

Accelerator Physics Particle Acceleration

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RF Acceleration



- Characterizing Superconducting RF (SRF) Accelerating Structures
 - Terminology
 - Energy Gain, R/Q, Q_0 , Q_L and Q_{ext}
- RF Equations and Control
 - Coupling Ports
 - Beam Loading
- RF Focusing
- Betatron Damping and Anti-damping





Terminology













Modern Jefferson Lab Cavities (1.497 GHz) are optimized around a 7 cell design



Typical cell longitudinal dimension: $\lambda_{RF}/2$

Phase shift between cells: π

Cavities usually have, in addition to the resonant structure in picture:

(1) At least 1 input coupler to feed RF into the structure(2) Non-fundamental high order mode (HOM) damping(3) Small output coupler for RF feedback control



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Some Fundamental Cavity Parameters

• Energy Gain

$$\frac{d\left(\gamma mc^{2}\right)}{dt} = -e\vec{E}\left(\vec{x}\left(t\right),t\right)\cdot\vec{v}$$

• For standing wave RF fields and velocity of light particles

$$\vec{E}(\vec{x},t) = \vec{E}(\vec{x})\cos(\omega_{RF}t + \delta) \rightarrow \Delta(\gamma mc^{2}) \approx -e\int_{-\infty}^{\infty} E_{z}(0,0,z)\cos(2\pi z / \lambda_{RF} + \delta)dz$$
$$= \frac{e\tilde{E}_{z}(2\pi / \lambda_{RF})e^{-i\delta} + \text{c.c.}}{2} \qquad V_{c} \equiv \left|e\tilde{E}_{z}(2\pi / \lambda_{RF})\right|$$

• Normalize by the cavity length *L* for gradient

$$\mathbf{E}_{\mathrm{acc}}\left(\mathbf{M}\mathbf{V}/\mathbf{m}\right) = \frac{V_c}{L}$$



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Shunt Impedance R/Q



• Ratio between the square of the maximum voltage delivered by a cavity and the product of ω_{RF} and the energy stored in a cavity (using "accelerator" definition)

$$\frac{R}{Q} \equiv \frac{V_c^2}{\omega_{RF} \text{ (stored energy)}}$$

- Depends only on the cavity geometry, independent of frequency when uniformly scale structure in 3D
- Piel's rule: $R/Q \sim 100 \Omega/cell$

CEBAF 5 Cell	480 Ω
CEBAF 7 Cell	760 Ω
DESY 9 Cell	1051 Ω





Unloaded Quality Factor

 As is usual in damped harmonic motion define a quality factor by

$$Q = \frac{2\pi (\text{energy stored in oscillation})}{\text{energy dissipated in 1 cycle}}$$

• Unloaded Quality Factor Q₀ of a cavity

$$Q_0 \equiv \frac{\omega_{RF} \text{(stored energy)}}{\text{heating power in walls}}$$

 Quantifies heat flow directly into cavity walls from AC resistance of superconductor, and wall heating from other sources.





Loaded Quality Factor

• When add the *input* coupling port, must account for the energy loss through the port on the oscillation

$$\frac{1}{Q_{tot}} \equiv \frac{1}{Q_L} = \frac{\text{total power lost}}{\omega_{RF} \text{ (stored energy)}} = \frac{1}{Q_{ext}} + \frac{1}{Q_0}$$

• Coupling Factor

$$\beta \equiv \frac{Q_0}{Q_{ext}} \gg 1$$
 for present day SRF cavities, $Q_L = \frac{Q_0}{1+\beta}$

- It's the loaded quality factor that gives the effective resonance width that the RF system, and its controls, seen from the superconducting cavity
- Chosen to minimize operating RF power: current matching (CEBAF, FEL), rf control performance and microphonics (SNS, ERLs)





Q_0 vs. Gradient for Several 1300 MHZ $\dot{q}\dot{q}$





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E_{acc} vs. time





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RF Cavity Equations

- Introduction
- Cavity Fundamental Parameters
- RF Cavity as a Parallel LCR Circuit
- Coupling of Cavity to an rf Generator
- Equivalent Circuit for a Cavity with Beam Loading
 - On Crest and on Resonance Operation
 - Off Crest and off Resonance Operation
 - Optimum Tuning
 - Optimum Coupling
- RF cavity with Beam and Microphonics
- Q_{ext} Optimization under Beam Loading and Microphonics
- RF Modeling

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Conclusions







Introduction

- Goal: Ability to predict rf cavity's steady-state response and develop a differential equation for the transient response
- We will construct an equivalent circuit and analyze it
- We will write the quantities that characterize an rf cavity and relate them to the circuit parameters, for
 - a) a cavity
 - b) a cavity coupled to an rf generator
 - c) a cavity with beam
 - d) include microphonics





RF Cavity Fundamental Quantities

Quality Factor Q_0 :

 $Q_0 = \frac{\omega_0 W}{P_{diss}} = \frac{\text{Energy stored in cavity}}{\text{Energy dissipated in cavity walls per radian}}$

Shunt impedance R_a (accelerator definition);

$$R_a \equiv \frac{V_c^2}{P_{diss}}$$

Note: Voltages and currents will be represented as complex quantities, denoted by a tilde. For example:

$$V_{c}(t) = \operatorname{Re}\left\{\tilde{V}_{c}(t)e^{i\omega t}\right\} \qquad \tilde{V}_{c}(t) = V_{c}e^{i\phi(t)}$$

where $V_c = |\tilde{V}_c|$ is the magnitude of \tilde{V}_c and ϕ is a slowly varying phase.



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Equivalent Circuit for an RF Cavity

Simple LC circuit representing an accelerating resonator.

Metamorphosis of the LC circuit into an accelerating cavity cell.

Chain of weakly coupled pillbox cavities representing an accelerating cavity. Chain of coupled pendula as its mechanical analogue.

