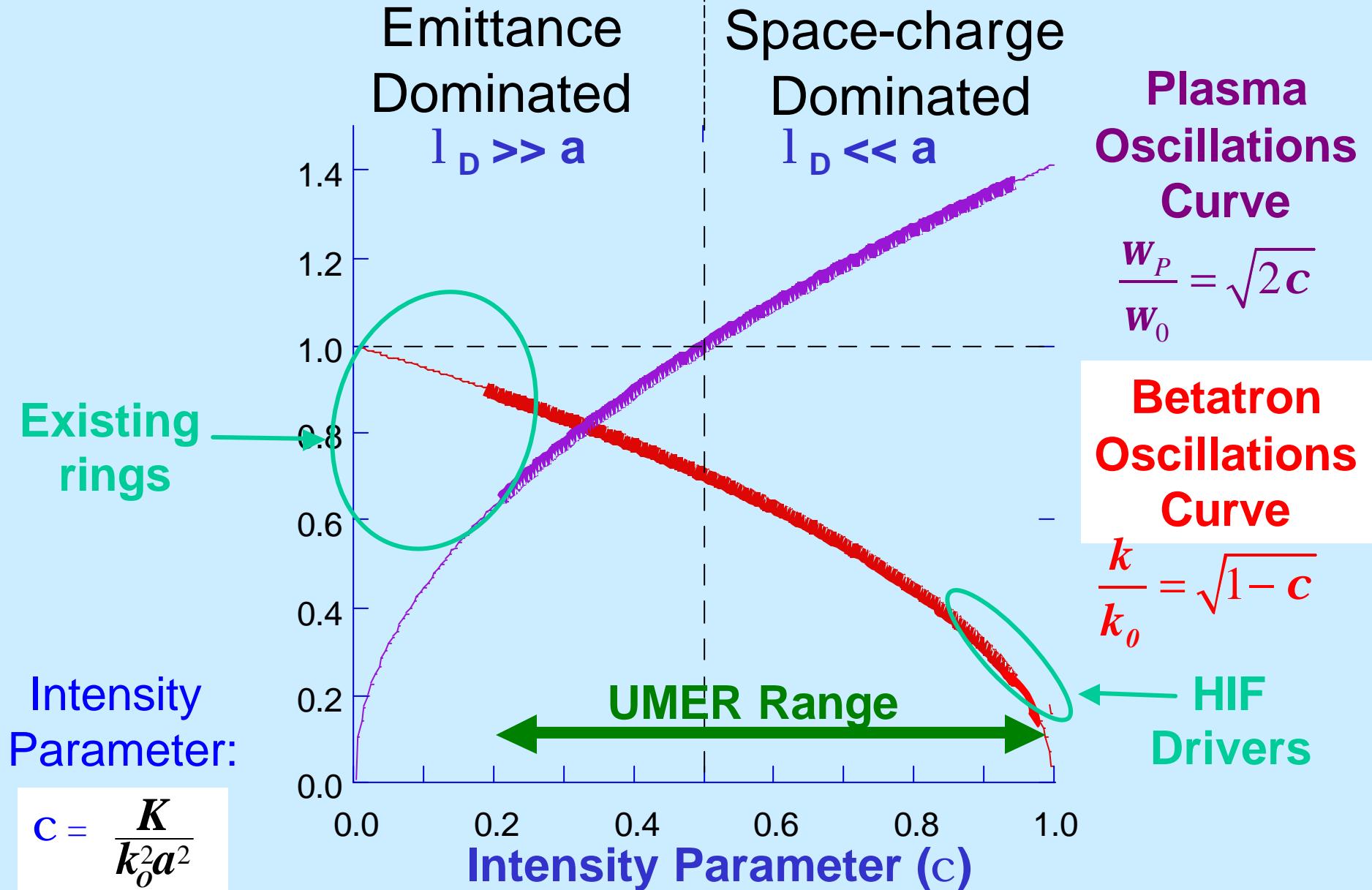
$$\chi = \frac{K}{k_0^2 a^2} = \frac{\text{space charge force}}{\text{external force}}$$

Betatron tune depression: $\frac{k}{k_0} = \frac{v}{v_0} = \sqrt{1 - \chi}$

Plasma frequency $\frac{\omega_p}{\omega_0} = \sqrt{2\chi}$



Space-Charge Dominated vs Emittance Dominated

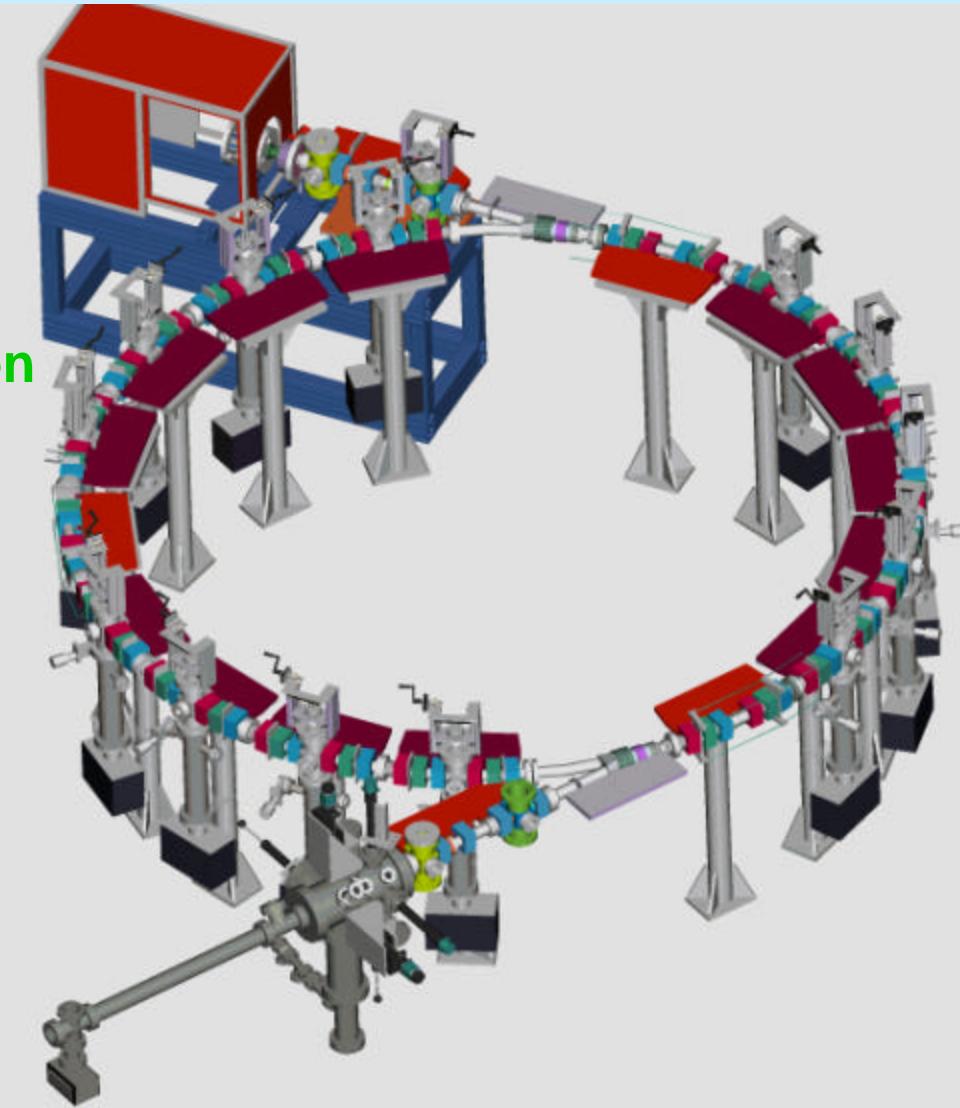




University of Maryland Electron Ring

UMER designed to serve as a **research platform**
for intense beam physics

- Beam Energy: 10 keV
- Beam current: 100 mA
- Generalized perveance 1.5×10^{-3}
- Emittance, 4x rms, norm 10 micron
- Pulse Length 50 - 100 ns
- Bunch charge 5 nC
- Circumference 11.52 m
- Lap time 197 ns
- Tune Depression $(k/k_0) > 0.15$



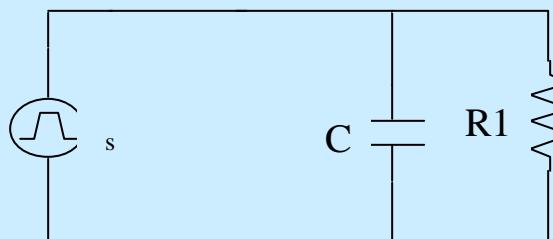
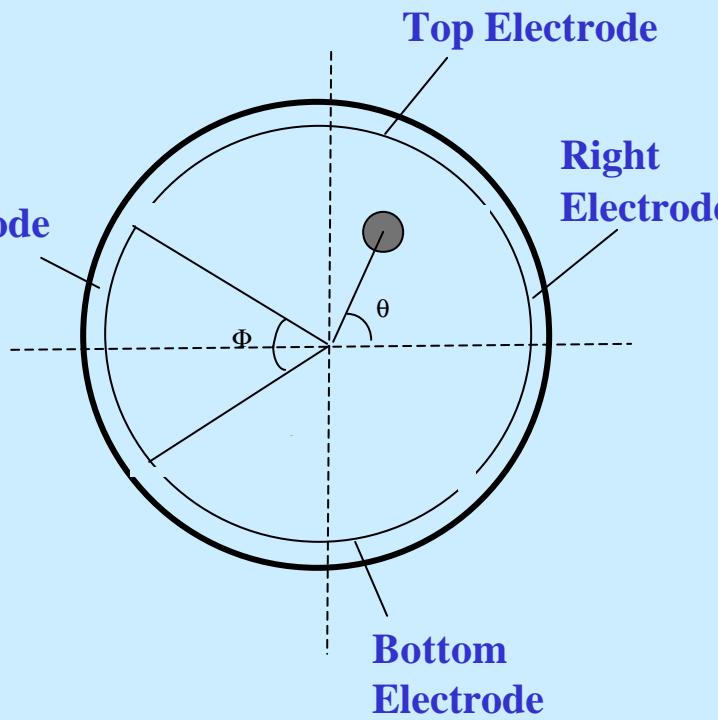


Diagnostics Available

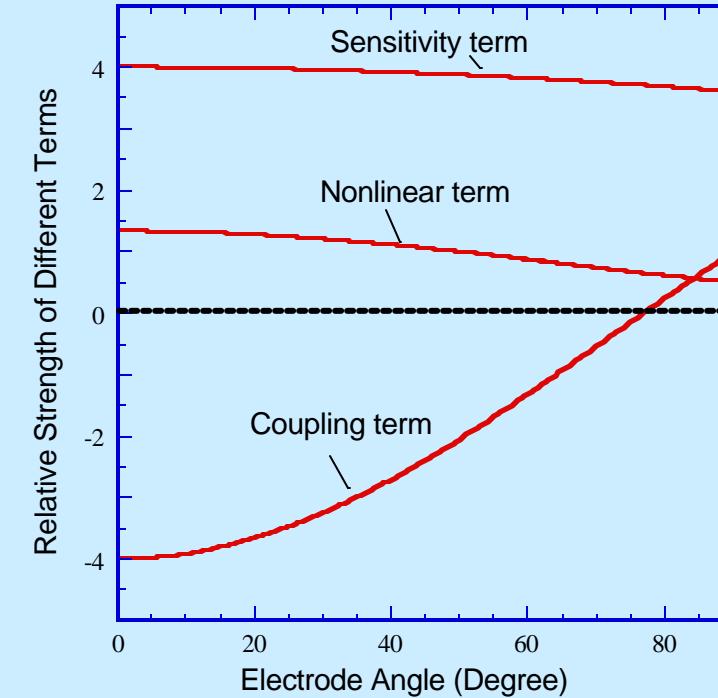
- Fast Current Monitors (2+) (rise time < 200 ps)
- **Beam Position Monitors** (17 BPMs)
- Phosphor Screens (18+ P-Screens)
- End Diagnostic Chamber:
 - Energy Analyzer**
 - Pepper-pot Emittance
(Phase Space) Monitor
 - Slit-Wire Emittance
(Phase Space) Monitor
 - Faraday Cup

