

# Physics 451/551

## Theoretical Mechanics

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Lecture 10

# Variational Principle via Arnold



- Integral invariant of Poincare-Cartan

$$S = \int L dt = \int \left[ \vec{p} \cdot \dot{\vec{q}}(\vec{q}, \vec{p}) - H(\vec{q}, \vec{p}) \right] dt = \int \left[ \vec{p} \cdot d\vec{q} - H dt \right]$$

- Significance: when action integrated around a closed loop, it has constant value as loop moves according to the phase flow. If  $dt=0$  on loop, value is sum of projected PS areas.
- Stokes Lemma in usual fluid mechanics. Let

$$\vec{r} = \vec{\nabla} \times \vec{v} \quad \text{Note that } \nabla \cdot \vec{r} = 0$$

and a loop  $\gamma$  flow along with the vector field  $\vec{r}$ . Then by considering the tube swept out in time

$$\oint_{\gamma} \vec{v} \cdot d\vec{l} - \oint_{\gamma'} \vec{v} \cdot d\vec{l} = \iiint_{\sigma} \vec{\nabla} \times \vec{v} \cdot \vec{n} dS = 0$$

$$\int_{\partial\sigma} \omega_{\vec{v}}^1 = \int_{\sigma} \omega_{\vec{\nabla} \times \vec{v}}^2 = \iint_{\sigma} \nabla \times \vec{v} \cdot \frac{\partial \vec{\sigma}}{\partial s} \times \frac{\partial \vec{\sigma}}{\partial t} ds dt = 0 \quad \vec{r} \cdot \frac{\partial \vec{\sigma}}{\partial s} \times \vec{r} = 0$$

# Extended Phase Space



- Use same idea on  $2n+1$  dimensional extended phase spaces where the Poincare-Cartan invariant 1-form and 2-form the generalized symplectic structure.

$$\int_{\gamma(t=0)} \vec{p} \cdot d\vec{q} - H dt = \int_{\gamma(t)} \vec{p} \cdot d\vec{q} - H dt + \int_{\sigma} d\vec{p} \wedge d\vec{q} - \frac{\partial H}{\partial \vec{q}} d\vec{q} \wedge dt - \frac{\partial H}{\partial \vec{p}} d\vec{p} \wedge dt$$
$$= 0 - \int_{\sigma} \left[ -\frac{\partial H}{\partial \vec{q}} \frac{\partial H}{\partial \vec{p}} + \frac{\partial H}{\partial \vec{p}} \frac{\partial H}{\partial \vec{q}} \right] ds dt = 0$$

- Matrix for 2-form

$$A = \begin{bmatrix} 0 & I & \partial H / \partial \vec{q} \\ -I & 0 & \partial H / \partial \vec{p} \\ -\partial H / \partial \vec{q} & -\partial H / \partial \vec{p} & 0 \end{bmatrix}$$

# Canonical Transformations



- Canonical Transformations
  - Preserve the canonical symplectic structure
  - Preserve the sum of the projected areas
  - At equal time, preserve the relative integral invariant

$$\vec{p} \cdot d\vec{q}$$

- Preserve Poisson Brackets and HEOM
- Physicists mainly use this idea for making coordinate transformations in phase space that preserve HEOM

$$Q^i = Q^i(\vec{q}, \vec{p}) \quad P_i = P_i(\vec{q}, \vec{p})$$

$$\vec{p} \cdot d\vec{q} - Hdt = \vec{Q} \cdot d\vec{P} - Kdt + dS$$

- An arbitrary  $S$  does not change the EOM as  $ddS=0$

# Generating Functions



- Suppose have two canonical coordinate sets

$$\begin{array}{cc} (\vec{q}, \vec{p}) & (\vec{Q}, \vec{P}) \\ \vec{p} \cdot d\vec{q} - H(\vec{q}, \vec{p}) dt & \vec{P} \cdot d\vec{Q} - K(\vec{Q}, \vec{P}) dt \end{array}$$

on the same phase space related by transformation equations

$$Q^i = Q^i(\vec{q}, \vec{p}, t) \quad P_i = P_i(\vec{q}, \vec{p}, t)$$

- Both *simultaneously* satisfy extremal principle (solve Hamilton's equations!) as long as

$$\vec{p} \cdot d\vec{q} - H(\vec{q}, \vec{p}) dt = \vec{P} \cdot d\vec{Q} - K(\vec{Q}, \vec{P}) dt + dS$$

$$S_1(\vec{q}, \vec{Q}, t), S_2(\vec{q}, \vec{P}, t), S_3(\vec{p}, \vec{Q}, t), S_4(\vec{p}, \vec{P}, t)$$