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Chain of weakly-coupled pillbox

cavities representing an accele-

rating module



Simple lumped L-C circuit repesenting an accelerating resonator. $\omega_0^2 = 1/LC$

Chain of coupled pendula as a mechanical analogue to Fig. 6a





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Equivalent Circuit for an RF Cavity

• Average power dissipated in resistor *R*:

$$P_{diss} = \frac{V_c^2}{2R}$$

From definition of shunt impedance

$$R_a \equiv \frac{V_c^2}{P_{diss}} \qquad \qquad \therefore \ R_a = 2R$$

Quality factor of resonator:

$$Q_0 \equiv \frac{\omega_0 W}{P_{diss}} = \omega_0 CR$$

Note:
$$\tilde{Z} = R \left[1 + iQ_0 \left(\frac{\omega}{\omega_0} - \frac{\omega_0}{\omega} \right) \right]^{-1} \quad \tilde{Z} \approx R \left[1 + 2iQ_0 \left(\frac{\omega - \omega_0}{\omega_0} \right) \right]^{-1} \quad \text{Wiedemann}$$

16.13



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Cavity with External Coupling

- Consider a cavity connected to an rf source
- A coaxial cable carries power from an rf source to the cavity
- The strength of the input coupler is adjusted by changing the penetration of the center conductor
- There is a fixed output coupler, the transmitted power probe, which picks up power transmitted through the cavity







Cavity with External Coupling



Consider the rf cavity after the rf is turned off. Stored energy W satisfies the equation: dW/dt

Stored energy W satisfies the equation: $dW / dt = -P_{tot}$

Total power being lost, P_{tot} , is: $P_{tot} = P_{diss} + P_e + P_t$ P_e is the power leaking back out the input coupler. P_t is the power coming out the transmitted power coupler. Typically P_t is very small $\Rightarrow P_{tot} \approx P_{diss} + P_e$ Recall



Energy in the cavity decays exponentially with time constant:

$$\tau_L = Q_L / \omega_0$$



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Decay rate equation

$$\frac{P_{tot}}{\omega_0 W} = \frac{P_{diss} + P_e}{\omega_0 W}$$

suggests that we can assign a quality factor to each loss mechanism, such that

$$\frac{1}{Q_L} = \frac{1}{Q_0} + \frac{1}{Q_e}$$

where, by definition,

$$Q_e \equiv \frac{\omega_0 W}{P_e}$$

Typical values for CEBAF 7-cell cavities: $Q_0 = 1 \times 10^{10}$, $Q_e \approx Q_L = 2 \times 10^{7}$.



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Have defined "coupling parameter":

$$\beta = \frac{P_e}{P_{diss}} = \frac{Q_0}{Q_e}$$

and therefore

$$\frac{1}{Q_L} = \frac{(1+\beta)}{Q_0}$$

Wiedemann 16.9

It tells us how strongly the couplers interact with the cavity. Large β implies that the power leaking out of the coupler is large compared to the power dissipated in the cavity walls.





• The system we want to model. A generator producing power P_g transmits power to cavity through transmission line with characteristic impedance Z_0



 Between the rf generator and the cavity is an isolator – a circulator connected to a load. Circulator ensures that signals reflected from the cavity are terminated in a matched load.



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Transmission Lines



Inductor Impedance and Current Conservation

$$V_{n-1} - V_n = i\omega LI_{n-1}$$

$$I_{n-1} - I_n = i\omega CV_n$$



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Transmission Line Equations



• Standard Difference Equation with Solution

$$V_{n} = V_{0}e^{-in\lambda}, I_{n} = I_{0}e^{-in\lambda}$$
$$V_{0}\left(e^{i\lambda}-1\right) = i\omega LI_{0}e^{i\lambda} \qquad I_{0}\left(e^{i\lambda}-1\right) = i\omega CV_{0}$$
$$2\sin\left(\lambda/2\right) = \pm\omega\sqrt{LC}$$
$$V^{+} = \sqrt{L/C}I^{+}e^{i\lambda/2} \qquad V^{-} = -\sqrt{L/C}I^{-}e^{i\lambda/2}$$

• Continuous Limit $(N \rightarrow \infty)$ $\lambda \rightarrow \pm \omega \sqrt{LC}$ $k = \omega \sqrt{L'C'}$ $v_{\phi} = 1/\sqrt{L'C'}$ $V^{+}(x,t) = V_{0}^{+}e^{i\omega t - kx} = \sqrt{L'/C'}I_{0}^{+}e^{i\omega t - kx} = Z_{0}I_{0}^{+}e^{i\omega t - kx}$ $V^{-}(x,t) = V_{0}^{-}e^{i\omega t + kx} = -\sqrt{L'/C'}I_{0}^{-}e^{i\omega t + kx} = -Z_{0}I_{0}^{-}e^{i\omega t + kx}$



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Equivalent Circuit



RF Generator + CirculatorCouplerCavity

Coupling is represented by an ideal (lossless) transformer of turns ratio 1:k







From transmission line equations, forward power from RF source

 $\left|V_{\scriptscriptstyle +}\right|^2$ / $2Z_0$

Reflected power to circulator

$$\left|V_{-}\right|^{2}$$
 / $2Z_{0}$

Transformer relations

$$V_{c} = kV_{k} = k(V_{+} + V_{-})$$
$$i_{c} = i_{k} / k = (I_{+} - I_{-}) / k = 2I_{+} / k - V_{c} / (k^{2}Z_{0})$$

• Considering zero forward power case and definition of β

$$\beta = \left(\left| V_{-} \right|^{2} / 2Z_{0} \right) / \left(\left| V_{c} \right|^{2} / 2R \right) = R / \left(k^{2} Z_{0} \right)$$







Wiedemann Fig. 16.1

Wiedemann 16.1

Effective and loaded resistance

$$\frac{1}{R_{eff}} = \frac{1}{R} + \frac{1}{Z_g} = \frac{1+\beta}{R} \qquad R_L = 2R_{eff} = \frac{R_a}{1+\beta}$$