UMER Diagnostics –BPM[1,2]

Left
Electrode



$$20Ln(V_R / V_L) = A \frac{x}{b} + B \left(\frac{x}{b} \right)^3 + C \frac{xy^2}{b^3}$$



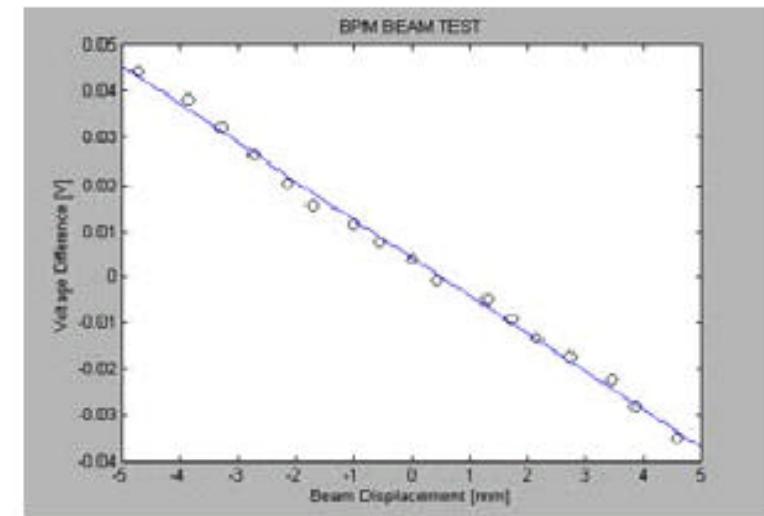
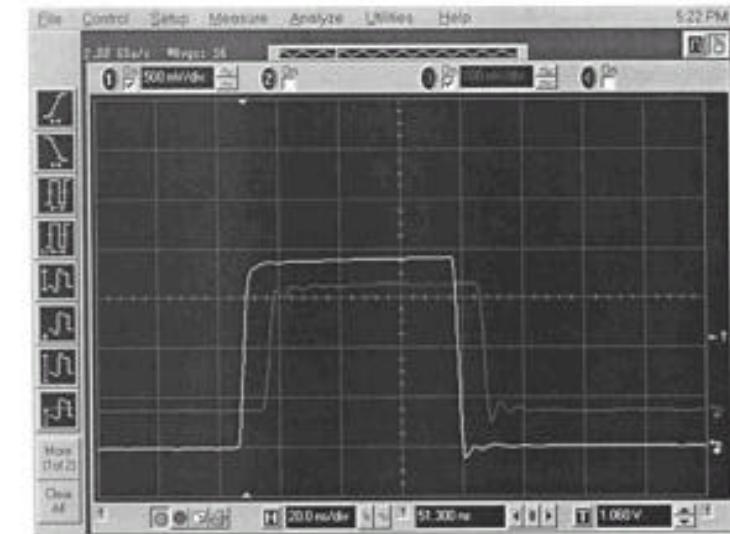
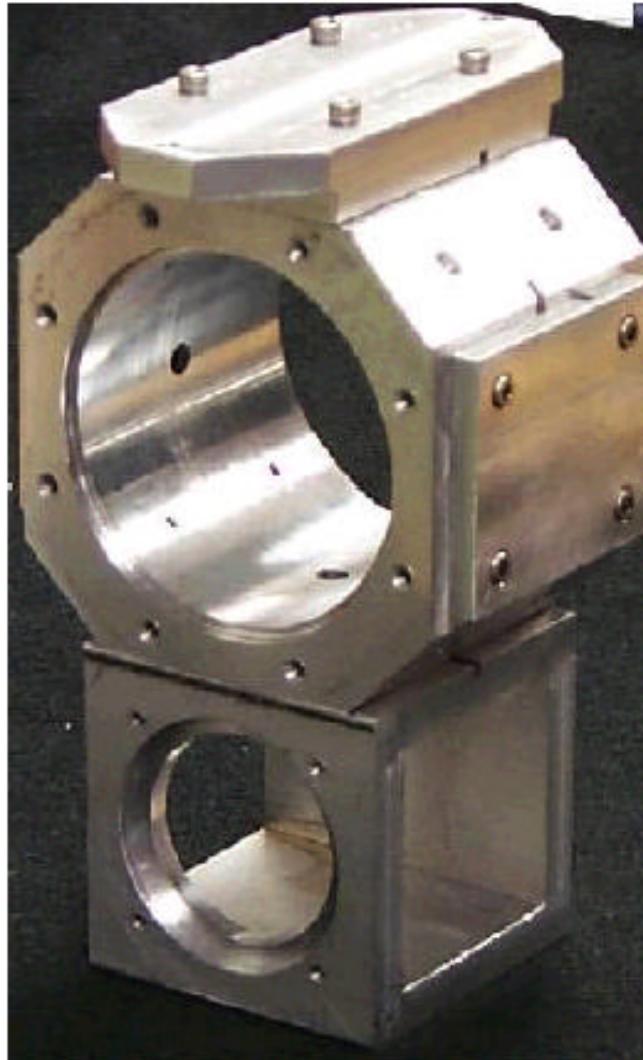
F is chosen to be 76.99° to remove the coupling between X and Y direction [3].

[1] Y. Zou et al, PAC 1999

[2] B. Quinn et al, PAC 2003

[3] Y. Zou, Ph.D dissertation, UMD, 2000

UMER Diagnostics -BPM

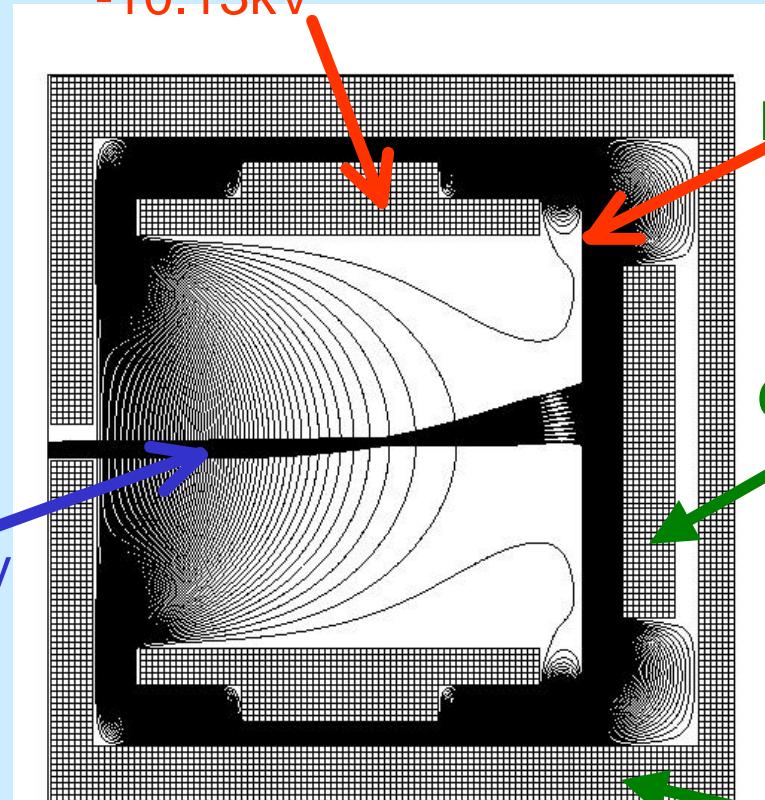


Design of Energy Analyzer^[1,2]

Collimating Cylinder

-10.13kV

10 keV Beam



3rd Generation: Res. < 1 eV

1st Generation:

Parallel-Plate

Retarding EA

> 20 eV Resolution

Retarding

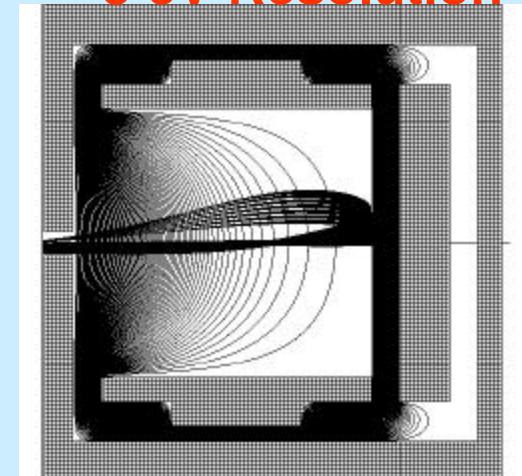
Mesh

-9999.5 V

Collector

Grounded
Housing

2nd Generation
~ 3 eV Resolution

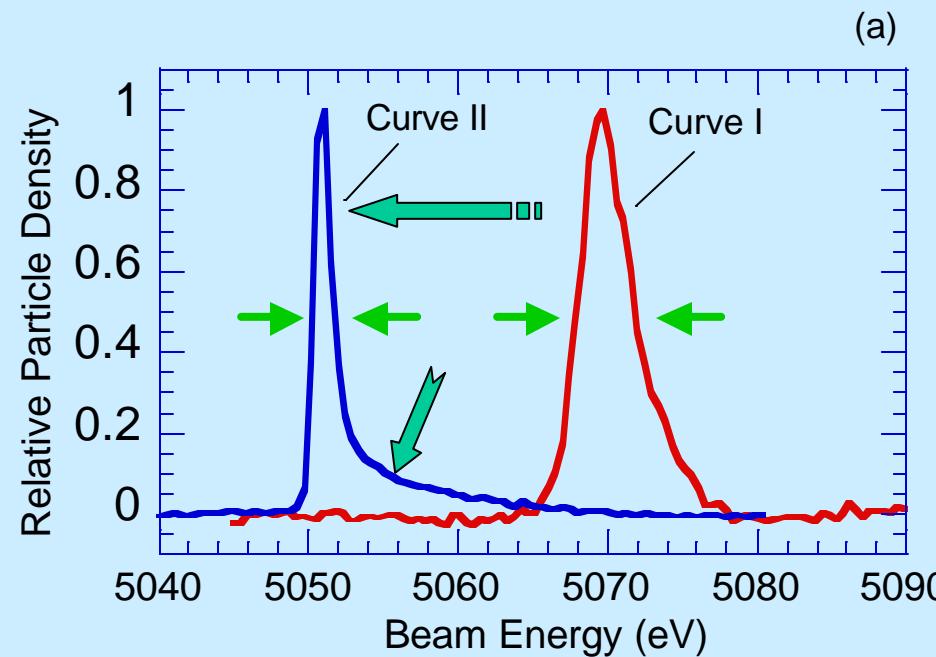


[1] Y. Zou, et al, Phys. Rev. ST Accel. Beams 5(7), 2002, p. 011502.

[2] Y. Cui, Y. Zou et al, to submit to RSI.