# $S_1$ and $S_2$

- $S_1$

$$\vec{p} \cdot d\vec{q} - Hdt = \vec{P} \cdot d\vec{Q} - Kdt + \frac{\partial S_1}{\partial \vec{q}} d\vec{q} + \frac{\partial S_1}{\partial \vec{Q}} d\vec{Q} + \frac{\partial S_1}{\partial t} dt$$

$$\vec{p} = \frac{\partial S_1}{\partial \vec{q}} \quad -\vec{P} = \frac{\partial S_1}{\partial \vec{Q}} \quad K = H + \frac{\partial S_1}{\partial t}$$

- $S_2$

$$\vec{p} \cdot d\vec{q} - Hdt = d(\vec{Q} \cdot \vec{P}) - \vec{Q} \cdot d\vec{P} - Kdt + \frac{\partial S_2}{\partial \vec{q}} d\vec{q} + \frac{\partial S_2}{\partial \vec{P}} d\vec{P} + \frac{\partial S_2}{\partial t} dt$$

$$\vec{p} = \frac{\partial S_2}{\partial \vec{q}} \quad \vec{Q} = \frac{\partial S_2}{\partial \vec{P}} \quad K = H + \frac{\partial S_2}{\partial t}$$

# $S_3$ and $S_4$

- $S_3$

$$d(\vec{q} \cdot \vec{p}) - \vec{q} \cdot d\vec{p} - Hdt = \vec{P} \cdot d\vec{Q} - Kdt + \frac{\partial S_3}{\partial \vec{p}} d\vec{p} + \frac{\partial S_3}{\partial \vec{P}} d\vec{P} + \frac{\partial S_3}{\partial t} dt$$

$$-\vec{q} = \frac{\partial S_3}{\partial \vec{p}} \quad -\vec{P} = \frac{\partial S_3}{\partial \vec{Q}} \quad K = H + \frac{\partial S_4}{\partial t}$$

- $S_4$

$$d(\vec{q} \cdot \vec{p}) - \vec{q} \cdot d\vec{p} - Hdt = d(\vec{Q} \cdot \vec{P}) - \vec{Q} \cdot d\vec{P} - Kdt + \frac{\partial S_4}{\partial \vec{p}} d\vec{p} + \frac{\partial S_4}{\partial \vec{P}} d\vec{P} + \frac{\partial S_4}{\partial t} dt$$

$$-\vec{q} = \frac{\partial S_4}{\partial \vec{p}} \quad \vec{Q} = \frac{\partial S_4}{\partial \vec{P}} \quad K = H + \frac{\partial S_4}{\partial t}$$

# Examples

- Identity transformation

$$S_2 = \vec{q} \cdot \vec{P}$$
$$\vec{p} = \frac{\partial S_2}{\partial \vec{q}} = \vec{P} \quad \vec{Q} = \frac{\partial S_2}{\partial \vec{P}} = \vec{q} \quad K = H$$

- Coordinate-momenta swap

$$S_4 = \vec{p} \cdot \vec{P}$$
$$-\vec{q} = \frac{\partial S_4}{\partial \vec{p}} = \vec{P} \quad \vec{Q} = \frac{\partial S_4}{\partial \vec{P}} = \vec{p} \quad K = H$$



- Coordinate transformations on configuration space

$$S_2 = \sum_{i=1}^n Q^i(\vec{q}) \cdot \vec{P}_i$$

$$p_i = \frac{\partial S_2}{\partial q^i} = \frac{\partial Q^j}{\partial q^i} P_j \quad Q^i = \frac{\partial S_2}{\partial P_i} = Q^i(\vec{q}) \quad K = H$$

Momentum is indeed covariant

- Oscillator transformation (to action-angle coordinates)

$$q = A \cos Q \quad p = -m\omega A \sin Q = -m\omega q \tan Q$$

$$p = \frac{\partial S_1}{\partial q} \rightarrow S_1 = \frac{-m\omega q^2 \tan Q}{2} \quad P = -\frac{\partial S_1}{\partial Q} = \frac{m\omega A^2}{2}$$

$$H(Q, P) = \left( m\omega^2 A^2 \sin^2 Q + m\omega^2 A^2 \cos^2 Q \right) / 2 = m\omega^2 A^2 / 2 = \omega P$$

# Hamilton-Jacobi Equation



- Can we transform a difficult Hamiltonian into a simple one? Use  $S_2$  also the classical action

$$\vec{p} \cdot d\vec{q} - H dt = d(\vec{Q} \cdot \vec{P}) - \vec{Q} \cdot d\vec{P} - K dt + \frac{\partial S_2}{\partial \vec{q}} d\vec{q} + \frac{\partial S_2}{\partial \vec{P}} d\vec{P} + \frac{\partial S_2}{\partial t} dt$$

$$\vec{p} = \frac{\partial S_2}{\partial \vec{q}} \quad \vec{Q} = \frac{\partial S_2}{\partial \vec{P}} \quad K = H + \frac{\partial S_2}{\partial t}$$