Solving transmission line equations

$$V_{+} = \frac{1}{2} \left(\frac{V_{c}}{k} + kZ_{0}i_{c} \right) \qquad V_{-} = \frac{1}{2} \left(\frac{V_{c}}{k} - kZ_{0}i_{c} \right)$$



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Powers Calculated



Forward Power

$$P_{g} = \frac{V_{c}^{2}}{8Z_{0}k^{2}} \left| 1 + \frac{1}{\beta} \left[1 + iQ_{0} \left(\frac{\omega}{\omega_{0}} - \frac{\omega_{0}}{\omega} \right) \right] \right|^{2} = \frac{V_{c}^{2}}{R_{a}} \frac{\left(1 + \beta\right)^{2}}{4\beta} \left(1 + Q_{L}^{2} \left(\frac{\omega}{\omega_{0}} - \frac{\omega_{0}}{\omega} \right)^{2} \right)$$

Reflected Power

$$P_{refl} = \frac{V_c^2}{8Z_0 k^2} \left| 1 - \frac{1}{\beta} \left[1 + iQ_0 \left(\frac{\omega}{\omega_0} - \frac{\omega_0}{\omega} \right) \right] \right|^2 = \frac{V_c^2}{R_a} \frac{(1+\beta)^2}{4\beta} \left(\frac{(\beta-1)^2}{(1+\beta)^2} + Q_L^2 \left(\frac{\omega}{\omega_0} - \frac{\omega_0}{\omega} \right)^2 \right)$$

Power delivered to cavity is

$$P_{g} - P_{refl} = \frac{V_{c}^{2}}{R_{a}} \frac{(1+\beta)^{2}}{4\beta} \left[1 - \frac{(\beta-1)^{2}}{(1+\beta)^{2}} \right] = \frac{V_{c}^{2}}{R_{a}} = P_{diss}$$

as it must by energy conservation!





Some Useful Expressions

• Total energy *W*, in terms of cavity parameters

$$\frac{W}{P_g} = \frac{\frac{Q_0}{\omega_0} P_{diss}}{P_{diss}} \frac{4\beta}{\left(1+\beta\right)^2} \frac{1}{1+Q_L^2 \left(\frac{\omega}{\omega_0} - \frac{\omega_0}{\omega}\right)^2}$$

$$\therefore \quad W = 4\beta \frac{Q_0}{\omega_0} \frac{1}{(1+\beta)^2 + Q_0^2 \left(\frac{\omega}{\omega_0} - \frac{\omega_0}{\omega}\right)^2} P_g$$

$$\omega \simeq \omega_0 \implies W \simeq \frac{4\beta}{(1+\beta)^2} \frac{Q_0}{\omega_0} \frac{1}{1 + \left[2Q_L \frac{\omega - \omega_0}{\omega_0}\right]^2} P_g$$

edance

Total impedance

$$Z_{TOT} = \left[\frac{1}{Z_g} + \frac{1}{Z}\right]^{-1}$$
$$Z_{TOT} = \frac{R_a}{2} \left[(1+\beta) + iQ_0 \left(\frac{\omega}{\omega_0} - \frac{\omega_0}{\omega}\right) \right]^{-1}$$



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When Cavity is Detuned



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$$\tan \Psi \equiv -Q_L \left(\frac{\omega}{\omega_0} - \frac{\omega_0}{\omega}\right) \approx -2Q_L \frac{\omega - \omega_0}{\omega_0} \quad \text{for } \omega \approx \omega_0$$

$$W = \frac{4\beta}{(1+\beta)^2} \frac{Q_0}{\omega_0} \frac{1}{1+\tan^2 \Psi} P_g \qquad \qquad \text{Wiedemann} \\ 16.12$$

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• Note that:

$$P_{diss} = \frac{4\beta}{\left(1+\beta\right)^2} \frac{1}{1+\tan^2\Psi} P_g$$





Optimal *B* Without Beam

- Optimal coupling: W/P_g maximum or $P_{diss} = P_g$ which implies for $\Delta \omega = 0$, $\beta = 1$ This is the case called "critical" coupling
- . Reflected power is consistent with energy conservation:





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Equivalent Circuit: Cavity with Beam

- Beam through the RF cavity is represented by a current generator that interacts with the total impedance (including circulator).
- Equivalent circuit:



 i_g the current induced by generator, i_b beam current

• Differential equation that describes the dynamics of the system: $\frac{d^2 V_c}{dt^2} + \frac{\omega_0}{O_r} \frac{dV_c}{dt} + \omega_0^2 V_c = \frac{\omega_0 R_L}{2O_r} \frac{d}{dt} (i_g - i_b)$



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$$i_L + i_R + i_C = i_g - i_b$$

- Total current is a superposition of generator current and beam current and beam current opposes the generator current.
- Assume that voltages and currents are represented by complex phasors $U(x) = D_{i}(x) D_{i}(x)$

$$V_{c}(t) = \operatorname{Re}(V_{c}e^{i\omega t})$$
$$i_{g}(t) = \operatorname{Re}(\tilde{i}_{g}e^{i\omega t})$$
$$i_{b}(t) = \operatorname{Re}(\tilde{i}_{b}e^{i\omega t})$$

where ω is the generator angular frequency and $\tilde{V_c}, \tilde{i}_g, \tilde{i}_b$ are complex quantities.





Voltage for a Cavity with Beam

Steady state solution

$$(1-i\tan\Psi)\tilde{V_c} = \frac{R_L}{2}(\tilde{i}_g - \tilde{i}_b)$$

where Ψ is the tuning angle.