Longitudinal space-charge effect inside the Analyzer

- Problems:**
- Shift the measured mean energy towards low-energy side.
 - Leave a large tail at the high-energy side.
 - Make the FWHM of measured spectrum narrower than the true spectrum
 - Measured rms energy spread are different



Parameters: 5 keV, 135 mA beam,

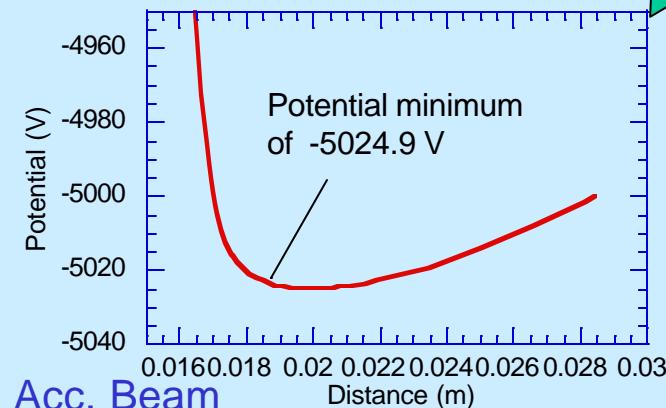
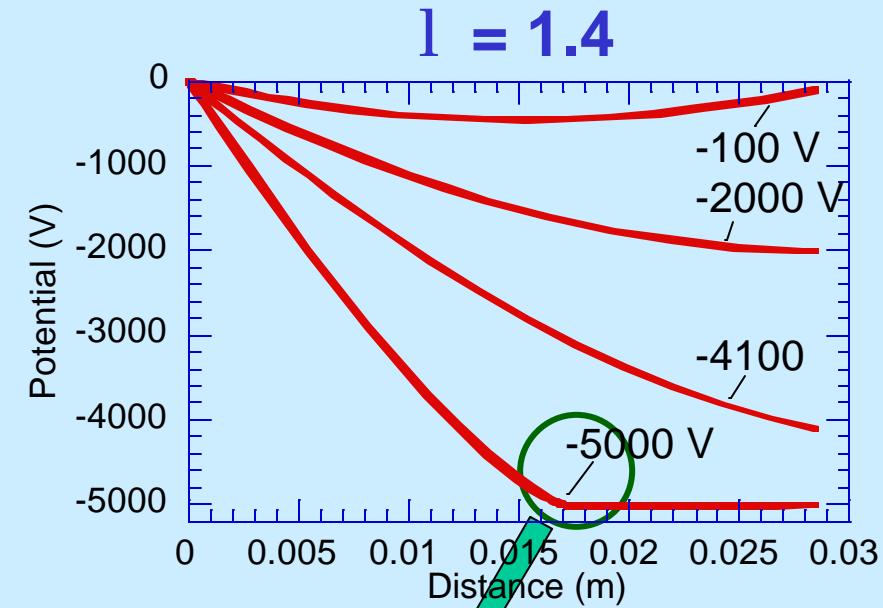
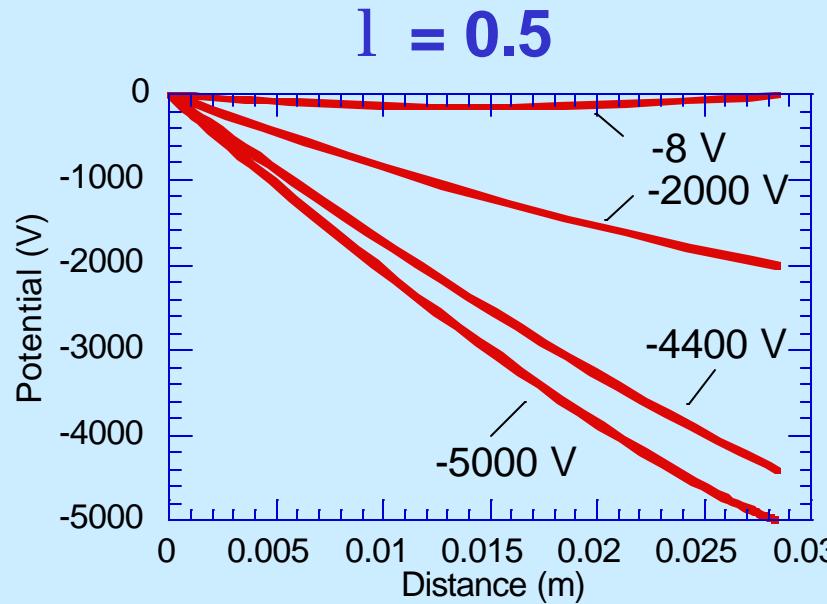
Curve 1: 0.2 mA beam current inside the device

Curve 2: 2.2 mA beam current inside the device

Potential solutions for thermal beam^[1]

Beam energy: 5 keV,

Initial beam energy spread: 10 eV ($\text{I} = \text{J}_{\text{in}}/\text{J}_{\text{lim}}$)



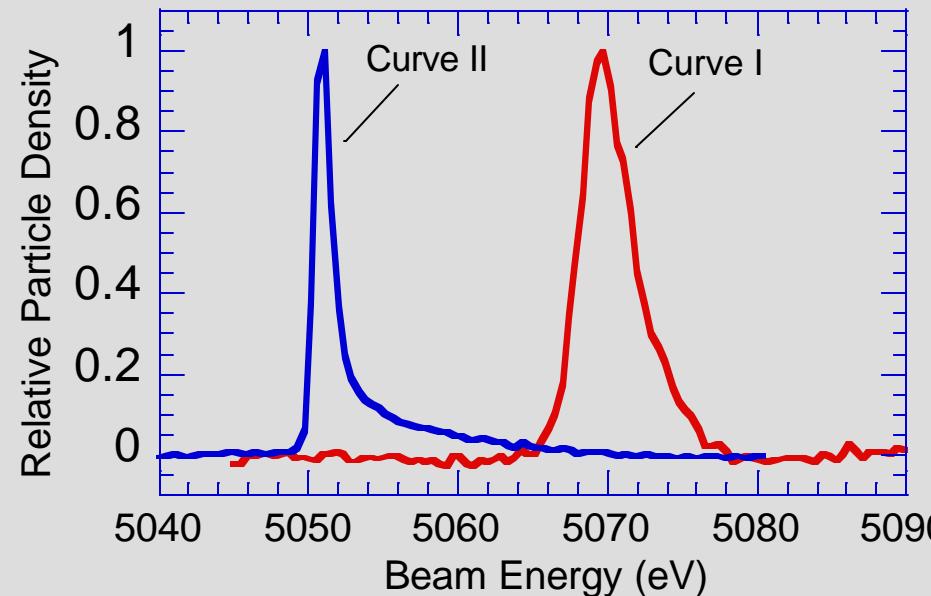
Comparison of Simulation Results and Experiments

Nominal Energy : 5 keV, Current: 135 mA

Experiment

- Curve I: 0.2 mA inside the device ($I = 0.062$, estimated), $E_{rms} = 2.2$ eV, FWHM=3.4 eV
- Curve II: 2.2 mA inside the device ($I = 0.8$, estimated), $E_{rms} = 3.2$ eV, FWHM=1.1 eV

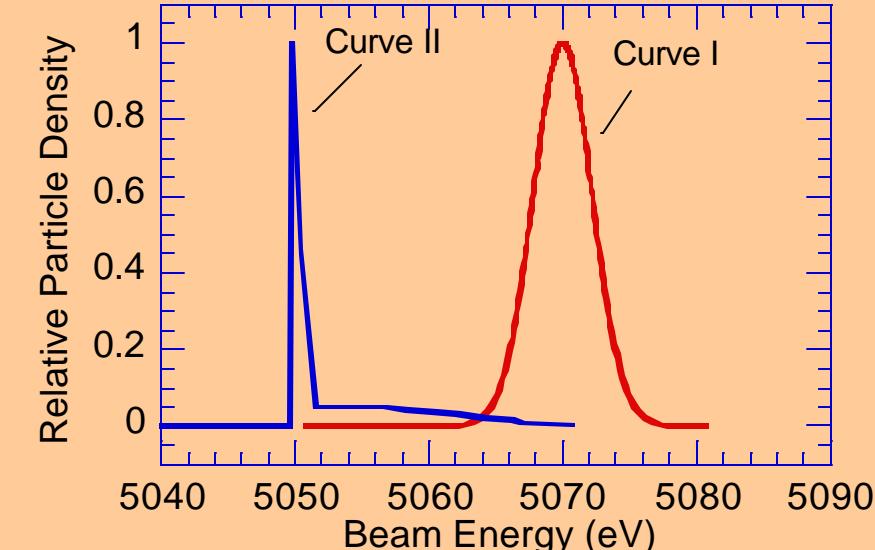
(a)



1D Theory and simulation plus 2D correction

- Curve I: $I = 0.062$, $E_{rms} = 2.2$ eV, FWHM = 5.1eV
- Curve II: $I = 1.2$, $E_{rms} = 5.1$ eV, FWHM = 0.49 eV

(c)





Part III: Selected Physics Topics

- Experimental study of beam energy spread evolution in intense beam
- Transverse beam emittance of a gridded electron gun
- Experimental study of Resistive wall instability



Experimental Study of Beam Energy Spread in Space-Charge-Dominated Electron Beam*

* Y. Zou et al, to submit to Phys. Rev. STAB



Energy Spread Growth in the Intense Electron Beam

- Longitudinal-transverse relaxation (intra beam scattering)^[1]
 - Long relaxation time
- Longitudinal-longitudinal relaxation^[2]
 - Short relaxation time, ~ plasma period
- Theoretical prediction for the longitudinal energy spread including both effects is given by:

$$\Delta E_{\parallel, rms} = [(2qV_0 k_B T_{\parallel})^2 + (C / p e_0) q n^{1/3} q V_0]^{1/2}$$

- Scaling law for the energy spread due to the L-T relaxation:

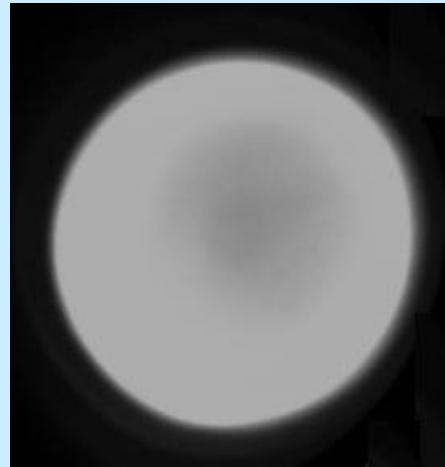
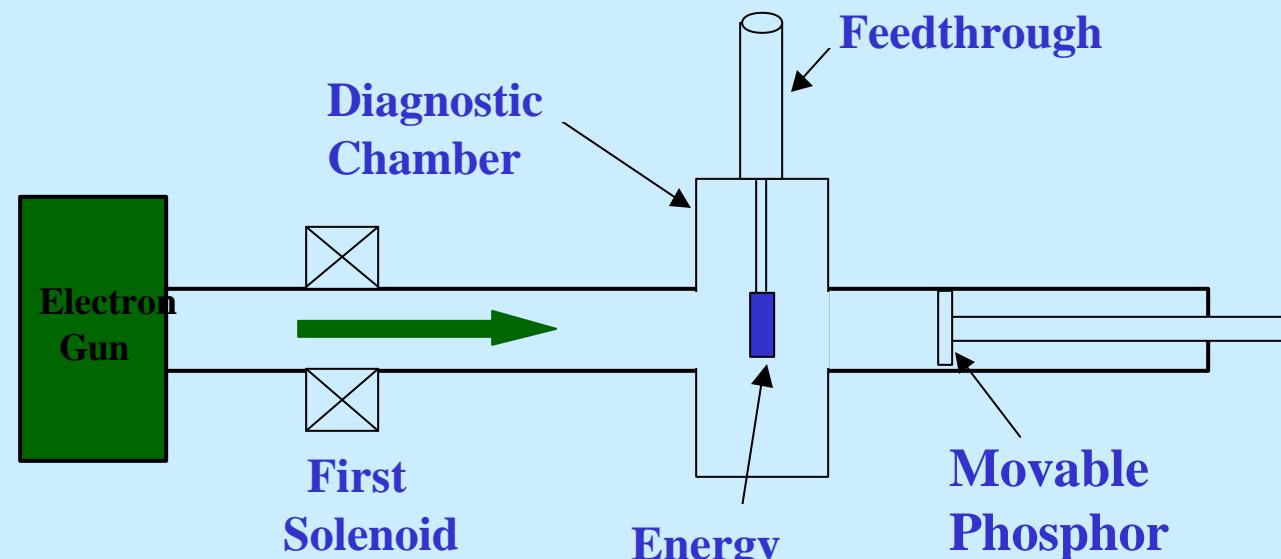
$$\Delta E_{rms} \sim (I * D / a)^{1/2} \sim (J * a * D)^{1/2}$$

[1] See the reviews in Chapters 5 and 6 of M. Reiser, “Theory and Design of Charged Particle Beams”, John Wiley & Sons, 1994.