- Transform so  $K=0$ ! Hamilton-Jacobi Equation

$$H\left(\vec{q}, \frac{\partial S}{\partial \vec{q}}\right) + \frac{\partial S}{\partial t} = 0 \rightarrow S(\vec{q}, \vec{\alpha})$$

$$\dot{P}_i = 0 \rightarrow P_i = \text{const}, \text{ set to } \alpha_i \quad \dot{Q}^i = 0 \rightarrow Q^i = \beta^i$$

- Works best with separable Hamiltonian. Independent integrals

# Hamiltonian not time-dependent



- One of the constants can be the Hamiltonian (energy)

$$S(\vec{q}, \vec{P}) = W(\vec{q}, \vec{P}) - \alpha t$$

$$H\left(\vec{q}, \frac{\partial S}{\partial \vec{q}}\right) = \alpha \rightarrow S(\vec{q}, \vec{\alpha})$$

- Must get independent constants by

$$\beta_i = \frac{\partial S}{\partial \vec{P}}(\vec{q}, \vec{P}) = \frac{\partial S}{\partial \alpha^i}$$

- Examples  $H = \frac{p^2}{2m}$   $S = W(q, \alpha) - \alpha t = \sqrt{2m\alpha}q - \alpha t \rightarrow \beta = \frac{2m}{\sqrt{2m\alpha}}q - t$

$$H = \frac{p^2}{2m} + \frac{kq^2}{2} \quad S = \pm \int \sqrt{2m} \left( \alpha - \frac{kq^2}{2} \right)^{1/2} dq - \alpha t \rightarrow$$

$$\beta = \pm \left( \frac{m}{2} \right)^{1/2} \left\{ - \left( \frac{2}{k} \right)^{1/2} \cos^{-1} \left[ \left( \frac{k}{2\alpha} \right)^{1/2} q \right] \right\}$$

# Particle in EM Field



- Follow procedure using the Lagrangian (MKS)

$$L = \frac{m|\vec{v}|^2}{2} - [q\phi - \vec{v} \cdot \vec{A}]$$

$$\vec{E}(\vec{x}, t) = -\vec{\nabla}\phi - \frac{\partial\vec{A}}{\partial t}$$

$$\vec{B}(\vec{x}, t) = \vec{\nabla} \times \vec{A}$$

- Generalized momentum (usually capitalized for this problem (F&W leave the generalized momentum small!))

$$\vec{P} = \frac{\partial L}{\partial \vec{v}} = m\vec{v} + q\vec{A}$$

$$m\vec{v} = \vec{P} - q\vec{A}$$

# Hamiltonian for Particle



- Using the prescription defining the Hamiltonian

$$H = \vec{P} \cdot \vec{v} - L$$

$$\frac{\vec{P} \cdot (\vec{P} - q\vec{A})}{m} - \frac{(\vec{P} - q\vec{A}) \cdot (\vec{P} - q\vec{A})}{2m} + q\phi - q \frac{(\vec{P} - q\vec{A})}{m} \cdot \vec{A}$$

$$H(\vec{x}, \vec{P}) = \frac{(\vec{P} - q\vec{A}) \cdot (\vec{P} - q\vec{A})}{2m} + q\phi$$

- Equations of motion

$$\dot{\vec{x}} = \frac{\partial H}{\partial \vec{P}} = \frac{\vec{P} - q\vec{A}}{m}$$

$$\dot{\vec{P}} = -\frac{\partial H}{\partial \vec{x}} = \frac{(\vec{P} - q\vec{A})}{m} \cdot \frac{\partial (q\vec{A})}{\partial \vec{x}} - q\nabla\phi$$

- $x$  component of the momentum equation

$$\dot{P}_x = m\dot{v}_x + q\dot{A}_x = q\vec{v} \cdot \frac{\partial \vec{A}}{\partial x} - q \frac{\partial \phi}{\partial x}$$

$$m\dot{v}_x = -q \left[ v_x \frac{\partial A_x}{\partial x} + v_y \frac{\partial A_x}{\partial y} + v_z \frac{\partial A_x}{\partial z} \right] + q \left[ v_x \frac{\partial A_x}{\partial x} + v_y \frac{\partial A_y}{\partial x} + v_z \frac{\partial A_z}{\partial x} \right] - q \frac{\partial \phi}{\partial x} - q \frac{\partial A_x}{\partial t}$$

$$= q \left( \vec{E} + \vec{v} \times \vec{B} \right)_x$$

- Relativistic Lagrangian

$$L = -\sqrt{1 - |\vec{v}|^2 / c^2} mc^2 - q(\phi - \vec{v} \cdot \vec{A}) \quad H = \sqrt{(\vec{P} - q\vec{A}) \cdot (\vec{P} - q\vec{A}) c^2 + m^2 c^4} + q\phi$$

$$\frac{d}{dt}(\gamma m \vec{v}) = q(\vec{E} + \vec{v} \times \vec{B})$$