Generator current

$$|\tilde{i}_{g}| = 2I^{+} = \frac{2}{k}\sqrt{\frac{2P_{g}}{Z_{0}}} = 2\sqrt{\beta}\sqrt{\frac{2P_{g}}{R}} = 4\sqrt{\beta}\sqrt{\frac{P_{g}}{R_{a}}}$$

■ For short bunches: $|\tilde{i}_b| \approx 2I_0$ where I_0 is the average beam current. Wiedemann 16.19



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Voltage for a Cavity with Beam

• At steady-state:
$$\tilde{V}_c = \frac{R_L/2}{(1-i\tan\Psi)}\tilde{i}_g - \frac{R_L/2}{(1-i\tan\Psi)}\tilde{i}_b$$

or $\tilde{V}_c = \frac{R_L}{2}\tilde{i}_g\cos\Psi e^{i\Psi} - \frac{R_L}{2}\tilde{i}_b\cos\Psi e^{i\Psi}$
or $\tilde{V}_c = \overline{\tilde{V}_{gr}\cos\Psi e^{i\Psi}} + \overline{\tilde{V}_{br}\cos\Psi e^{i\Psi}}$
or $\tilde{V}_c = V_g + V_b$

 $\begin{cases} \tilde{V}_{gr} = \frac{R_L}{2} \tilde{i}_g \\ \tilde{V}_{br} = -\frac{R_L}{2} \tilde{i}_b \end{cases} \text{ are the generator and beam-loading voltages on resonance} \\ \text{and} \quad \begin{cases} \tilde{V}_g \\ \tilde{V}_c \end{cases} \end{cases} \text{ are the generator and beam-loading voltages.} \end{cases}$



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Voltage for a Cavity with Beam

• Note that:

$$|\tilde{V}_{gr}| = \frac{2\sqrt{\beta}}{\sqrt{1+\beta}} \sqrt{P_g R_L} \approx 2\sqrt{P_g R_L} \quad \text{for large } \beta \qquad \begin{array}{l} \text{Wiedemann} \\ 16.16 \\ |\tilde{V}_{br}| = R_L I_0 \\ 16.20 \end{array}$$




Voltage for a Cavity with Beam



As Ψ increases, the magnitudes of both V_g and V_b decrease while their phases rotate by $\Psi.$



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Example of a Phasor Diagram



Fig. 16.3



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On Crest/On Resonance Operation

- Typically linacs operate on resonance and on crest in order to receive maximum acceleration.
- On crest and on resonance

$$\tilde{V_{br}} \longrightarrow \tilde{V_c} \longrightarrow \tilde{V_{gr}}$$

$$\Rightarrow V_c = V_{gr} - V_{br}$$

where V_c is the accelerating voltage.







More Useful Equations

• We derive expressions for W, V_a , P_{diss} , P_{refl} in terms of β and the loading parameter K, defined by: $K=I_0\sqrt{R_a}/(2\sqrt{P_a})$ $V_{c} = \sqrt{P_{g}R_{L}} \left\{ \frac{2\sqrt{\beta}}{\sqrt{1+\beta}} \left(1 - \frac{K}{\sqrt{\beta}} \right) \right\}$ From: $|\tilde{V}_{gr}| = \frac{2\sqrt{\beta}}{\sqrt{1+\beta}}\sqrt{P_g R_L}$ $W = \frac{4\beta}{\left(1+\beta\right)^2} \frac{Q_0}{Q_0} \left(1-\frac{K}{\sqrt{\beta}}\right)^2 P_g$ $\implies P_{diss} = \frac{4\beta}{(1+\beta)^2} \left(1 - \frac{K}{\sqrt{\beta}}\right)^2 P_g$ $|\tilde{V}_{hr}| = R_I I_0$ $V_c = V_{or} - V_{br}$ $I_0 V_a = I_0 \sqrt{R_a P_{diss}}$ $\eta \equiv \frac{I_0 V_c}{P} = \frac{2\sqrt{\beta}}{\sqrt{1+\beta}} 2K \left(1 - \frac{K}{\sqrt{\beta}}\right)$ $P_{refl} = P_g - P_{diss} - I_0 V_a \implies P_{refl} = \frac{\left\lfloor (\beta - 1) - 2K\sqrt{\beta} \right\rfloor^2}{(\beta + 1)^2} P_g$



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More Useful Equations



$$P_g \simeq \frac{1}{4R_L} (V_c + I_0 R_L)^2$$
$$P_{refl} \simeq \frac{1}{4R_L} (V_c - I_0 R_L)^2$$

• For $P_{refl} = 0$ (condition for matching) \Rightarrow $R_L = \frac{V_c^M}{I_0^M}$

and

$$P_{g} \simeq \frac{I_{0}^{M} V_{c}^{M}}{4} \left(\frac{V_{c}}{V_{c}^{M}} + \frac{I_{0}}{I_{0}^{M}}\right)^{2}$$









Example



• For $V_c=14$ MV, L=0.7 m, $Q_L=2x10^7$, $Q_0=1x10^{10}$:

Power	$I_0 = 0$	$I_0 = 100 \ \mu A$	$I_0 = 1 \text{ mA}$
P_{g}	3.65 kW	4.38 kW	14.033 kW
P _{diss}	29 W	29 W	29 W
$I_0 V_c$	0 W	1.4 kW	14 kW
P _{refl}	3.62 kW	2.951 kW	~ 4.4 W





Off Crest/Off Resonance Operation



- Typically electron storage rings operate off crest in order to ensure stability against phase oscillations.
- As a consequence, the rf cavities must be detuned off resonance in order to minimize the reflected power and the required generator power.
- Longitudinal gymnastics may also impose off crest operation in recirculating linacs.
- We write the beam current and the cavity voltage as $\tilde{I}_b = 2I_0 e^{i\psi_b}$ $\tilde{V}_c = V_c e^{i\psi_c}$ and set $\psi_c = 0$
- The generator power can then be expressed as:

$$P_{g} = \frac{V_{c}^{2}}{R_{L}} \frac{(1+\beta)}{4\beta} \left\{ \left[1 + \frac{I_{0}R_{L}}{V_{c}} \cos\psi_{b} \right]^{2} + \left[\tan\Psi - \frac{I_{0}R_{L}}{V_{c}} \sin\psi_{b} \right]^{2} \right\}$$
Wiedemann
16.31