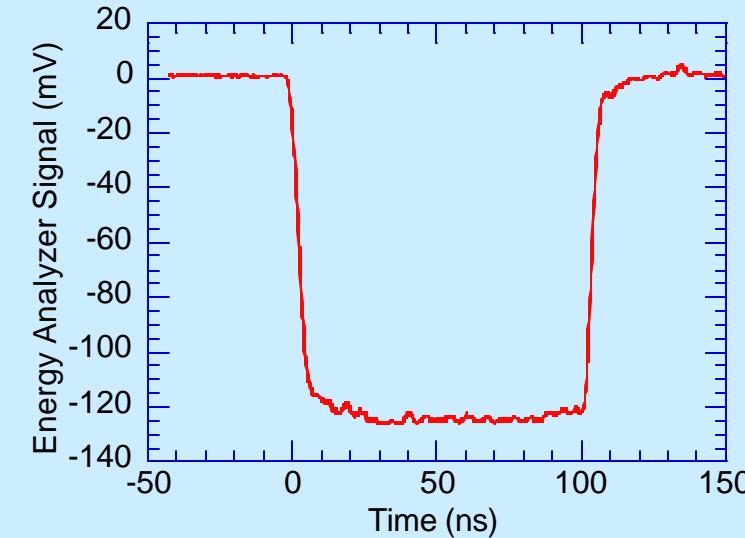
[2] See, for instance, A. V. Aleksandrov et al. Phys. Rev. A, 46, 6628 (1992)



Phase I Experimental Setup



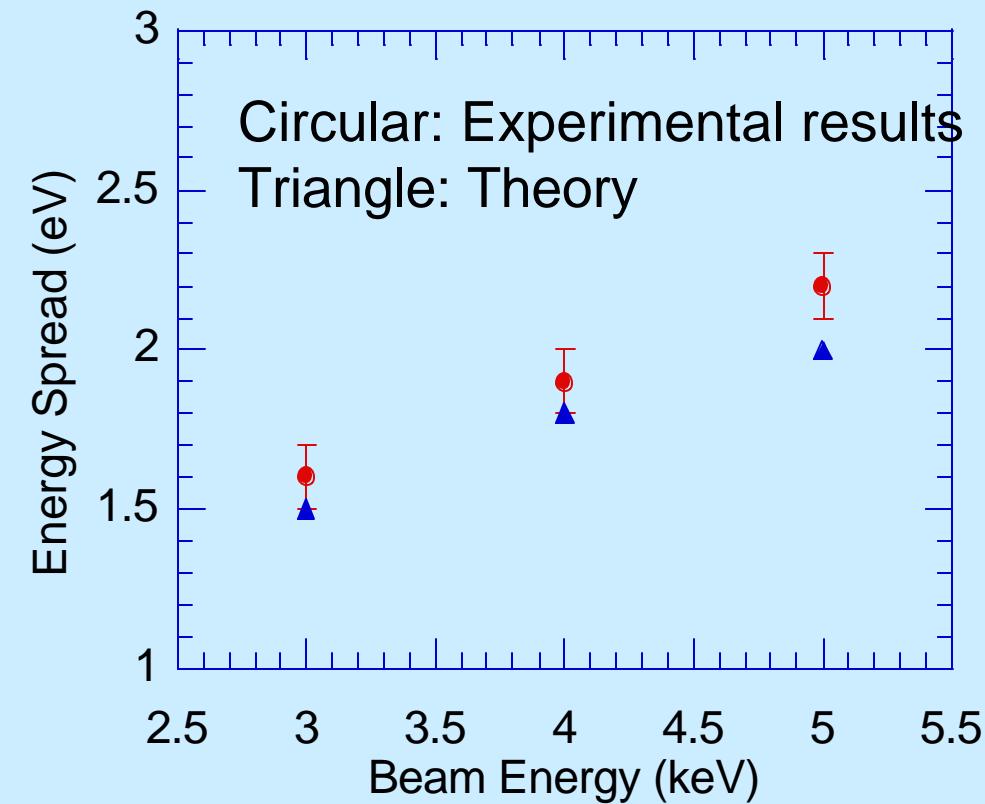
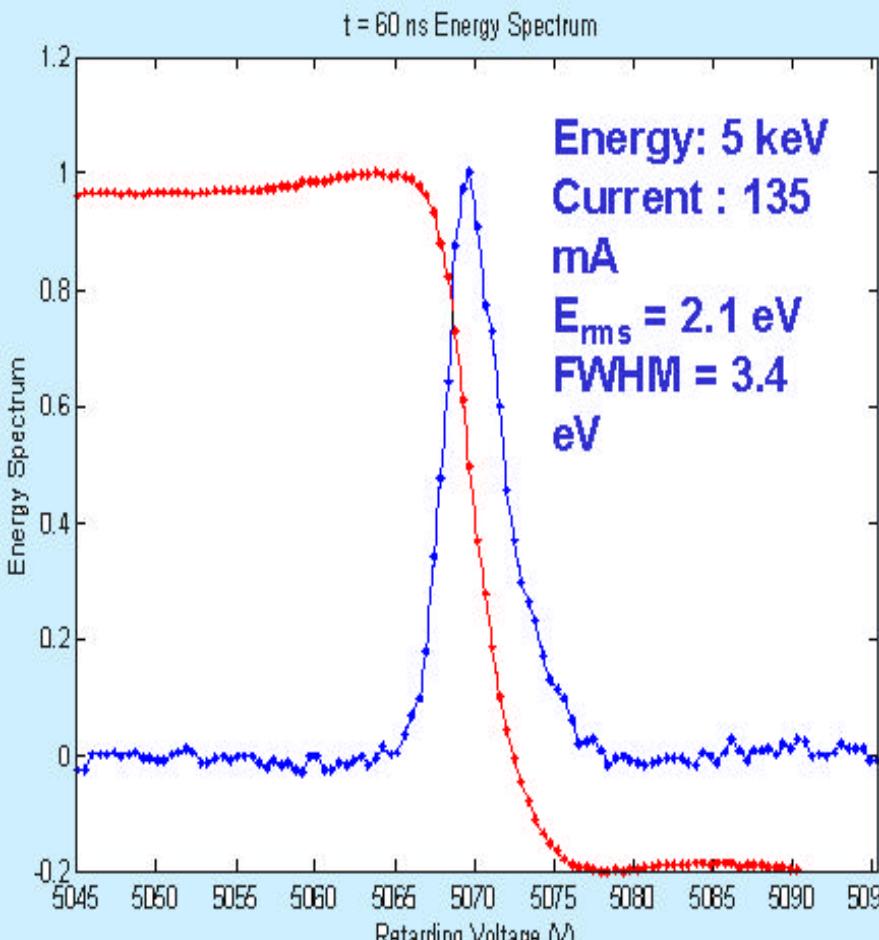
Phosphor screen image



Typical EA Signal

UMER

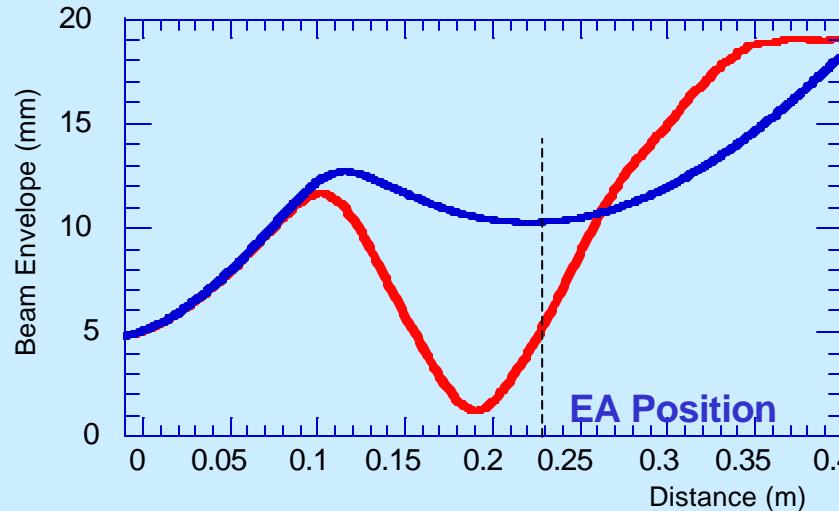
Typical Energy Spread Measurement Results



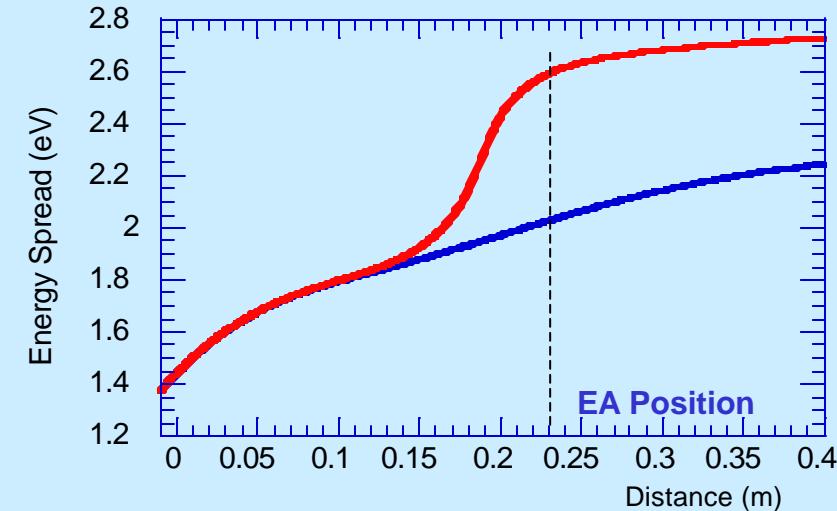


Energy Spread vs Beam Energy at Different Particle Densities

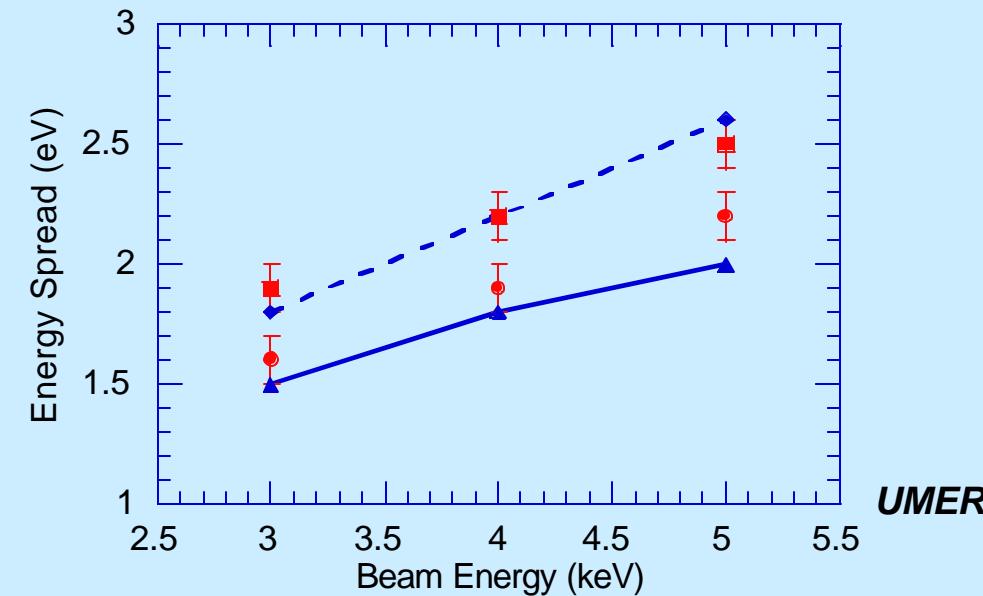
Beam envelope (5 keV)