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Off Crest/Off Resonance Operation



$$\tan \Psi = \frac{I_0 R_L}{V_c} \sin \psi_b$$

Condition for optimum coupling:

$$\beta_{\rm opt} = 1 + \frac{I_0 R_a}{V_c} \cos \psi_b$$

Minimum generator power:

$$P_{g,\min} = \frac{V_c^2 \beta_{\text{opt}}}{R_a}$$







Clarifications from Last Time



• On Crest,

$$V_{c} = \frac{2\sqrt{\beta}}{\sqrt{1+\beta}}\sqrt{P_{g}R_{L}} - R_{L}I_{0} = \frac{2\sqrt{\beta}}{\sqrt{1+\beta}}\sqrt{P_{g}R_{L}}\left(1 - \frac{R_{L}I_{0}}{\sqrt{P_{g}R_{L}}}\frac{\sqrt{1+\beta}}{2\sqrt{\beta}}\right) \rightarrow K = \frac{\sqrt{R_{a}}I_{0}}{2\sqrt{P_{g}}}$$

• Off Crest with Detuning

$$P_{g} = \frac{Z_{0} \left| I^{+} \right|^{2}}{2} = \frac{Z_{0} k^{2} \left| i_{g} \right|^{2}}{8}$$
$$i_{g} = \frac{2V_{c}}{R_{L}} \left(1 - i \tan \Psi \right) + 2I_{0} \left(\cos \psi_{b} + i \sin \psi_{b} \right)$$
$$P_{g} = \frac{Z_{0} k^{2} \left| V_{c} \right|^{2}}{2R_{L}^{2}} \left[\left(1 + \frac{I_{0} R_{L}}{V_{c}} \cos \psi_{b} \right)^{2} + \left(\tan \Psi - \frac{I_{0} R_{L}}{V_{c}} \sin \psi_{b} \right)^{2} \right]$$



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Off Crest/Off Resonance Power



- Typically electron storage rings operate off crest in order to ensure stability against phase oscillations.
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$$P_{g} = \frac{V_{c}^{2}}{R_{L}} \frac{(1+\beta)}{4\beta} \left\{ \left[1 + \frac{I_{0}R_{L}}{V_{c}} \cos\psi_{b} \right]^{2} + \left[\tan\Psi - \frac{I_{0}R_{L}}{V_{c}} \sin\psi_{b} \right]^{2} \right\}$$
Wiedemann
16.31



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Optimal Detuning and Coupling



Condition for optimum tuning with beam:

$$\tan \Psi = \frac{I_0 R_L}{V_c} \sin \psi_b$$

Condition for optimum coupling with beam:

$$\beta_{\rm opt} = 1 + \frac{I_0 R_a}{V_c} \cos \psi_b$$

Minimum generator power:

$$P_{g,\min} = \frac{V_c^2 \beta_{\text{opt}}}{R_a}$$









C75 Power Estimates

G. A. Krafft







12 GeV Project Specs







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Assumptions



- Low Loss R/Q = $903*5/7 = 645 \Omega$
- Max Current to be accelerated 460 μ A
- Compute 0 and 25 Hz detuning power curves
- 75 MV/cryomodule (18.75 MV/m)
- Therefore matched power is 4.3 kW

(Scale increase 7.4 kW tube spec)

• Q_{ext} adjustable to 3.18×10⁷(if not need more RF power!)









RF Cavity with Beam and Microphonics

The detuning is now:
$$\tan \Psi = -2Q_L \frac{\delta f_0 \pm \delta f_m}{f_0}$$
 $\tan \psi_0 = -2Q_L \frac{\delta f_0}{f_0}$

where δf_0 is the static detuning (controllable)

and δf_m is the random dynamic detuning (uncontrollable)





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where δf is the total amount of cavity detuning in Hz, including static detuning and microphonics.

• Optimizing the generator power with respect to coupling gives:

$$\beta_{opt} = \sqrt{(b+1)^2 + \left[2Q_0\frac{\delta f}{f_0} + b\tan\psi_{tot}\right]}$$

where
$$b \equiv \frac{I_{tot}R_a}{V_c} \cos \psi_{tot}$$

where I_{tot} is the magnitude of the resultant beam current vector in the cavity and ψ_{tot} is the phase of the resultant beam vector with respect to the cavity voltage.



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Correct Static Detuning

• To minimize generator power with respect to tuning:

$$\delta f_0 = -\frac{f_0}{2Q_0} b \tan \psi_{tot}$$

$$P_g = \frac{V_c^2}{R_a} \frac{1}{4\beta} \left\{ (1+b+\beta)^2 + \left[2Q_0 \frac{\delta f_m}{f_0} \right]^2 \right\}$$

• The resulting power is

$$P_{g} = \frac{V_{c}^{2}}{R_{a}} \frac{1}{4\beta_{opt}} \left\{ \left(1+b\right)^{2} + 2\left(1+b\right)\beta_{opt} + \beta_{opt}^{2} + \left[2Q_{0}\frac{\delta f_{m}}{f_{0}}\right]^{2} \right\}$$
$$= \frac{V_{c}^{2}}{2R_{a}} \left\{ \left(1+b\right) + \beta_{opt} \right\}$$



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Optimal Qext and Power

Condition for optimum coupling:

$$\beta_{opt} = \sqrt{(b+1)^2 + \left(2Q_0 \frac{\delta f_m}{f_0}\right)^2}$$
$$P_g^{opt} = \frac{V_c^2}{2R_a} \left[b + 1 + \sqrt{(b+1)^2 + \left(2Q_0 \frac{\delta f_m}{f_0}\right)^2}\right]$$

and

■ In the absence of beam (*b*=0):

$$\beta_{opt} = \sqrt{1 + \left(2Q_0 \frac{\delta f_m}{f_0}\right)^2}$$
$$P_g^{opt} = \frac{V_c^2}{2R_a} \left[1 + \sqrt{1 + \left(2Q_0 \frac{\delta f_m}{f_0}\right)^2}\right]$$

and









Problem for the Reader



- Assuming no microphonics, plot β_{opt} and P_{opt}^g as function of b (beam loading), b=-5 to 5, and explain the results.
- How do the results change if microphonics is present?





Example

• ERL Injector and Linac: $\delta f_m = 25 \text{ Hz}, Q_0 = 1 \times 10^{10} \text{, } f_0 = 1300 \text{ MHz}, I_0 = 100 \text{ mA},$ $V_c = 20 \text{ MV/m}, L = 1.04 \text{ m}, R_a/Q_0 = 1036 \text{ ohms per cavity}$

- ERL linac: Resultant beam current, $I_{tot} = 0$ mA (energy recovery) and $\beta_{opt}=385 \Rightarrow Q_L=2.6 \times 10^7 \Rightarrow P_g = 4$ kW per cavity.
- ERL Injector: $I_0=100 \text{ mA}$ and $\beta_{opt}=5x10^4 ! \Rightarrow Q_L=2x10^5$ $\Rightarrow P_g = 2.08 \text{ MW}$ per cavity! Note: $I_0V_a = 2.08 \text{ MW} \Rightarrow$ optimization is entirely dominated by beam loading.







RF System Modeling



- To include amplitude and phase feedback, nonlinear effects from the klystron and be able to analyze transient response of the system, response to large parameter variations or beam current fluctuations
 - we developed a model of the cavity and low level controls using SIMULINK, a MATLAB-based program for simulating dynamic systems.
- Model describes the beam-cavity interaction, includes a realistic representation of low level controls, klystron characteristics, microphonic noise, Lorentz force detuning and coupling and excitation of mechanical resonances





RF System Model





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Measured and simulated cavity voltage and amplified gradient error signal (GASK) in one of CEBAF's cavities, when a 65 μ A, 100 μ sec beam pulse enters the cavity.



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Conclusions



- We derived a differential equation that describes to a very good approximation the rf cavity and its interaction with beam.
- We derived useful relations among cavity's parameters and used phasor diagrams to analyze steady-state situations.
- We presented formula for the optimization of Q_{ext} under beam loading and microphonics.
- We showed an example of a Simulink model of the rf control system which can be useful when nonlinearities can not be ignored.





RF Focussing

N

In any RF cavity that accelerates longitudinally, because of Maxwell Equations there must be additional transverse electromagnetic fields. These fields will act to focus the beam and must be accounted properly in the beam optics, especially in the low energy regions of the accelerator. We will discuss this problem in greater depth in injector lectures. Let A(x,y,z) be the vector potential describing the longitudinal mode (Lorenz gauge)

$$\nabla \cdot \vec{A} = -\frac{1}{c} \frac{\partial \phi}{\partial t}$$
$$\nabla^2 \vec{A} = -\frac{\omega^2}{c^2} \vec{A} \qquad \nabla^2 \phi = -\frac{\omega^2}{c^2} \phi$$



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For cylindrically symmetrical accelerating mode, functional form can only depend on r and z

$$A_{z}(r,z) = A_{z0}(z) + A_{z1}(z)r^{2} + \dots$$

$$\phi(r,z) = \phi_{0}(z) + \phi_{1}(z)r^{2} + \dots$$

Maxwell's Equations give recurrence formulas for succeeding approximations

$$(2n)^{2}A_{zn} + \frac{d^{2}A_{z,n-1}}{dz^{2}} = -\frac{\omega^{2}}{c^{2}}A_{z,n-1}$$
$$(2n)^{2}\phi_{n} + \frac{d^{2}\phi_{n-1}}{dz^{2}} = -\frac{\omega^{2}}{c^{2}}\phi_{n-1}$$



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Gauge condition satisfied when



in the particular case n = 0



Electric field is

$$\vec{E} = -\vec{\nabla}\phi - \frac{1}{c}\frac{\partial \vec{A}}{\partial t}$$



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And the potential and vector potential must satisfy



So the magnetic field off axis may be expressed directly in terms of the electric field on axis

$$\therefore \quad B_{\theta} \approx -2rA_{z1} = \frac{i}{2}\frac{\omega r}{c}E_{z}(0,z)$$



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And likewise for the radial electric field (see also $\nabla \cdot \vec{E} = 0$) $\therefore E_r \approx -2r\phi_1(z) = -\frac{r}{2}\frac{dE_z(0, z)}{dz}$

Explicitly, for the time dependence $\cos(\omega t + \delta)$

$$E_{z}(r, z, t) \approx E_{z}(0, z) \cos(\omega t + \delta)$$
$$E_{r}(r, z, t) \approx -\frac{r}{2} \frac{dE_{z}(0, z)}{dz} \cos(\omega t + \delta)$$

$$B_{\theta}(r,z,t) \approx -\frac{\omega r}{2c} E_{z}(0,z) \sin(\omega t + \delta)$$



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Motion of a particle in this EM field

$$\frac{d(\gamma m \vec{\mathbf{V}})}{dt} = -e\left(\vec{E} + \frac{\vec{V}}{c} \times \vec{B}\right)$$

$$\gamma(z)\beta_{x}(z) = \gamma(-\infty)\beta_{x}(-\infty)$$

$$+ \int_{-\infty}^{z} \left[-\frac{x(z')}{2} \frac{dG(z')}{dz'} \cos(\omega t(z') + \delta) + \frac{\omega \beta_{z}(z')x(z')}{2c} G(z') \sin(\omega t(z') + \delta) \right] \frac{dz'}{\beta_{z}(z')}$$