Calculated energy spread



Comparison of experimental results and theory

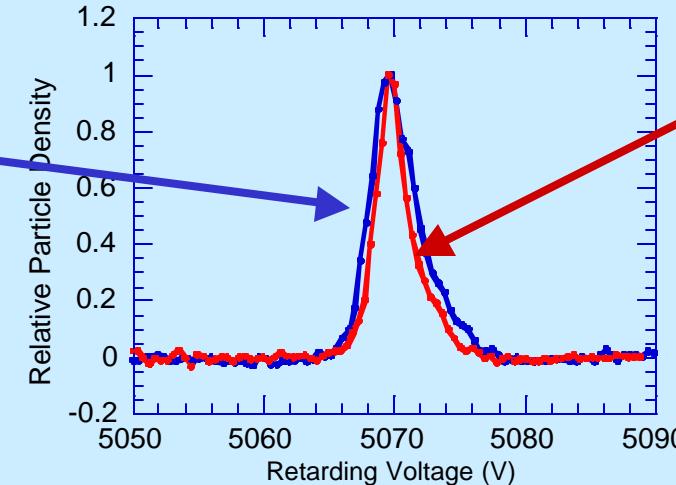




Energy Spread at Different Beam Currents

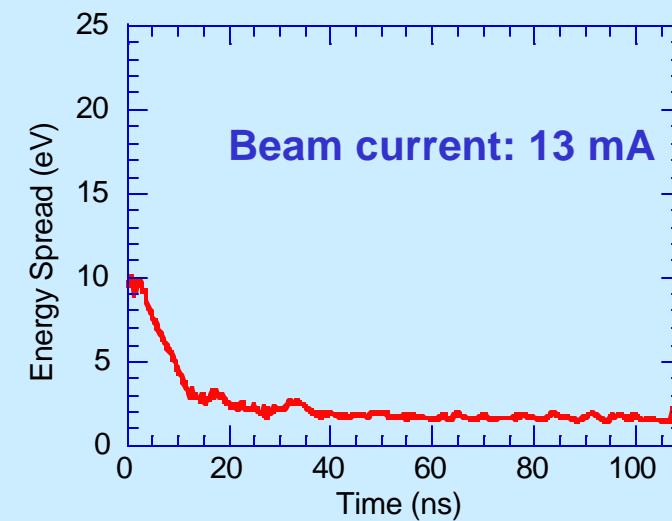
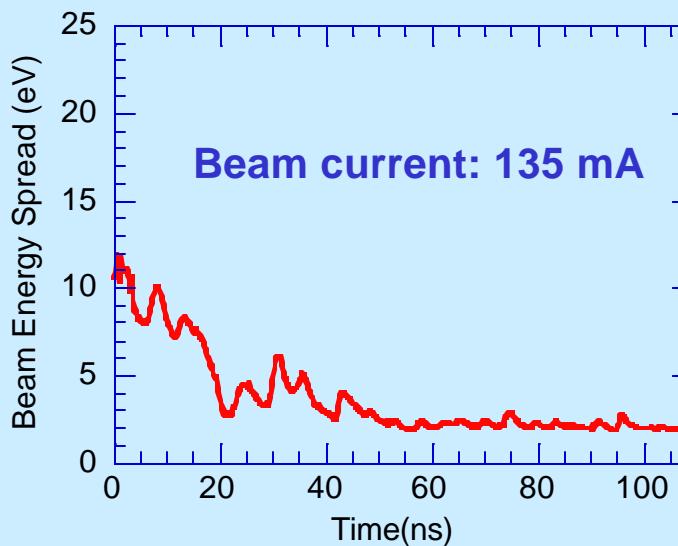
Beam Energy : 5 keV, Sampled position: 60 nS

Beam current: 135 mA
Energy spread: 2.1 eV



Beam current: 13 mA
Energy spread: 1.7 eV

Energy spread along the pulse (time resolved)





Transverse Beam Emittance Growth in a Gridded Electron Gun^[1]

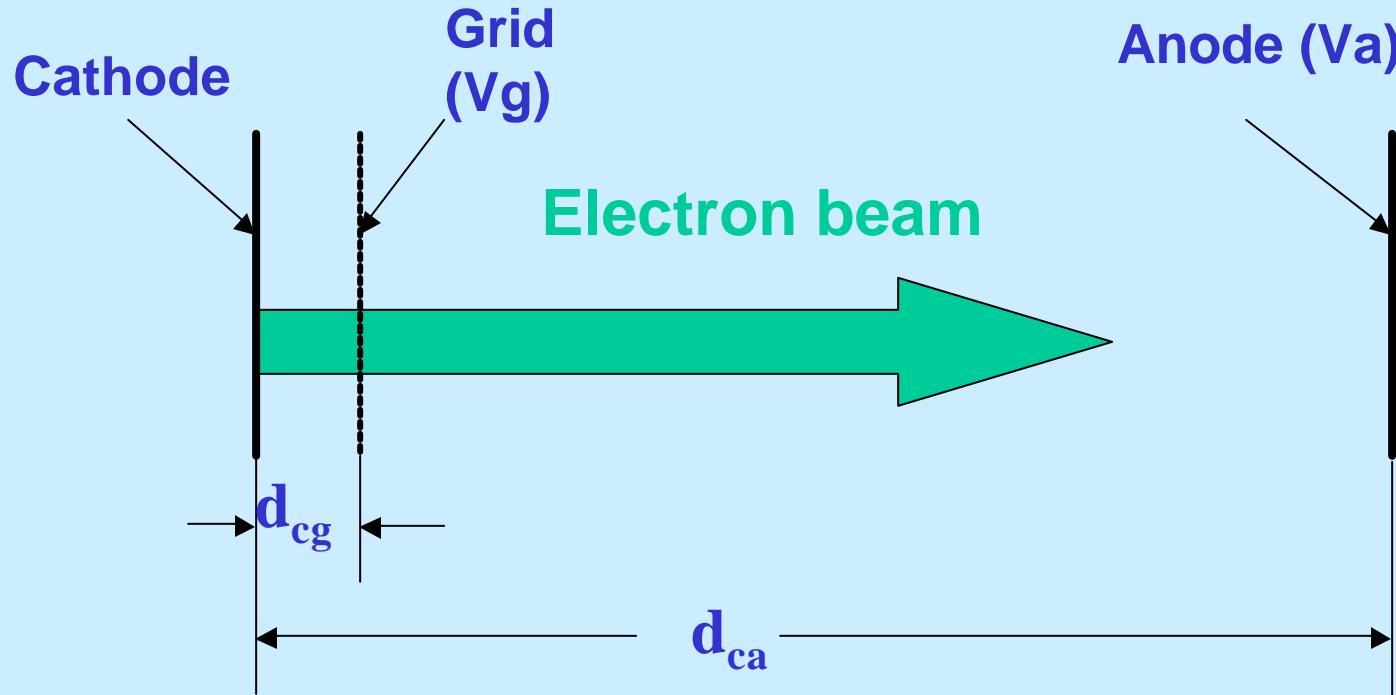
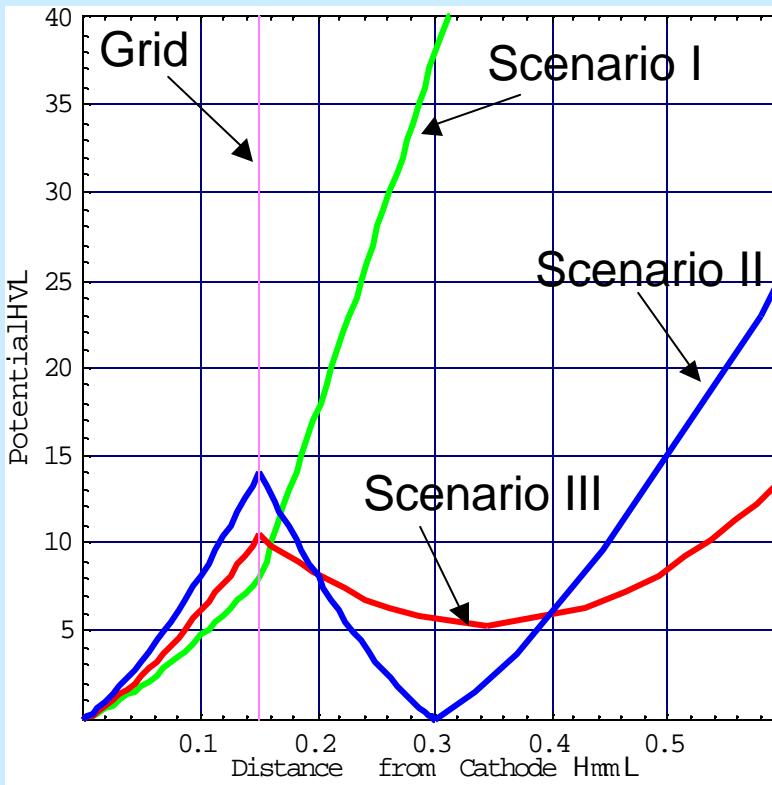


Figure: Schematic of a Grid Gun

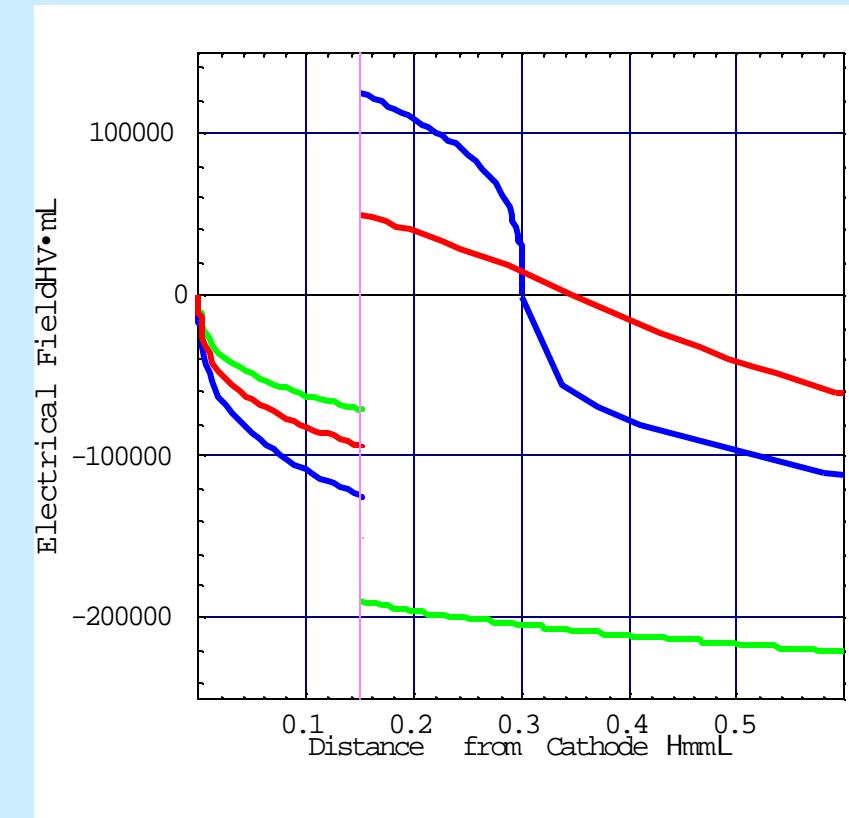
Potential Distribution at Different Grid Voltages

Cathode grid distance: $d_{cg} = 0.15\text{e-}3 \text{ m}$, $d_{ca} = 0.027 \text{ m}$, $V_a = 10000\text{V}$

Potential distribution



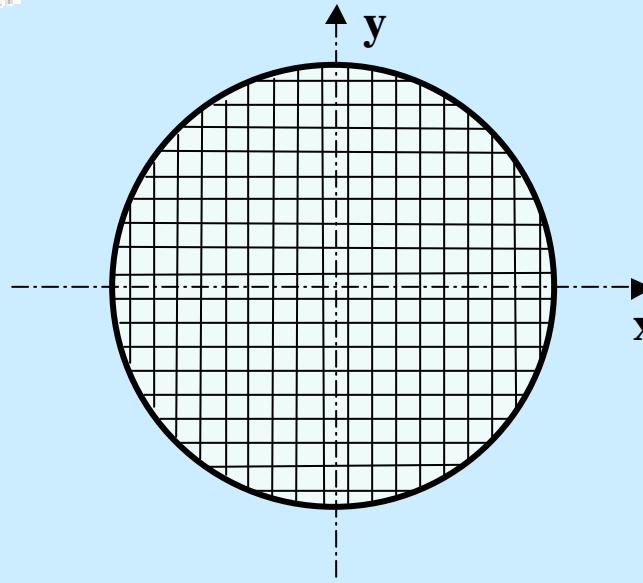
Electrical field



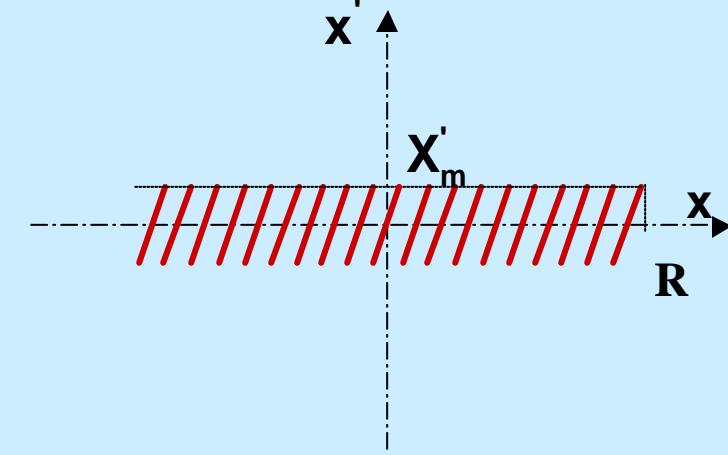
Field discontinuity due to
the non-natural grid potential

$$\Delta E_z = c_1 \left(V_g^{1/2} + c_2 \right)^{1/2} + \frac{4}{3} \frac{V_g}{d_g}$$

Emittance of Multi Beam Systems



Configuration space



Beam trace space

Effective normalized emittance can be calculated as

$$e_{n,g} = \frac{GRa}{4} \left(\frac{2eV_g}{mc^2} \right)^{1/2} \frac{|\Delta E_z|}{V_g}$$

Where

$$G = 16 \left(\frac{I^3}{3p} \sum_{i=-N}^N \sqrt{1 - 4I^2 i^2} i^2 \right)^{1/2}$$

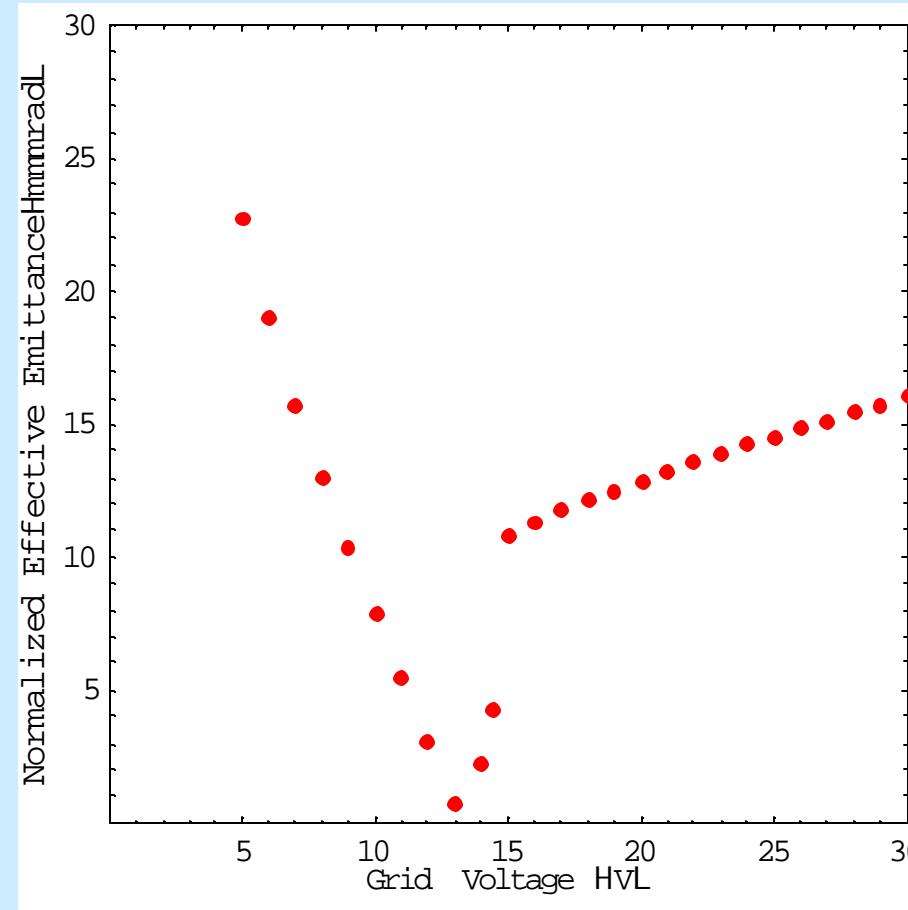
is geometry factor



Calculated Emittance Growth Vs. Grid Voltage

Cathode grid distance: $d_{cg} = 0.15e-3$ m, $d_{ca} = 0.0255$ m, Beam radius: $R = 4e-3$ m

Half opening of mesh: $a = 0.075e-3$ m, Anode Voltage: $V_a = 10000$ V



Normalized effective emittance vs. grid voltage



Compare with the Experimental Results

Cathode grid distance: $d_{cg} = 0.15e-3$ m, $d_{ca} = 0.0255$ m, Beam radius: $R = 4e-3$ m

Half opening of mesh: $a = 0.075e-3$ m, Anode Voltage: $V_a = 10000$ V, Grid Voltage: ~25 V

Calculation results:

- emittance due to grid: $\varepsilon_{n,g} = 14$ mm mrad
- emittance due to intrinsic thermal motion: $\varepsilon_{n,i} = 3.5$ mm-mrad
- emittance due to non-ideal gun focusing structure^[1]:

$$\varepsilon_{n,f} = 6 \text{ mm mrad}$$

- **Total calculated emittance:** $\sim \sqrt{\varepsilon_{n,g}^2 + \varepsilon_{n,i}^2 + \varepsilon_{n,f}^2} = 15.6 \text{ mm-mrad}$

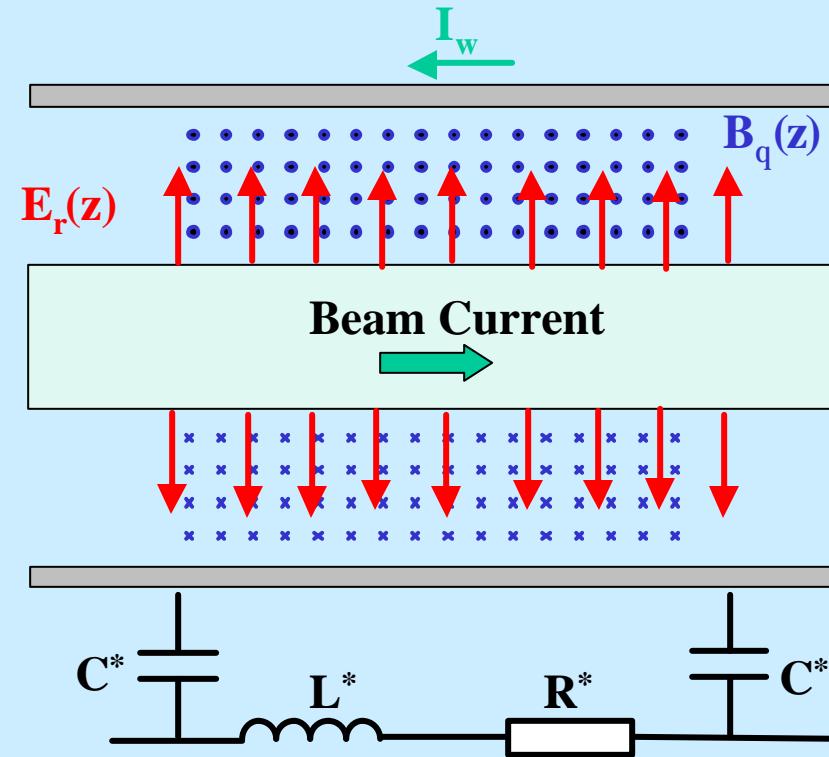
Experimental results^[2]: 12~16 mm-mrad

3-D realistic PIC simulation with WARP? - I. Haber

[1] D. Kehne, 10 KV Electron Gun Manual

[2] S. Bernal, Internal report, IREAP, 2000

Experiments on Resistive-wall Instability in Space-Charge-Dominated Electron Beam [1,2]



[1] Y. Zou et al, Phys. Rev. Lett., Vol. 84, p 5138(2000)

[2] H. Suk, J.G. Wang, Y. Zou, et al J. Appl. Phys. Vol. 86, No. 3, p 1699 (1999)



Linear Theory

In one-dimensional theory, the resistive-wall instability is governed by following two linearized equations:

$$\frac{\partial \Lambda_1(z,t)}{\partial t} + \frac{\partial i_1(z,t)}{\partial z} = 0$$

and

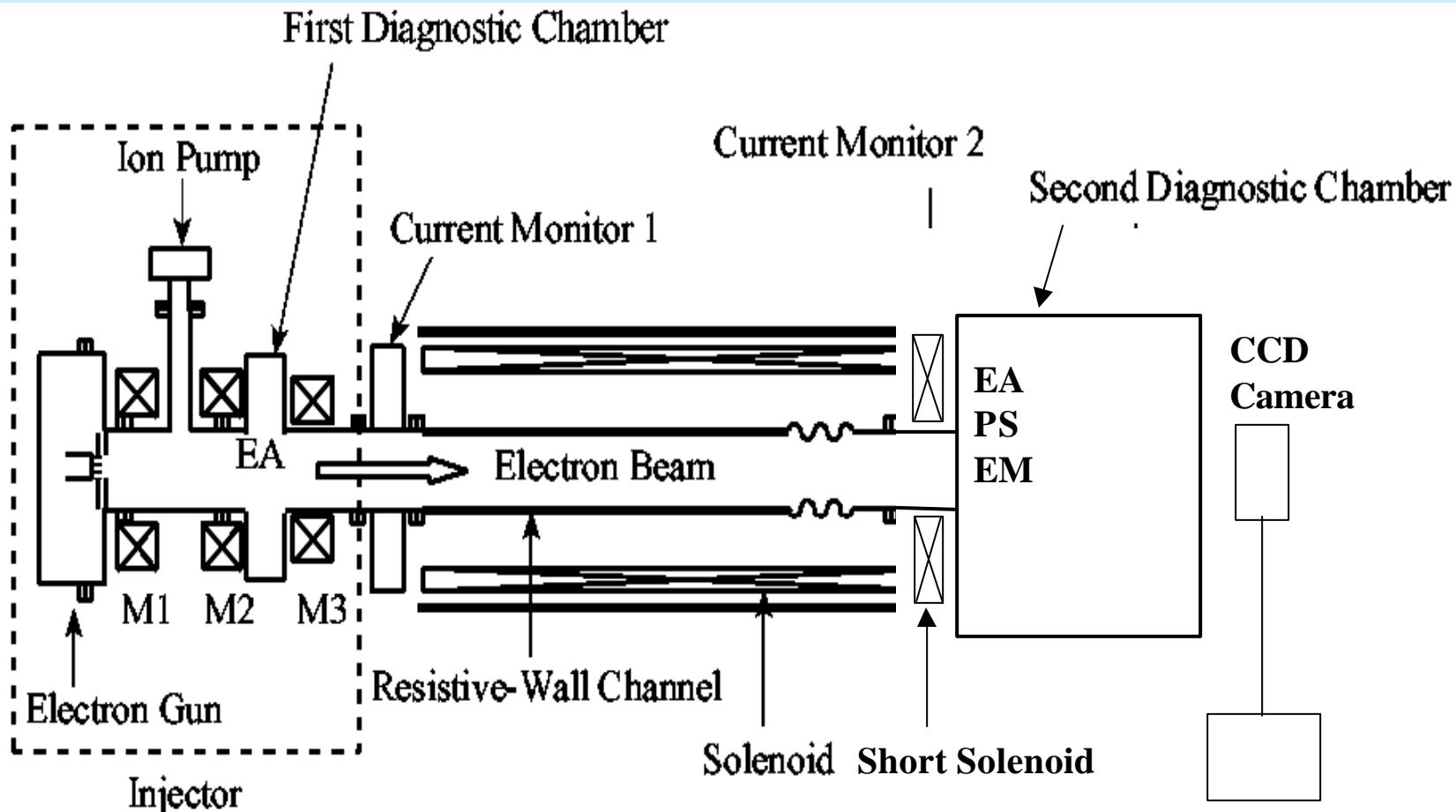
$$\left(\frac{\partial}{\partial t} + v \frac{\partial}{\partial z} + qE_0 \frac{\partial}{\partial p} \right) f_1(z,p,t) = -qE_1(z,t) \frac{\partial f_0(p)}{\partial p}$$