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The normalized gradient is

$$G(z) = \frac{eE_z(z,0)}{mc^2}$$

and the other quantities are calculated with the integral equations

$$\gamma(z) = \gamma(-\infty) + \int_{-\infty}^{z} G(z') \cos(\omega t(z') + \delta) dz'$$

$$\gamma(z)\beta_{z}(z) = \gamma(-\infty)\beta_{z}(-\infty) + \int_{-\infty}^{z} \frac{G(z')}{\beta_{z}(z')} \cos(\omega t(z') + \delta) dz'$$

$$t(z) = \lim_{z_0 \to -\infty} \frac{z_0}{\beta_z(-\infty)c} + \int_{-\infty}^z \frac{dz'}{\beta_z(z')c}$$



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These equations may be integrated numerically using the cylindrically symmetric CEBAF field model to form the Douglas model of the cavity focussing. In the high energy limit the expressions simplify.

$$x(z) = x(a) + \int_{a}^{z} \frac{\gamma(z')\beta_{x}(z')}{\gamma(z')\beta_{z}(z')} dz'$$

$$\approx x(a) + \frac{\beta_{x}(-\infty)}{\beta_{z}(-\infty)}(z-a) - \int_{a}^{z} \frac{x(z')}{2} \frac{G(z')}{\gamma(z')\beta_{z}^{2}(z')} \cos(\omega t(z') + \delta) dz'$$



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Transfer Matrix

For position-momentum transfer matrix

$$T = \begin{pmatrix} 1 - \frac{E_G}{2E} & \frac{L}{\gamma} \\ -\frac{I}{4\gamma} & 1 + \frac{E_G}{2E} \end{pmatrix}$$

$$I = \cos^{2}(\delta) \int_{-\infty}^{\infty} G^{2}(z) \cos^{2}(\omega z / c) dz$$
$$+ \sin^{2}(\delta) \int_{-\infty}^{\infty} G^{2}(z) \sin^{2}(\omega z / c) dz$$



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Kick Generated by mis-alignment





 $\Delta \gamma \beta = \frac{E_G \alpha}{2E}$







Damping and Antidamping

By symmetry, if electron traverses the cavity exactly on axis, there is no transverse deflection of the particle, but there is an energy increase. By conservation of transverse momentum, there must be a decrease of the phase space area. For linacs NEVER

use the word "adiabatic"

$$\frac{d\left(\gamma m \vec{V}_{\text{transverse}}\right)}{dt} = 0$$

$$\gamma(z)\beta_x(z)=\gamma(-\infty)\beta_x(-\infty)$$



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Conservation law applied to angles



 $\beta_{x}, \beta_{y} \ll \beta_{z} \approx 1$ $\theta_{x} = \beta_{x} / \beta_{z} \sim \beta_{x} \quad \theta_{y} = \beta_{y} / \beta_{z} \sim \beta_{y}$









Phase space area transformation

$$dx \wedge d\theta_{x}(z) = \frac{\gamma(-\infty)\beta_{z}(-\infty)}{\gamma(z)\beta_{z}(z)}dx \wedge d\theta_{x}(-\infty)$$

$$\frac{\gamma(-\infty)\beta_{z}(-\infty)}{\gamma(-\infty)\beta_{z}(-\infty)}dx \wedge d\theta_{x}(-\infty)$$

$$dy \wedge d\theta_{y}(z) = \frac{\gamma(-\infty)\rho_{z}(-\infty)}{\gamma(z)\beta_{z}(z)}dy \wedge d\theta_{y}(-\infty)$$

Therefore, if the beam is accelerating, the phase space area after the cavity is less than that before the cavity and if the beam is decelerating the phase space area is greater than the area before the cavity. The determinate of the transformation carrying the phase space through the cavity has determinate equal to

$$\operatorname{Det}(M_{cavity}) = \frac{\gamma(-\infty)\beta_z(-\infty)}{\gamma(z)\beta_z(z)}$$





By concatenation of the transfer matrices of all the accelerating or decelerating cavities in the recirculated linac, and by the fact that the determinate of the product of two matrices is the product of the determinates, the phase space area at each location in the linac is

$$dx \wedge d\theta_{x}(z) = \frac{\gamma(0)\beta_{z}(0)}{\gamma(z)\beta_{z}(z)}dx \wedge d\theta_{x}(0)$$
$$dy \wedge d\theta_{y}(z) = \frac{\gamma(0)\beta_{z}(0)}{\gamma(z)\beta_{z}(z)}dy \wedge d\theta_{y}(0)$$

Same type of argument shows that things like orbit fluctuations are damped/amplified by acceleration/deceleration.





Transfer Matrix Non-Unimodular

$$M_{tot} = M_1 \cdot M_2$$

$$P(M) \equiv \frac{M}{\det M}$$

$$P(M) \quad \text{unimodular!}$$

$$P(M_{tot}) = \frac{M_{tot}}{\det M_{tot}} = \frac{M_1}{\det M_1} \frac{M_2}{\det M_2} = P(M_1) \cdot P(M_2)$$

$$\therefore \text{ can separately track the "unimodular part" (as before!)}$$
and normalize by accumulated determinate





Bettor Phasor Diagram



$$\tilde{V}_{c}\left(1-i\tan\Psi\right) = \frac{\tilde{V}_{c}}{\cos\Psi}e^{-i\Psi} = \tilde{i}_{g} - \tilde{i}_{b}$$





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Subharmonic Beam Loading



- Under condition of constant incident RF power, there is a voltage fluctuation in the fundamental accelerating mode when the beam load is sub harmonically related to the cavity frequency
- Have some old results, from the days when we investigated FELs in the CEBAF accelerator
- These results can be used to quantify the voltage fluctuations expected from the subharmonic beam load in LCLS II