In the long-wavelength range ($l \gg d$), the resistive-wall instability solution can be expressed as:

$$e^{\pm k_i z} e^{i(wt \pm k_r z)}$$

with $k_i = R_w^* \sqrt{\frac{pe_0 e \Lambda_0}{gmg}}$

Experimental Apparatus(1)

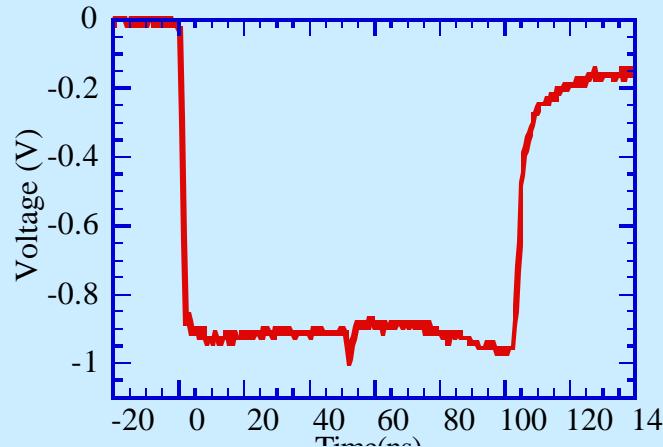


M1 - M3 : Matching Lenses
EA : Energy Analyzer

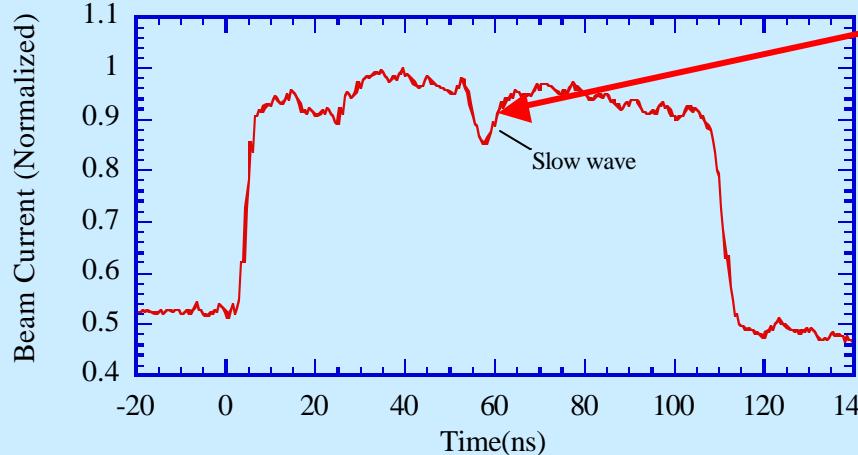
PS : Phosphor Screen
EM : Emittance Meter

Generation of Space-Charge Waves^[1]

Cathode-Grid pulse

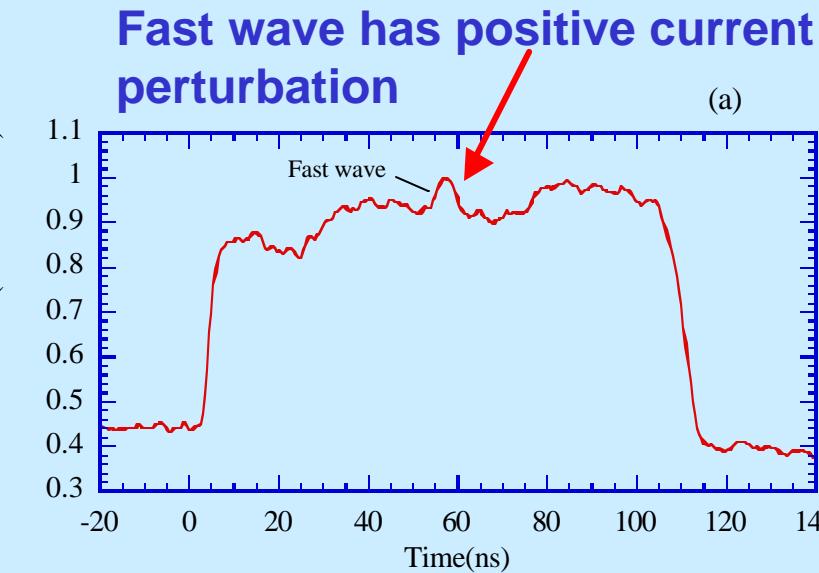


(b)



Slow wave has negative current perturbation

Beam Current (Normalized)



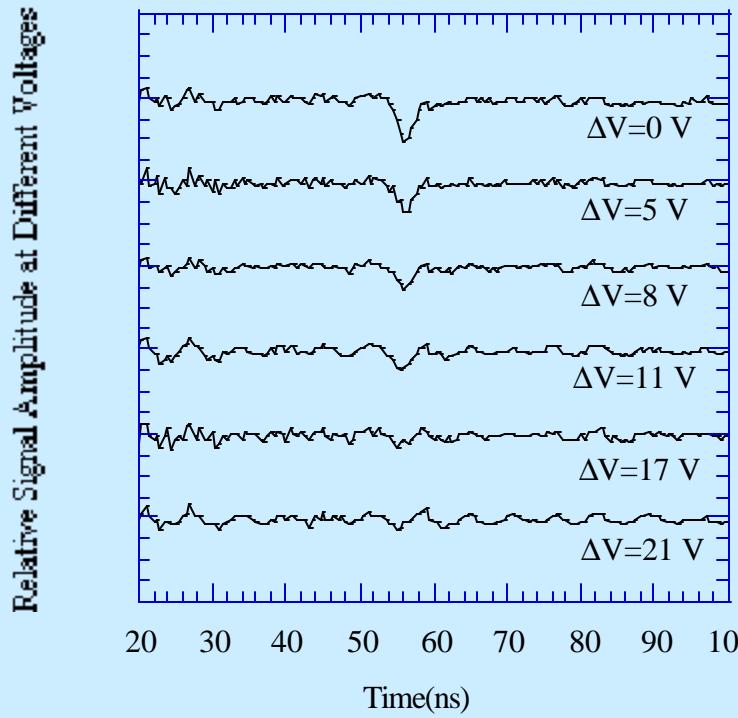
(a)

Fast wave has positive current perturbation

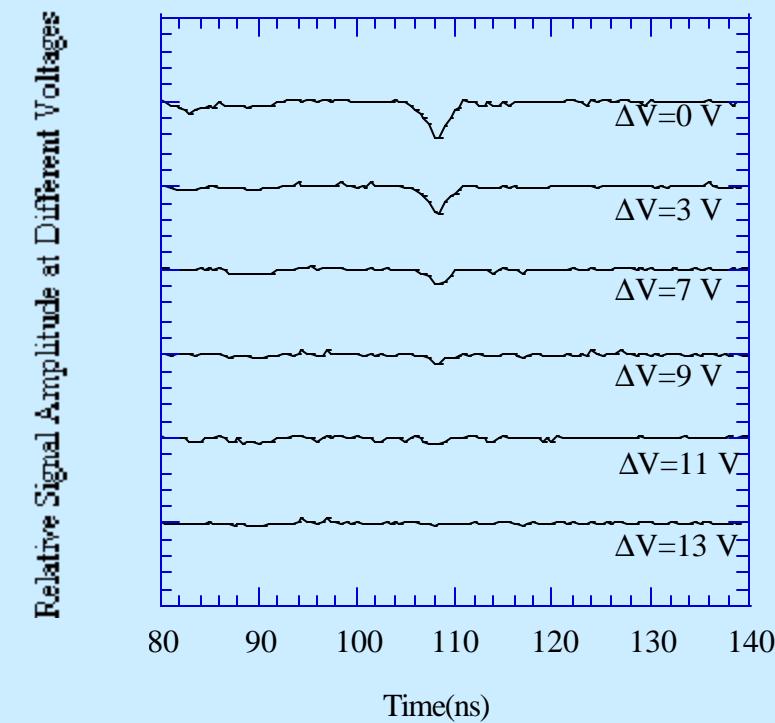
Linear Results for Fast Waves

Fast wave decays in the resistive-wall pipe. $DE_1=21\text{eV}$,
 $DE_2=13 \text{ eV}$, decay rate $k_f = -0.48 /m$
Beam energy: 3.5 keV, Beam current: 19.8 mA

Before the resistive wall



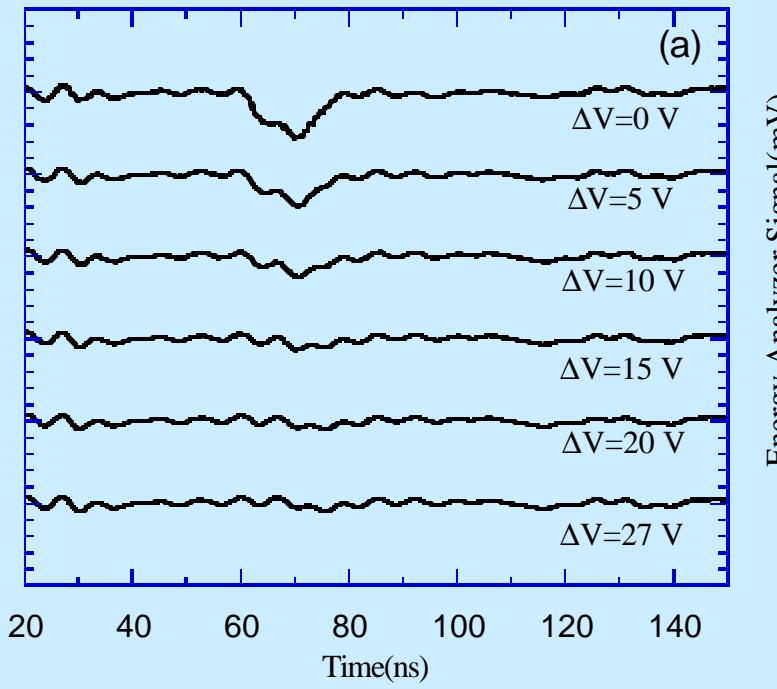
After the resistive wall



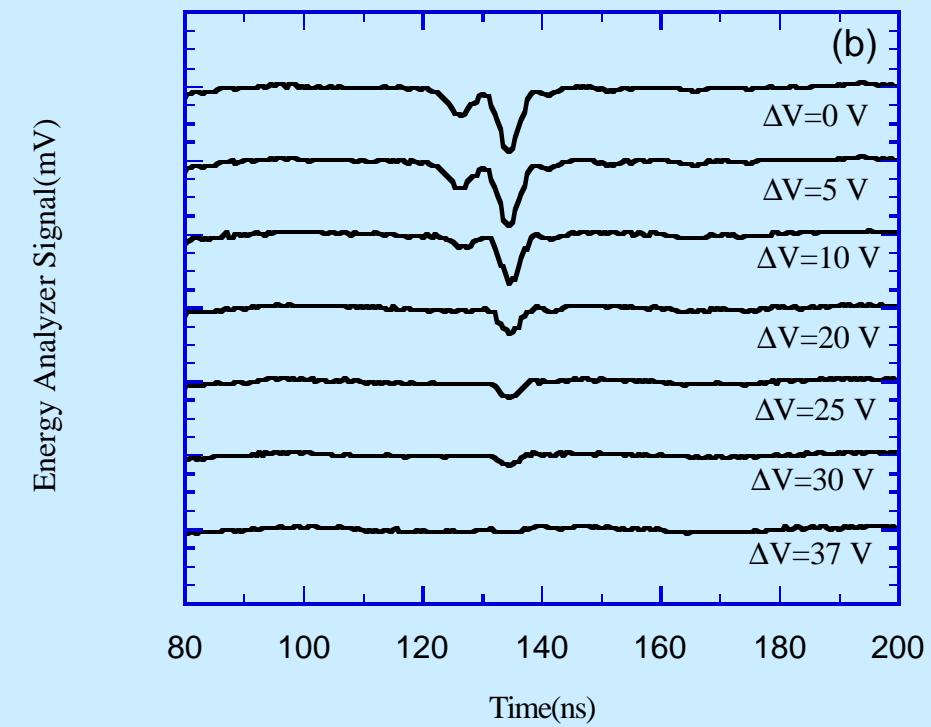
Linear Results for Slow Waves

Slow wave grows in the resistive-wall pipe.
 $DE_1=27\text{ eV}$, $DE_2=37\text{ eV}$, growth rate $k_i=0.32/\text{m}$
Beam energy: 2.5 keV, Beam current: 30 mA