CEBAF FEL Results



t(msec) Krafft and Laubach, CEBAF-TN-0153 (1989)



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Model



• Single standing wave accelerating mode. Reflected power absorbed by matched circulator.

$$\frac{d^2 V_c}{dt^2} + \frac{\omega_c}{Q_L} \frac{dV_c}{dt} + \omega_c^2 V_c = \frac{\omega_c}{Q_c} \left[\frac{dV_+}{dt} - \frac{d(ZI_b)}{dt} \right]$$

• Beam current

$$I_{b}(t) = \sum_{l=-\infty}^{\infty} q \delta(t - l\tau) = \sum_{l=-\infty}^{\infty} (I\tau) \delta(t - l\tau)$$

• (Constant) Incident RF (β coupler coupling)

$$V_{+} = 2\sqrt{\beta}\sqrt{2ZP_g}\cos\left(\omega_c t + \phi'\right)$$





Analytic Method of Solution

• Green function

$$G(t-t') = \exp\left(-\frac{\omega_c(t-t')}{2Q_L}\right) \sin \hat{\omega}_c(t-t') \qquad \hat{\omega}_c = \omega_c \sqrt{1-1/4Q_L^2}$$

• Geometric series summation

$$V_{c}(t) \approx \frac{2\sqrt{\beta}\sqrt{RP}}{1+\beta} \cos\left(\omega_{c}t+\phi'\right) - \frac{\omega_{c}Rq}{2Q} \left[\frac{e^{-\omega_{c}(t-n'\tau)/2Q_{L}}}{D} \left(e^{\omega_{c}\tau/Q_{L}}\cos\hat{\omega}_{c}\left(t-n'\tau\right) - e^{\omega_{c}\tau/2Q_{L}}\cos\hat{\omega}_{c}\left(t-(n'+1)\tau\right)\right)\right]$$

• Excellent approximation

$$V_{c}(t) \approx \frac{2\sqrt{\beta}\sqrt{RP}}{1+\beta} \cos\left(\omega_{c}t + \phi'\right) - \frac{R}{Q}IQ_{L}e^{-\omega_{c}(t-n'\tau)/2Q_{L}}\cos\omega_{c}t$$



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Phasor Diagram of Solution









Single Subharmonic Beam





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Beam Cases



• Case 1

Beam	Beam Pulse Rep. Rate	Bunch Charge (pC)	Average Current (µA)
HXR	1 MHz	145	145
Straight	10 kHz	145	1.45
SXR	1 MHz	145	145

• Case 2

Beam	Beam Pulse Rep. Rate	Bunch Charge (pC)	Average Current (µA)
HXR	1 MHz	295	295
Straight	10 kHz	295	2.95
SXR	100 kHz	20	2

• Case 3

Beam	Beam Pulse Rep. Rate	Bunch Charge (pC)	Average Current (µA)
HXR	100 kHz	295	295
Straight	10 kHz	295	2.95
SXR	100 kHz	20	2



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t/20 nsec



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t/20 nsec



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t/100 nsec



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Summaries of Beam Energies

• Case 1 For off-crest cavities, multiply by $\cos \varphi$

Beam	Minimum (kV)	Maximum (kV)	Form
HXR	0.615	1.222	Linear 100
Straight	0.908	0.908	Constant
SXR	0.618	1.225	Linear 100

• Case 2

Beam	Minimum (kV)	Maximum (kV)	Form
HXR	0.631	1.943	Linear 100
Straight	1.550	1.550	Constant
SXR	0.788	1.911	Linear 10

• Case 3

Beam	Minimum (kV)	Maximum (kV)	Form
HXR	0.690	1.814	Linear 10
Straight	1.574	1.574	Constant
SXR	0.753	1.876	Linear 10



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Summary



- Fluctuations in voltage from constant intensity subharmonic beams can be computed analytically
- Basic character is a series of steps at bunch arrival, the step magnitude being $(R/Q)\pi f_c q$
- Energy offsets were evaluated for some potential operating scenarios. Spread sheet provided that can be used to investigate differing current choices





Case I'







Case 2 (100 kHz contribution minor)





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RF Acceleration



- Characterizing Superconducting RF (SRF) Accelerating Structures
 - Terminology
 - Energy Gain, R/Q, Q_0 , Q_L and Q_{ext}
- RF Equations and Control
 - Coupling Ports
 - Beam Loading
- RF Focusing
- Betatron Damping and Anti-damping





Terminology













Modern Jefferson Lab Cavities (1.497 GHz) are optimized around a 7 cell design



Typical cell longitudinal dimension: $\lambda_{RF}/2$

Phase shift between cells: π

Cavities usually have, in addition to the resonant structure in picture:

(1) At least 1 input coupler to feed RF into the structure(2) Non-fundamental high order mode (HOM) damping(3) Small output coupler for RF feedback control



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Some Fundamental Cavity Parameters

• Energy Gain

$$\frac{d\left(\gamma mc^{2}\right)}{dt} = -e\vec{E}\left(\vec{x}\left(t\right),t\right)\cdot\vec{v}$$

• For standing wave RF fields and velocity of light particles

$$\vec{E}(\vec{x},t) = \vec{E}(\vec{x})\cos(\omega_{RF}t + \delta) \rightarrow \Delta(\gamma mc^{2}) \approx -e\int_{-\infty}^{\infty} E_{z}(0,0,z)\cos(2\pi z / \lambda_{RF} + \delta)dz$$
$$= \frac{e\tilde{E}_{z}(2\pi / \lambda_{RF})e^{-i\delta} + \text{c.c.}}{2} \qquad V_{c} \equiv \left|e\tilde{E}_{z}(2\pi / \lambda_{RF})\right|$$

• Normalize by the cavity length *L* for gradient

$$\mathbf{E}_{\mathrm{acc}}\left(\mathbf{M}\mathbf{V}/\mathbf{m}\right) = \frac{V_c}{L}$$



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