Before resistive wall



After resistive wall



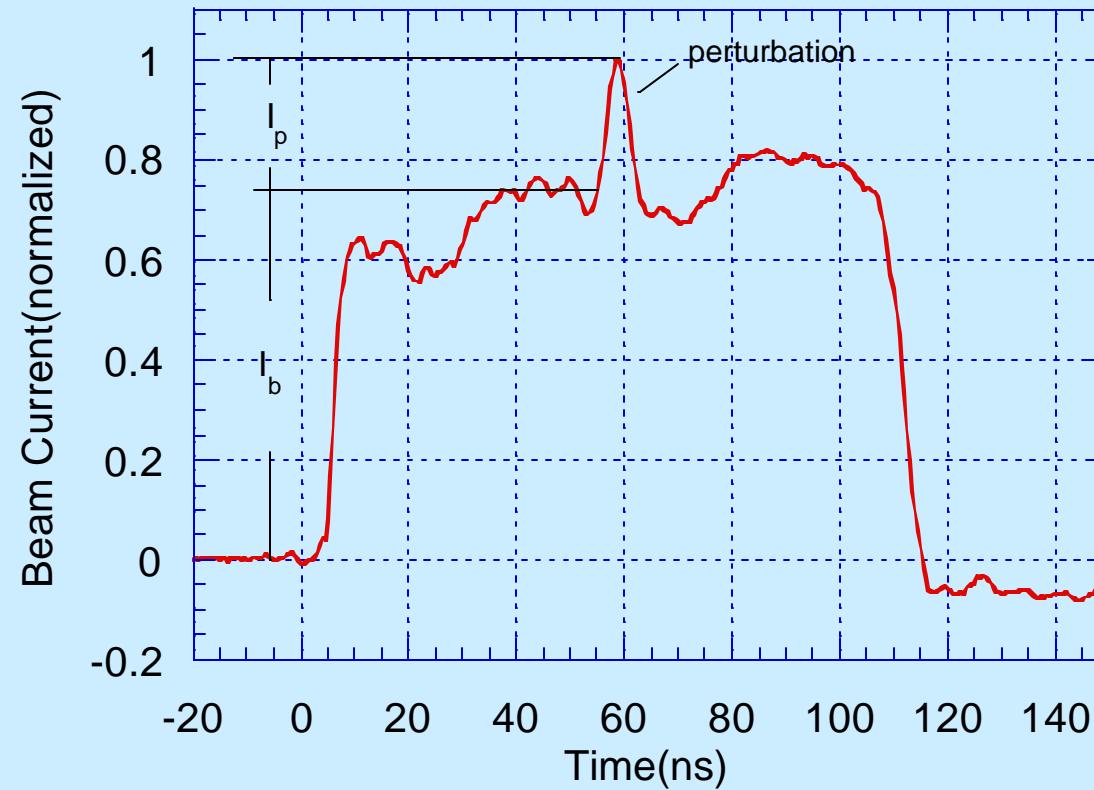


Fast Wave Decay Rate at Different Beam Energies

Beam Energy (keV)	2.5	3.5	4
Beam Current (mA)	15.6	19.8	23.2
ΔE_1 (eV)	12 ± 1	21 ± 1	18 ± 1
ΔE_2 (eV)	7 ± 1	13 ± 1	16 ± 1
Experimental k_i (1/m)	-0.54 ± 0.2	-0.48 ± 0.12	-0.12 ± 0.12
Calculated k_i from Eq.(3.21) (1/m)	-0.41	-0.4	-0.39



Large Perturbation (Nonlinear Regime)



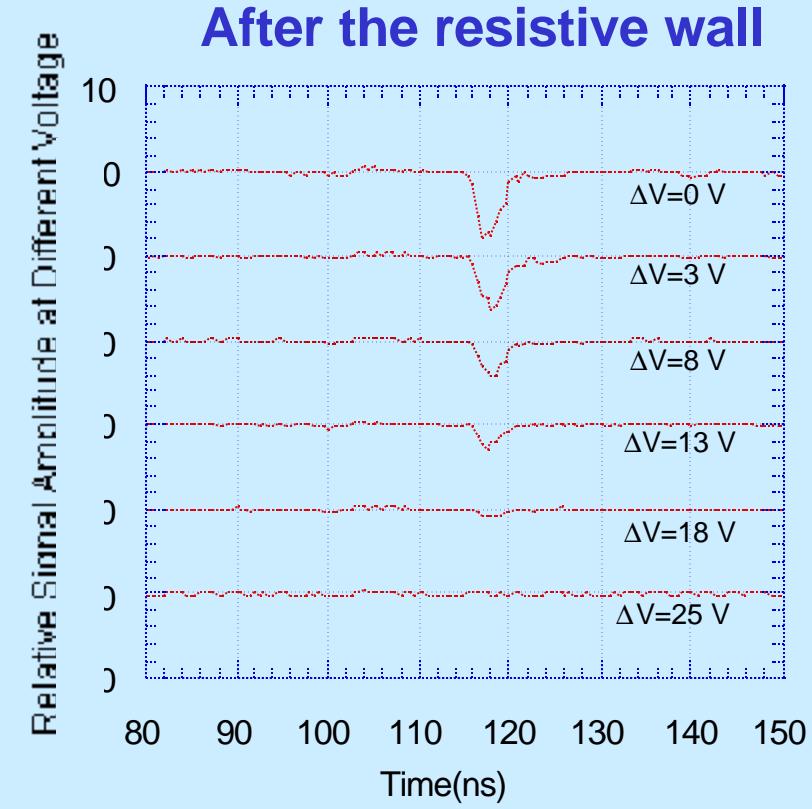
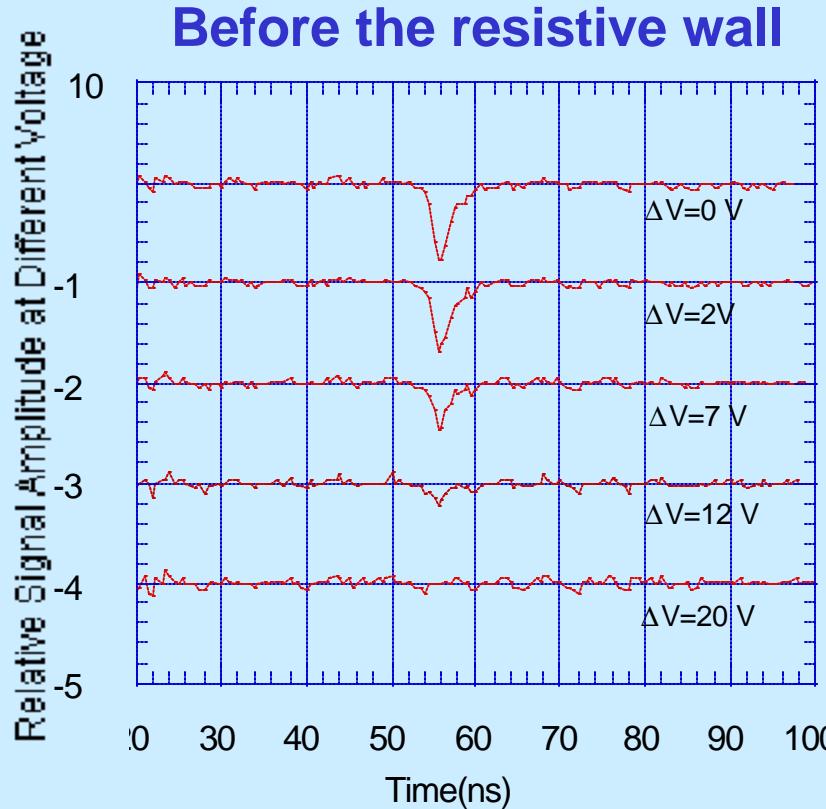
Example of a nonlinear initial current perturbation.

$$\text{perturbation strength} = I_p/I_b$$

Fast Wave in the Nonlinear Regime

In the nonlinear regime, energy width of particles associated with fast wave increases. $\Delta E_1 = 20 \text{ eV}$, $\Delta E_2 = 25 \text{ eV}$, $K_i = 0.23 \text{ 1/m}$

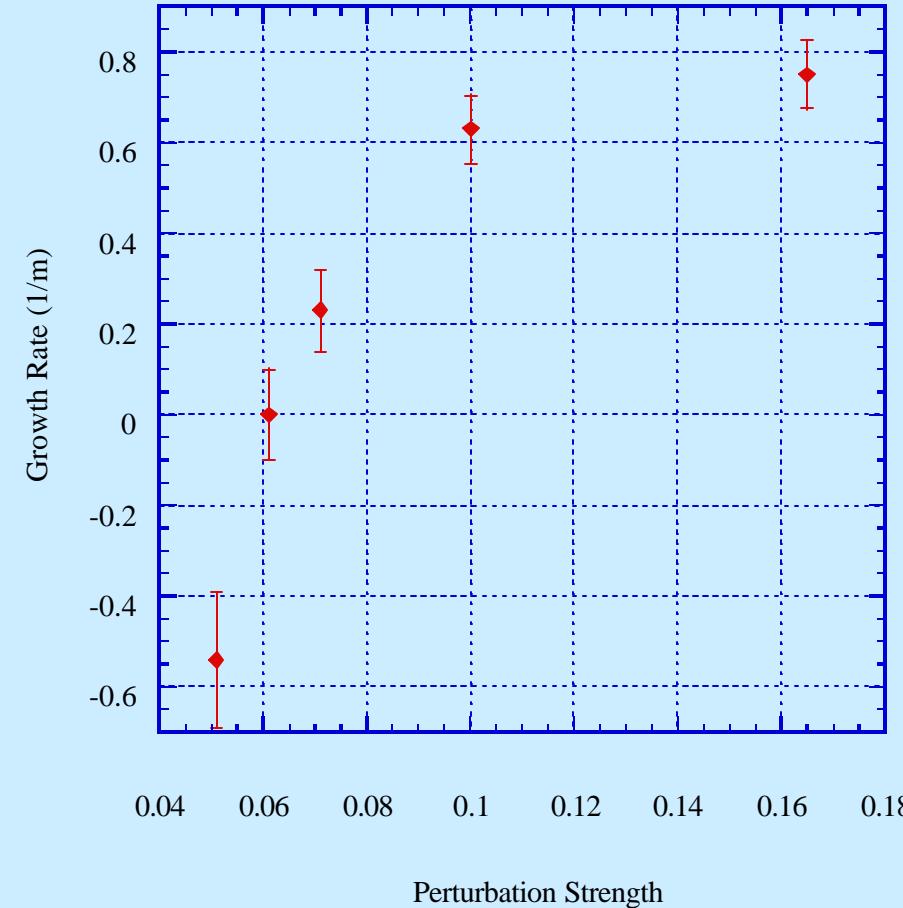
Beam energy 2.5 keV, Beam current 16 mA





Fast Wave Growth Rate vs Initial Perturbation Strength

Beam energy 2.5 keV, Beam Current 16 mA.





Summary

- Overview of UMER and its design and diagnostics
 - Design of BPM and Energy analyzer
- Experimental study of beam energy spread in the intense electron beam
- Beam emittance growth in a gridded electron gun
- Experimental study of resistive wall instability